

# **NanoBank: A National Resource Now under Construction**

Lynne G. Zucker, Michael R. Darby,  
Roy Doumani, Jonathan Furner, and  
Evelyn Hu

Principal Investigators, NSF NIRT

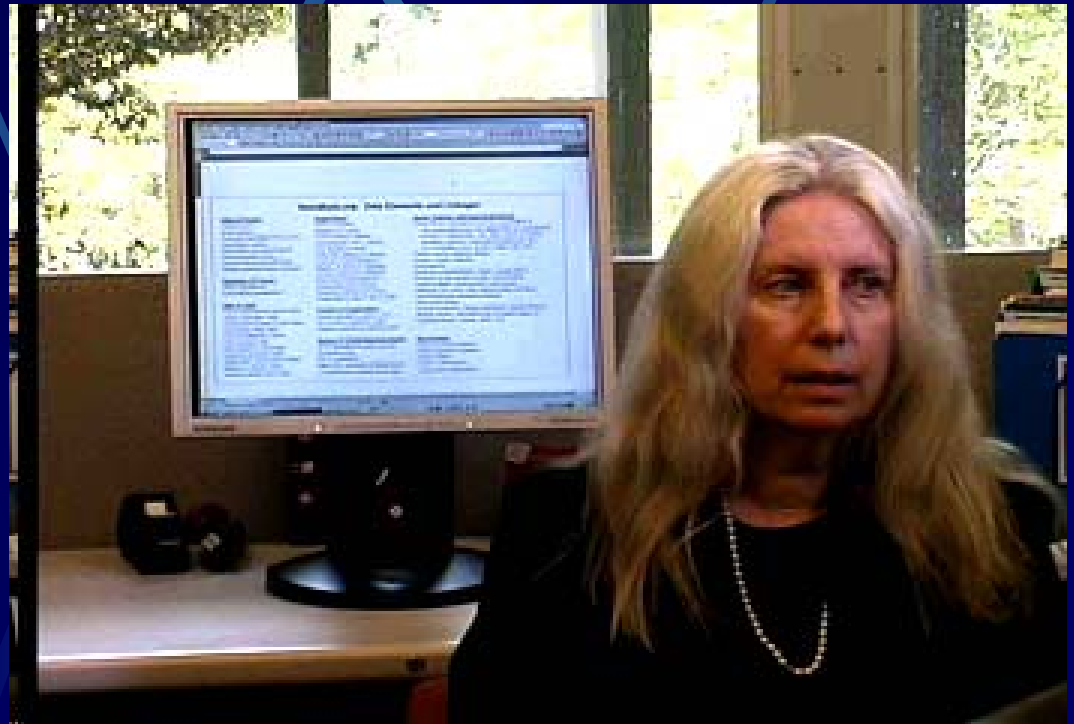
© 2003 Lynne G. Zucker and Michael R. Darby

# A: NanoBank

- Q: What has Jane Doe published and patented in nanoscale S&T?
  - Q: Is she founder or key employee of any firm?
  - Q: With whom has she patented and published?
- What firms work on/sell <topic/product>?
  - Q: What patents do they hold?
  - Q: What is their financing?
  - Q: Who has written as or with an employee?

# NanoBank: A Value-Added Information Resource

- NanoBank will provide accessible, integrated, free information in user-friendly, learning-friendly form



**Lynne Zucker, UCLA  
Principal Investigator for NanoBank**

# NanoBank Combines, Adds & Integrates Information

- Scientific articles, patents, firms, universities, national labs, nonprofit interest groups
- Detailed info on authors/inventors by name, affiliation, location(s), discipline/dept.
- New info: Firms' nanotech products in development and on market
- Link info: scientific to patenting productivity, university to company research
- Link NBIC with analogies via images, e.g. current flow in transistor (info) and carbon nanotube

# NanoBank.org: Examples of Defining Data Element Links<sup>1</sup>

## Name of Person

Patent inventor  
 Article author  
 Principal investigator (PI)  
 Dissertation author  
 Dissertation chair  
 Officer/founder of firm  
 Science advisory board chair  
 Science advisory board member  
 \*Coinventor, author, etc.

## Discipline of Person

Department, current or former [2]  
 Department of dissertation [2]

## Date or Time

Patent application & grant dates  
 Article publication date  
 Grant/contract begin & end dates  
 Dissertation filing date  
 Dissertation filing date  
 Directory/database dates  
 Firm founding date  
 Firm nanotech entry date  
 Financial reporting dates  
 Initial public offering (IPO) date  
 Merger or alliance dates  
 Venture capital round dates  
 \*Interdisciplinary team start dates  
 \*Dept., institute, center entry/change/  
 merger date  
 \*New interdisc. journal areas/start date  
 \*Existing journal new discipline/area  
 entry date  
 \*Fed. Instit., IGA program start date  
 \*Date of move between disciplines [3]

## Organization

Patent assignee  
 Affiliation on article  
 Grant/contract recipient  
 University lists--NRC, IPEDS  
 Firm directory listings  
 Public firm databases (filings)  
 Financial market databases  
 Mergers & alliances database  
 Venture capital firm database  
 Investment bank database  
 Federal laboratory listings  
 Research institute directories  
 Organization's parent org. (if any)  
 \*Non-profit directories, tax filings

## Industry of Organization

Firm/university/fed lab/res. Inst.  
 SIC or NAICS industry codes  
 Venture Economics industry codes  
 \*Nonprofit tax codes [501(c)(3), etc.]

## Science & Technology Area Codes

US & International patent classes  
 ISI journal area  
 PACS codes/text  
 Nano S&T subareas (VJNano *et al.*)  
 Z-D broad science/tech area codes  
 \*NBIC product codes

## Geo-location

Patent inventor's address  
 Patent assignee's address  
 Author address  
 Grantee address(es)  
 Organization address(es)

## Inputs, Outputs, and Success Measures

These can be measured at person, organization or sub-organization level and aggregated (as appropriate) based on: organization; city, state, region or country; discipline, industry, science/technology area, time; or combinations (e.g., by firm, region, and year)

Patent: counts, citations, claims

Articles: counts, citations

\*Employment (& membership for nonprofits)

\*Interdisciplinary Collaborations: counts, classifications, citations for articles and patents

Products in development: counts, classifications

Products on the market: counts, classifications

Venture capital: round counts, round values

Offerings: IPO value, later offering values and types

Investment bank reputation rankings

Stock price history

Impact of risk assessment on stock price:

(1) Product failure, adverse event news

(2) NPO report, event news

Doctoral programs: ranking, graduates, faculty, funding

Awards: Nobels, NAS/NAE/IOM, Phi Beta Kappa, etc.

Grants/contracts: Federal, SBIR, ATP

\*Interdisciplinarity

\*Cross-discipline co-chair on dissertation: counts

\*Cross-discipline co-authors, co-inventors: counts, citations, claims for patents

\*Cross-discipline firm officers, firm science boards: counts

\*Cross-discipline articles in old & new journals: counts, citations

\*Cross-discipline membership: depts., instits., centers, IGAs: counts

## \*NBIC Interdisciplinarity Convergence

\*Analogies/images of cross-discipline concepts

\*New cross-discipline analogies/tools

\*Cross-discipline teaching, patenting, research

Notes: \* indicates added NBIC elements

[1] Identify and search on specific terms in all NBIC areas.

[2] Non-academics: use former department or dissertation department.

[3] E.g., if dissertation discipline is different from department of first job.

# Other NanoBank Features

- Vetted socio-economic analyses of impacts from basic science & engineering discoveries
- Open discussion areas will help identify emerging concerns
- Graphics will draw public, press, policymakers, students

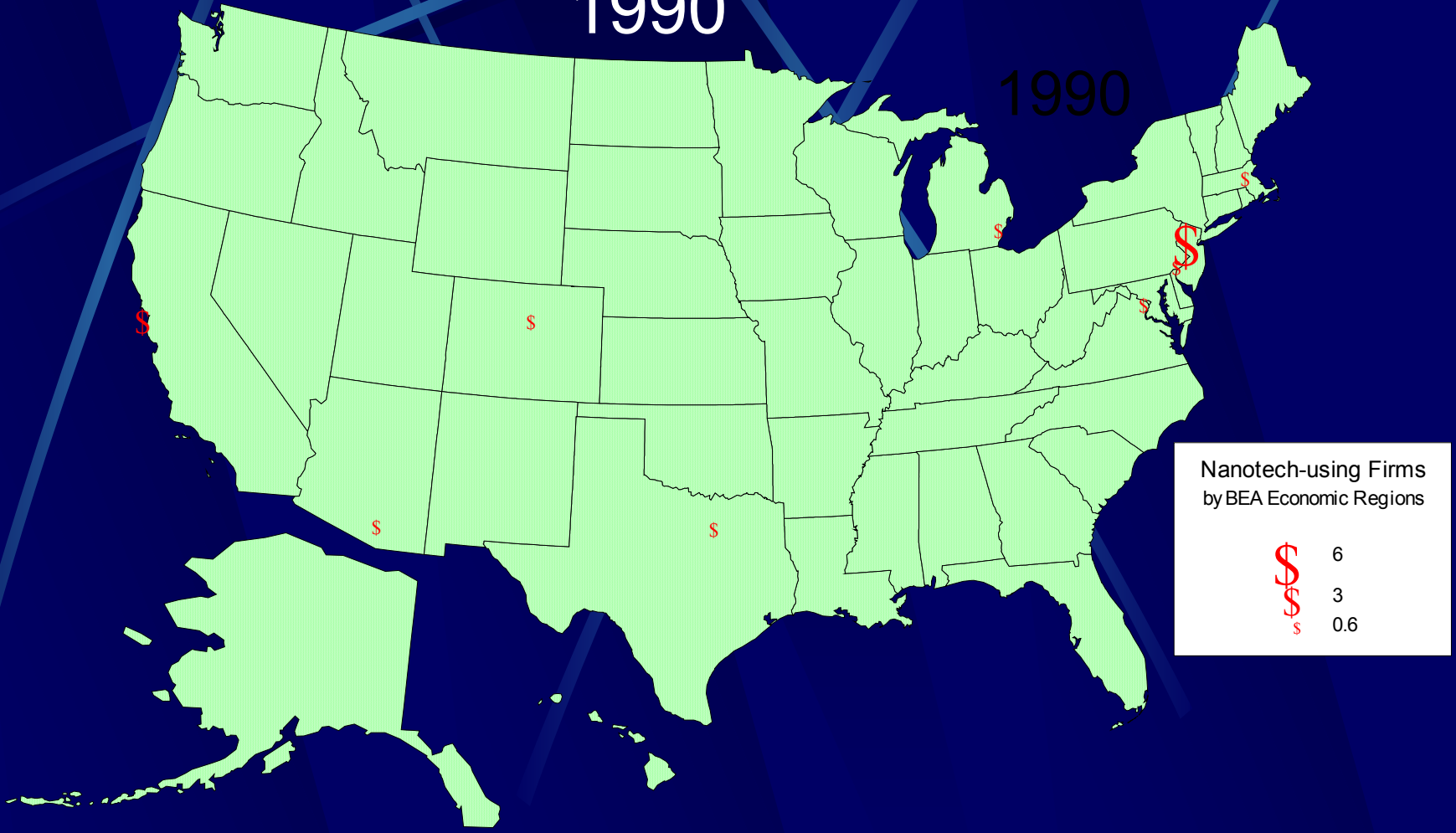


**Robert Liu**  
**NanoBank Lead Programmer**

# NanoBank Shows Where and When Firms Use Nanotech

1990

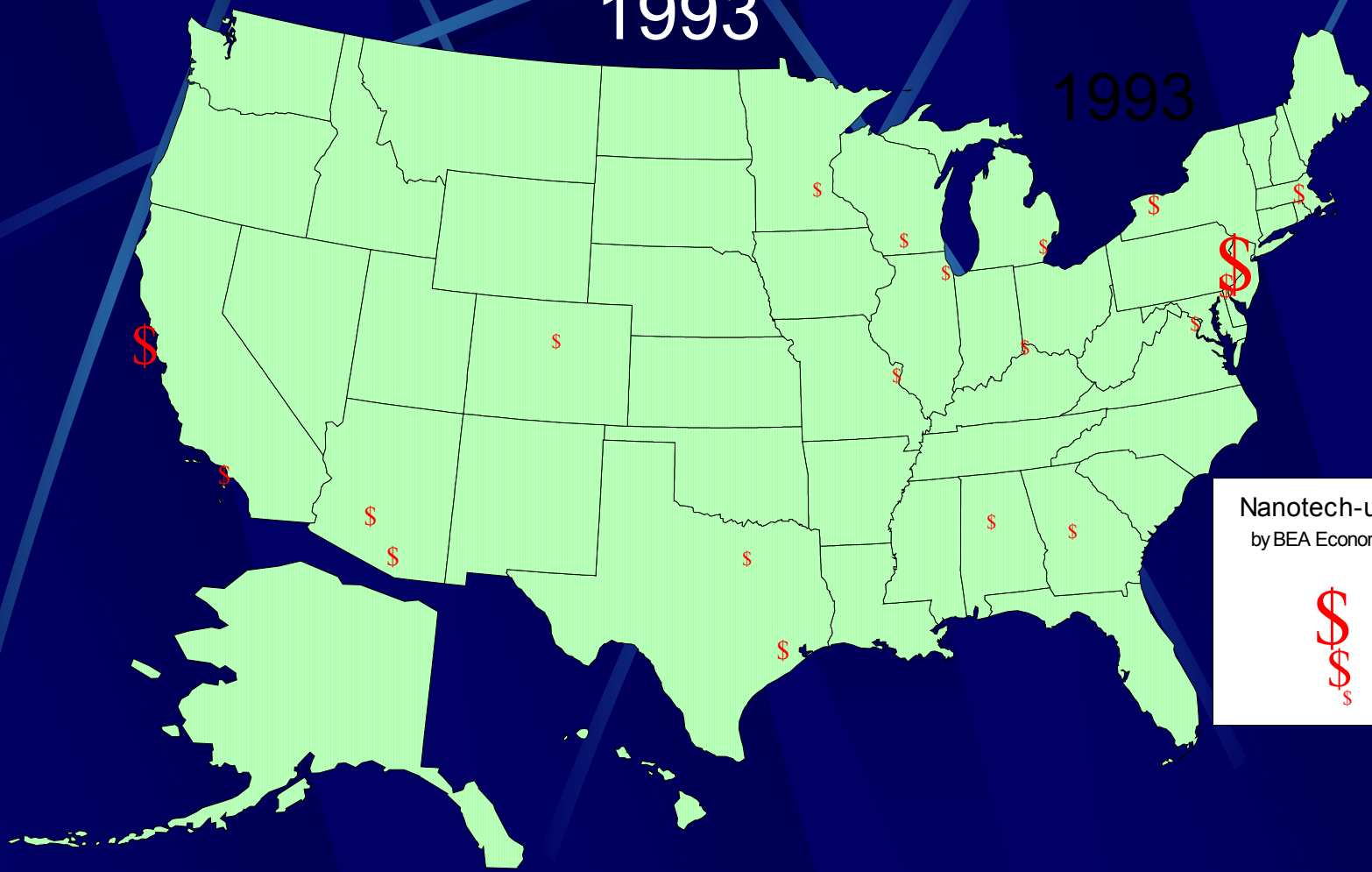
1990






# NanoBank Shows Where and When Firms Use Nanotech

1993

1993



Nanotech-using Firms  
by BEA Economic Regions

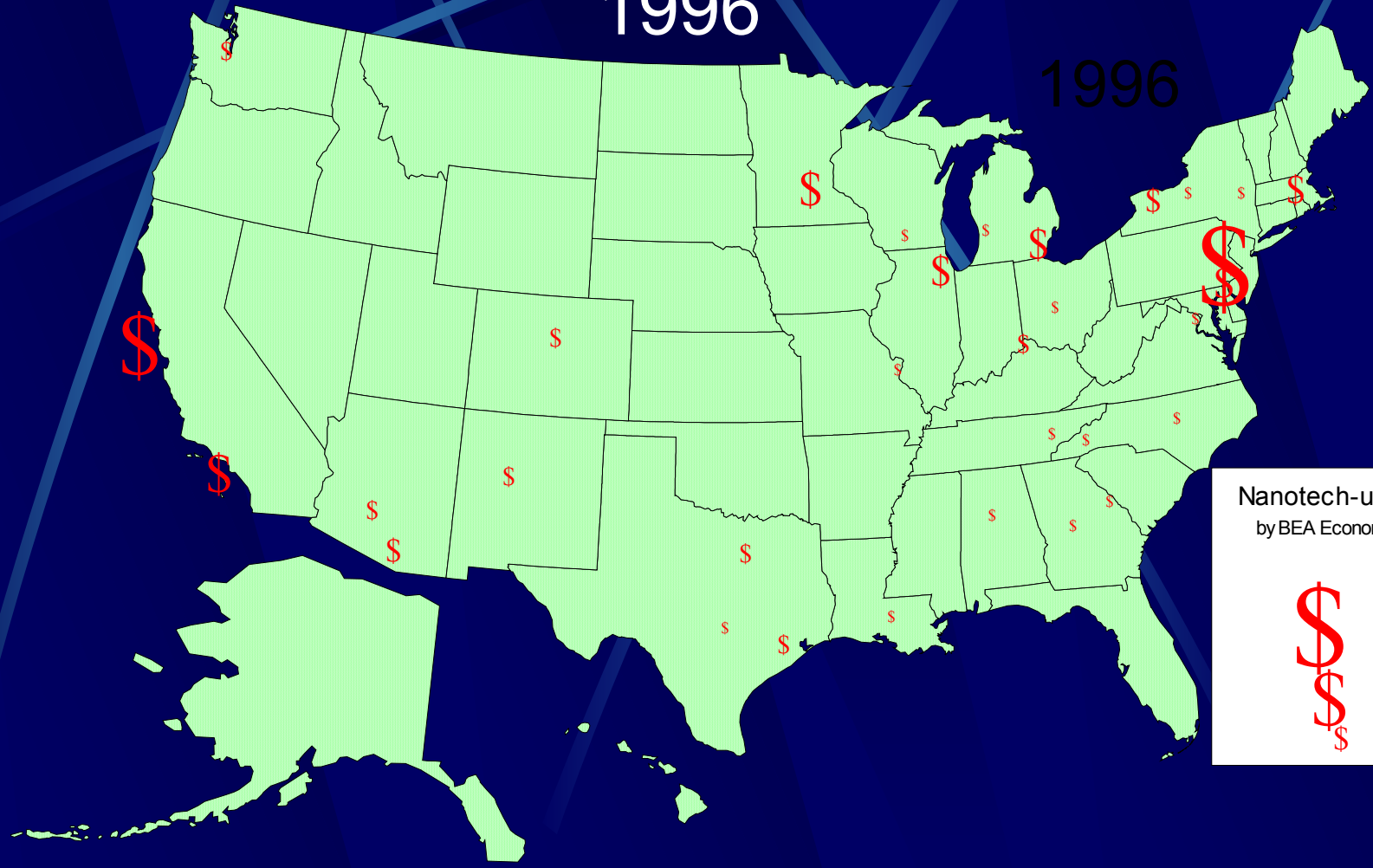
	13
	6.5
	1.3






# NanoBank Shows Where and When Firms Use Nanotech

1996

1996



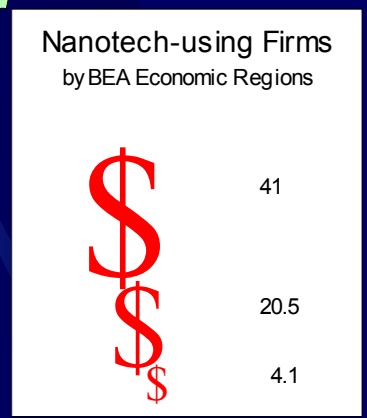
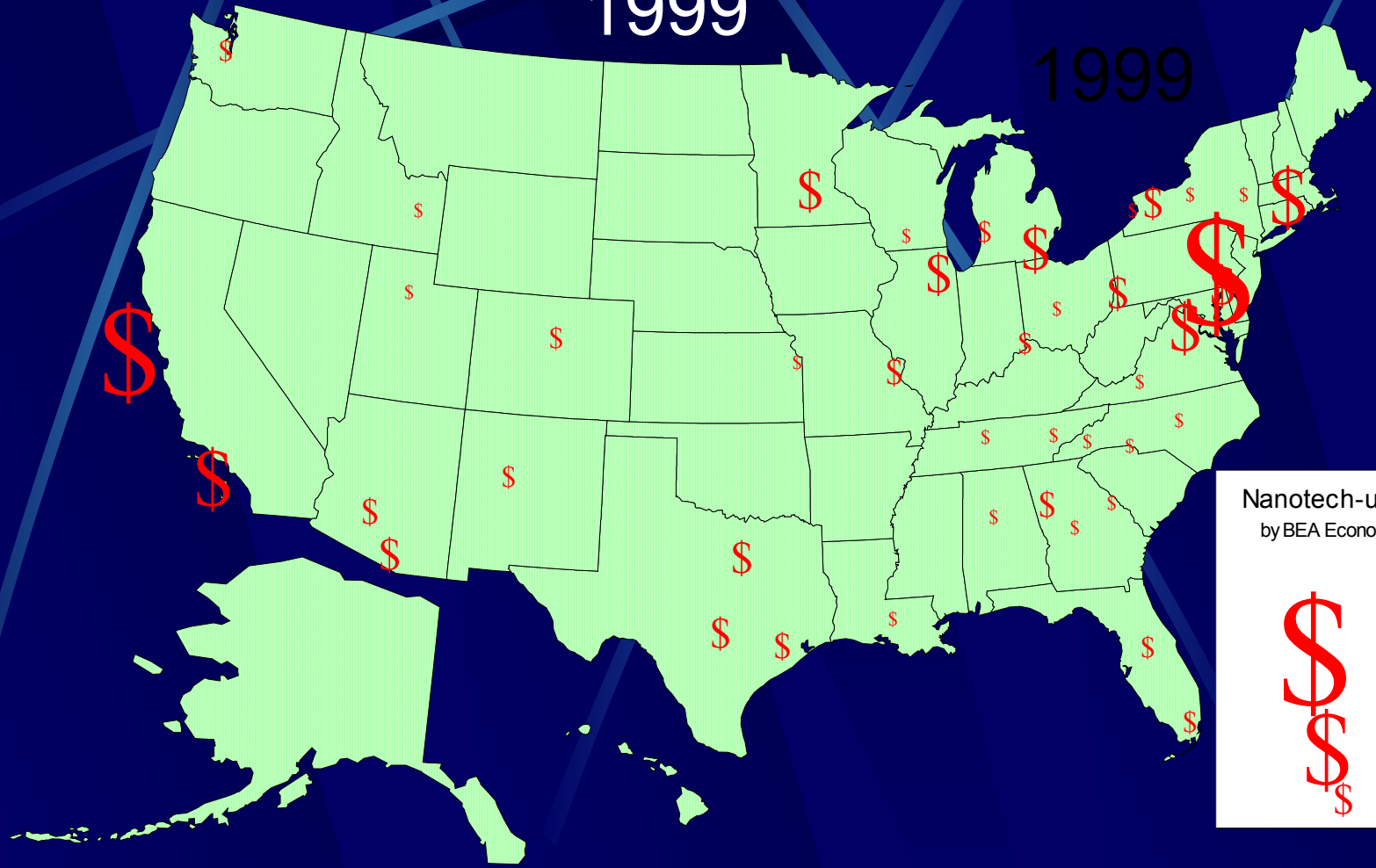
Nanotech-using Firms  
by BEA Economic Regions

	29
	14.5
	2.9

# NanoBank Shows Where and When Firms Use Nanotech

1999

1999



# Social Science Uses of NanoBank

- NanoBank data will enable studies of S&T policy, knowledge transfer, and industry formation



**Chuling Chen – Doctoral RA  
Center for International Science,  
Technology & Cultural Policy, UCLA**

# Expected Impact of NanoBank

- Information will speed commercialization by making it easier for scientists with ideas to link to firms or venture capitalists
- Scientists can more easily find relevant results across disciplines, advancing science
- More equal access to information will promote diversity among nanotech scientists, engineers, and entrepreneurs

# Freer Access Promotes Diversity



**Alfred E. Osborne, Jr.**  
Senior Associate Dean  
UCLA Anderson School of Management