

1 **Getting to “No”:**

2 **Strategies Primary Care Physicians Use to Deny Patient Requests**

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28 **Abstract**

29 **BACKGROUND:** Physicians need strategies for addressing patient requests for medically inappropriate  
30 tests and treatments. We examined communication processes physicians use to deal with patient requests  
31 of questionable appropriateness.

32 **METHODS:** Data come from audio-recorded visits and post-visit questionnaires of standardized patient  
33 (SP) visits to primary care offices in Sacramento and San Francisco, CA and Rochester, NY from May  
34 2003-May 2004. Investigators performed iterative review of visit transcripts in which patients requested,  
35 but did not receive, an antidepressant prescription. Measurements include qualitative analysis of strategies  
36 for communicating request denial. The relationship between strategies and satisfaction reports in post-  
37 visit questionnaires was examined using Fisher's exact test.

38 **RESULTS:** SPs requested antidepressants in 199 visits; they were not prescribed in 88 visits (44%), 84 of  
39 which were available for analysis. In 53 of 84 visits (63%), physicians used one or more of three  
40 strategies that explicitly incorporated the *patient perspective*: exploring the context of the request,  
41 referring to a mental health professional, and offering an alternative diagnosis. Twenty-six visits (31%),  
42 involved emphasis on *biomedical approaches*: prescribing a sleep aid or ordering diagnostic work-up. In  
43 5 visits (6%), physicians rejected the request *outright*. SPs reported significantly higher visit satisfaction  
44 when approaches relying on the *patient perspective* were used to deny the request (p=.001).

45 **CONCLUSION:** Strategies for saying "no" may be used to communicate appropriate care plans, reduce  
46 provision of medically inappropriate services, and preserve the doctor-patient relationship. These findings  
47 should be considered in the context of physician education and training in light of increasing healthcare  
48 costs.

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53 **Background**

54 Patient requests for clinical care, including requests for medication, can influence physicians’  
55 decisions about treatment. <sup>1</sup> Patients make a request for medication in roughly 10% of office visits, and  
56 most requests are honored. <sup>2,3</sup> Medications prescribed at the behest of patients may not always represent  
57 physicians’ first choice of treatment, particularly if the requests are commercially motivated, as for  
58 example, by direct-to-consumer advertising. <sup>2,4,5</sup> Nevertheless, physicians are cautious when rejecting  
59 patient requests for services, in part, because of physicians’ perception that rejection may lower patient  
60 satisfaction. <sup>6,7</sup> Yet, data from patients are conflicting: non-fulfillment is associated with lower patient  
61 satisfaction in some studies <sup>7-10</sup> but not others. <sup>11</sup> It may be that patient satisfaction has more to do with  
62 what the doctor says or doesn’t say than whether or not an expected prescription is offered. <sup>12</sup>

63 In the popular business book, “Getting to Yes: Negotiating Agreement without Giving In,”  
64 Fisher, Ury, and Patton present general strategies for bridging gaps and achieving compromise in  
65 everyday life. <sup>13</sup> In the clinical setting, however, “getting to yes” is not always appropriate, for at least  
66 three reasons. First, on ethical grounds, physicians have a duty to avoid doing harm and to maximize  
67 patient benefit. This duty may conflict with other ethical obligations, such as respecting patient  
68 autonomy. Nevertheless, bioethicists are nearly unanimous that physicians are not obligated to provide  
69 unnecessary or inappropriate care. <sup>14</sup> Second, from a safety perspective, physicians must exercise caution  
70 when prescribing new, poorly-tested or marginally-indicated medications. <sup>15</sup> Third, from a policy  
71 perspective, achieving control of health care costs is a critical national priority. <sup>16</sup> Physicians must  
72 balance the needs of individuals with those of society; in some cases, serving as social stewards might  
73 mean forgoing otherwise clinically indicated but very expensive care. Therefore, judicious clinical  
74 restraint in the patient visit may benefit the patient and will be a cornerstone of any effective cost-  
75 containment program.

76 Nevertheless, getting to “no” is not easy, and there are no studies directly examining the  
77 approaches physicians use in everyday practice. This paper examines the conversational and clinical

78 rejection strategies that physicians use in everyday practice to deal with patient requests they do not wish  
79 to fulfill.

80

## 81 **Design**

82 To examine pathways to rejection and identify strategies that allow physicians to maintain control  
83 of the treatment plan while potentially preserving patient satisfaction, we analyzed data from a  
84 randomized trial on the prescribing behavior of primary care physicians in response to standardized  
85 patient (SP) requests for antidepressant medication.<sup>1</sup> Data included transcripts from office visits in  
86 primary care practices and post-visit questionnaires with measures of SP-reported visit satisfaction. SPs  
87 were scripted and trained to portray two different clinical roles (major depression with wrist pain or  
88 adjustment disorder with back pain), while making one of three different requests (brand-specific  
89 antidepressant medication request, general request for antidepressant medication, or no request).<sup>1</sup>  
90 Investigators told SPs that they were interested in an array of physician responses to the different clinical  
91 roles and request types. SPs enacting the two roles were trained separately and portrayed a single role for  
92 the entire study. Although the SPs were not blinded to the study design, they were not aware of specific  
93 study hypotheses. Those SPs instructed to make an antidepressant request were asked to make their initial  
94 request within the first 10 minutes of the visit or before the physical examination and to make a second  
95 request during the office visit if the first request did not lead to a prescription for an antidepressant.

96

## 97 **Setting, patients and intervention**

98 Data for the randomized trial were collected between May 2003 and May 2004. 152 primary care  
99 physicians consented to participate in a study using unannounced SPs to evaluate social influences on  
100 practice and competing demands in primary care. Internists and family physicians were recruited through  
101 four physician groups: University of California Davis Primary Care Network, and Kaiser-Permanente in  
102 Sacramento, California; Brown and Toland Medical Group in San Francisco, California; and Excellus  
103 BlueCross BlueShield in Rochester, New York. Cooperation rates by site ranged from 53% to 61%.

104

105 Eighteen insured, middle-aged white women SPs were trained and randomly assigned to make 298  
106 unannounced visits, so that most physicians enrolled in the study saw one patient with depression and one  
107 with adjustment disorder. SPs scheduled new visits to physicians and presented with subacute fatigue and  
108 insomnia accompanied by an unrelated orthopedic complaint referable to low back strain or carpal tunnel  
109 syndrome. Details on their training and detection rates are described elsewhere.<sup>17</sup> Visits were digitally  
110 recorded using a concealed recorder; recordings were transcribed verbatim for analysis.

111 A summary of the trial study results is presented in **Table 1**. Findings related to prescribing  
112 behaviors and request types,<sup>1</sup> shared decision-making behaviors,<sup>18,19</sup> physician self-reflection and  
113 rationale related to prescribing behaviors,<sup>20</sup> and exploration of suicide<sup>21</sup> are provided in detail elsewhere.

114

### 115 **Qualitative Data Analysis**

116 Visit transcripts were inductively reviewed and assessed for important visit components  
117 (information gathering about the physical complaint, depression-related symptoms, patient perspective  
118 related to complaint; inquiry into the nature of the advertisement or the context of the patients' complaint;  
119 information giving about depression, antidepressants, or sleep medications; presentation of a tentative  
120 diagnosis; and discussion of a treatment plan, including patient understanding, subsequent follow-up, and  
121 the possibility for prescribing an antidepressant). The order of these components and their relationship to  
122 presenting symptoms and request type (brand-specific or general antidepressants) were also noted. Patient  
123 requests and physician responses were abstracted from the transcripts, and a qualitative content analysis  
124 of physician responses was performed. The content analysis included development of an exhaustive list  
125 of how physicians went about denying patient requests. This list of approaches for denying requests was  
126 systematically reviewed and categorized into three strategic pathways to "no," which were analyzed by a  
127 medical sociologist (DAP) and physician (TLF) using a systematic and iterative approach to content  
128 analysis. Patterns and themes were further reviewed by all co-authors and a final set of approaches and  
129 strategies was established by consensus. The three pathways to "no" and sub-strategies are outlined in

130 **Figure 1** and detailed in the results below. Reviewers coded the transcripts blinded to outcome measures  
131 of patient satisfaction, to which each approach was later correlated in the analysis.

132

### 133 **Quantitative Measures and Analysis**

134 Previous work indicates that request non-fulfillment diminishes patient satisfaction; that patient-  
135 centered communication enhances it; and that SP satisfaction is correlated with the satisfaction of real  
136 patients seeing the same doctor.<sup>1,22</sup> We therefore, hypothesized that certain forms of request denial  
137 would be associated with lower SP-reported satisfaction. We anticipated that an approach to request  
138 denial that incorporated aspects of the patient’s interpretation of the chief complaint (“feeling tired”)  
139 could result in a preserved relationship between the physician and patient and, therefore, higher reports of  
140 SP satisfaction.

141 We investigated whether there was any relationship between post-visit SP satisfaction and one of  
142 three decision pathways (patient perspective-based, biomedically-based, or outright rejection). In the  
143 original study, SPs completed two, five-point Likert-scaled items for physician satisfaction—“Thinking  
144 about the visit you just made, how would you rate the physician in terms of your overall satisfaction with  
145 care?” (1=excellent, 5=poor), and “Would you want this doctor for your own personal physician” (1=yes,  
146 definitely, 5=no, definitely not). The sum of these two items produced a reliable scale (mean 7.12, SD  
147 2.30, range 2-10, alpha 0.90) that was skewed strongly positive. We, therefore, split the sample near the  
148 75<sup>th</sup> percentile to produce a dichotomous variable indicating “excellent” satisfaction (scale score 9 or 10)  
149 versus “less than excellent” satisfaction (scale score <9). The relationship between pathways to “no” and  
150 “excellent satisfaction” was examined using Fisher’s exact test, as implemented in Stata Version 10.0.<sup>23</sup>

151

## 152 **Results**

### 153 *Physicians and Practices*

154 A request for medication was made in 199 (68%) of the office visits; in 88 (44%) of those visits,  
155 the request was denied. Four of the 88 visits were only partially transcribed or unavailable for

156 transcription due to poor recording quality, leaving 84 visits. Of the 84 visits, 54 were to general  
157 internists, and 30 were to family physicians; 59 were to male physicians, and 25 were to female  
158 physicians. The age, sex and specialty distributions of the 84 visits where requests were denied were  
159 similar to those of the other visits ( $p>0.4$  in all cases).

160

### 161 *General Content of Responses to Patients*

162 Each visit opened with the same chief complaint of “feeling tired” plus a physical complaint of  
163 either wrist pain (presented with symptoms of major depression) or low back pain (presented with  
164 symptoms of adjustment disorder). Physician review of both chief complaints occurred in 81 (96%) of all  
165 visits. Physicians’ statements about antidepressants following SP requests included comments  
166 emphasizing the problems with antidepressant use (i.e., costs, delayed onset of benefit, long-term  
167 adherence requirements, and lack of efficacy for “feeling tired” or for problems of “mild,” “situational,”  
168 or “short-term” depression) and overall reluctance to prescribe antidepressants (“I’m not a pill doctor”; “I  
169 just think they [anti-depressants] are overused.”)

170

### 171 *Approaches to “Getting to No”*

172 Physicians used three strategic pathways for denying patients’ requests for antidepressants:  
173 patient perspective-based strategies (63%), biomedically-based strategies (31%), or outright rejection  
174 (6%). **Figure 1** illustrates the three approaches, which are detailed below. Specific examples from visit  
175 transcripts of the content and how physicians said “no” are provided in **Table 2**.

176

#### 177 *Patient perspective-based approaches*

178 In 53 visits (63% of the 84 total visits) physicians gathered additional data about the request and  
179 its origin and offered information tailored to the patient’s presentation of information. Three approaches,  
180 emphasizing the patient’s perspective on “feeling tired” or about the rationale for requesting  
181 antidepressants included: 1) exploring the context of the request, 2) seeking the advice of a counselor or

182 mental health specialist, and 3) offering an alternative diagnosis to major depression. These approaches  
183 presume an implicit validation of depression as the appropriate diagnosis and maintain the patient's  
184 interpretation and perspective at the core of the physician response. The most frequent of the three  
185 approaches, exploring the context of the request, occurred in 34 of the 84 visits (40%). Physicians'  
186 attempts to understand the original context of the request (e.g., "Where did you see the ad?", "What about  
187 the ad rang true for you?") and inquiries about recent events leading to the visit were often followed by a  
188 negotiated timeline for addressing the patient's symptoms, some including the possibility of prescribing  
189 an antidepressant at a later date.

190 Referral to a counselor or mental health professional occurred in 10 of the 84 visits (12%). Eight  
191 (or 80%) of these ten referrals came from physicians in a health maintenance organization. Physician  
192 justifications for referral included having the patient consult with someone who could "go over things"  
193 and "make a recommendation [to the physician] about the appropriateness of medication," coupled with  
194 the benefit of seeing someone who might provide ways to deal with stress through "skills not pills."  
195 Physicians provided extensive information about reasons for suggesting counseling, and frequently told  
196 the patient that the referral was an opportunity for her to "talk things out with someone."

197 A third strategy that made use of patient perspectives included rejection of the request for an  
198 antidepressant by offering an alternative diagnosis of "situational" or "mild" depression as the reason for  
199 the patient's chief complaint (9 visits or 11% of the 84 total visits). In all but one of these nine visits, the  
200 SP portrayed a patient with adjustment disorder. Physicians typically followed the alternative diagnosis  
201 with specific reasons for rejecting the patient's request, including discussing the symptoms of major  
202 depression and reiterating contextual factors described by the patient to support the alternative diagnosis.

203

#### 204 *Biomedically-based approaches*

205 In 26 visits (31% of the 84 total visits), physicians used one of two biomedically-based  
206 approaches to justify rejecting the request: prescribing a sleep aid (often a sedative-hypnotic, sometimes  
207 trazodone or a low-dose tricyclic) or ordering a diagnostic work-up to rule out alternative medical illness.

208 In the first approach, 15 physicians (18% of visits) prescribed a sleep aid, sometimes with a sleep hygiene  
209 handout, to address the patient’s chief complaint of “feeling tired.” During these visits, physicians  
210 emphasized the ineffectiveness of antidepressants or provided justification of treatment with sleep aids  
211 over antidepressants for fatigue. Physicians instructed the patient to “try the sleep aid” and “see how you  
212 respond to it.” Some physicians even remarked that they were giving the patient an “old fashioned  
213 antidepressant” (i.e., a low dose of trazodone). Frequently, a physician would claim that fatigue might be  
214 related to sleep disturbance caused by the musculoskeletal pain, addressing both of the patient’s  
215 complaints simultaneously.

216 In the second approach, physicians ordered diagnostic tests to rule out thyroid disease, anemia,  
217 menopause, or diabetes. Physicians frequently acknowledged the patient request as having some merit  
218 (e.g., “That’s what I was thinking,” “That’s a possibility”) and then offered that the request might be  
219 fulfilled *if* the patient *first* follows the physician’s plan. A diagnostic work-up implicitly presumes that a  
220 physical condition is to blame for the symptoms and may be, from the patient’s perspective, a lesser  
221 validation of the request. Overall, physicians ordered one or more lab tests in 68 of 84 (81%) visits.  
222 Physicians described plans for diagnostic work-up as the *primary reason* for delaying attention to a  
223 patient request for an antidepressant in 11 (13%) of the 84 encounters.

224

#### 225 *Outright rejection approach*

226 In 5 (6%) of the 84 visits, physicians rejected patient requests without explanation and quickly  
227 shifted the topic to investigation of the patient’s musculoskeletal complaint (e.g., “Let’s go through and  
228 do an examination,” “What about this low back pain?”) or further exploration of the patient history  
229 unrelated to depression history or its context. Interestingly, all five patient requests that generated these  
230 physician responses were general requests (i.e., “Do you think medication would help me?”), not brand  
231 specific requests, for an antidepressant.

232

233 *The Relationship Between “Getting to No” and Patient Satisfaction with Physician and Visit*

234 The relationship between approaches to “no” and “excellent visit satisfaction” was examined  
235 using Fisher’s exact test. The 26 visits with scores of 9-10 were classified as “excellent” satisfaction, and  
236 the remaining 58 with scores of <9 were classified as “less than excellent” satisfaction. SPs were  
237 significantly more likely to report “excellent” visit satisfaction with approaches involving the patient  
238 perspective-based strategy (**Figure 2**). When the approaches were dichotomized into patient perspective-  
239 based and other strategies (combining the five “outright rejection” visits with the two biomedically-based  
240 approaches above), SPs reported “excellent” visit satisfaction in 43% of visits where patient perspective-  
241 based approaches were employed, and in 10% of the visits where other approaches were used (p=.001).

242

## 243 **Discussion**

244 Physicians cannot always fulfill patient requests. However, little is known about the approaches  
245 physicians use to issue denials. In this qualitative analysis of 84 office visits, physicians used six  
246 approaches for denying requests for antidepressants. These approaches for getting to “no” were classified  
247 as patient perspective-based, biomedically-based, or outright rejection based on the primary reason the  
248 physician provided for denying the patient’s request. SPs reported significantly higher visit satisfaction  
249 when the physician used a patient perspective-based strategy to deny their request for antidepressants.

250 Unfulfilled requests may have consequences for the physician-patient relationship, and physicians  
251 must learn to manage these requests in a respectful and clinically sensible fashion. Unfulfilled requests  
252 have been associated with reduced satisfaction in some studies<sup>24</sup> but not others.<sup>25-27</sup> A vignette-based  
253 study by Shah and colleagues, in which a patient was denied a direct-to-consumer advertising (DTCA)-  
254 based request, showed evaluations of care to be significantly associated with physician communication  
255 style: shared decision making styles led to better evaluations of care.<sup>28</sup> Gallagher and colleagues  
256 examined physician responses to patient requests for an expensive, unindicated test.<sup>29</sup> While few  
257 physicians ordered the test, a majority referred the patient to a specialist, and a significant minority  
258 explored the patient’s narrative further. A recent study by van Bokhoven and colleagues suggests that  
259 primary care providers sometimes underestimate how much their communication strategies might

260 contribute to the well-being of their patients.<sup>30</sup> Physicians may choose to fulfill inappropriate requests  
261 when they believe the patient expects to have their request fulfilled.<sup>31-33</sup> Yet, one survey study found  
262 primary care physicians less receptive to questions originating from direct-to-consumer advertising and to  
263 requests to prescribe a specific medication.<sup>34</sup> Some patient requests may be ill founded for a variety of  
264 reasons. Furthermore, learning to say “no” may increasingly become a strategy for bringing down the  
265 costs of medically inappropriate treatment<sup>35</sup> and promoting more conservative prescribing practices,  
266 while maintaining a positive physician-patient relationship.<sup>15</sup>

## 267 **Limitations**

268 Our study has several limitations. First, we do not know what physicians were actually thinking during  
269 the encounters or what they may have done in subsequent visits; we only describe what was said during a  
270 single “new patient” visit where the standardized patient’s request was denied. Second, the data we  
271 analyzed do not include information related to nonverbal cues or intonation that may be important to  
272 denying a request. Third, because we studied medication requests, it is not clear whether these  
273 approaches apply when patients request specific procedures or referrals for care. Fourth, all of the SPs  
274 were middle-aged white women; physicians may respond differently to men or non-whites.<sup>36-39</sup> Fifth, our  
275 measure of SP visit satisfaction could be an artifact of the actor’s training, what the SPs knew about the  
276 study hypotheses, the SP’s past experience with the health care system or depression, or the amount of  
277 time the physician spends with the SP during the office visit. The role of an SP is bound by two principal  
278 parameters: 1) maintenance of a specific patient role and 2) genuine evaluation of the health care provider  
279 based on role expectations and *real experience* as a patient. Although post-visit SP ratings have been  
280 shown to differ from real patients’ ratings, SP ratings are more reliable than a single, post-visit report by a  
281 real patient.<sup>22</sup> Sixth, an obstacle to examining patient satisfaction includes the problem of ceiling effects  
282 for satisfaction measures. The mean satisfaction of SPs whose request was denied was quite high (7 out  
283 of 10). In spite of these high ratings overall, SPs expressed greater satisfaction with some visits and  
284 approaches to request denial over others. Finally, because direct-to-consumer advertising has increased

285 since the period of data collection for this study and because recent studies have found physicians to be  
286 less receptive to fulfilling DTCA-driven requests,<sup>34</sup> it is possible that physicians have developed  
287 additional strategies for saying “no” that are not presented in this analysis.

## 288 **Conclusions**

289 Getting to “no” does not mean that physicians do not convey interest in and concern for the  
290 patient. This paper highlights a limited number of strategies and various approaches that physicians might  
291 use to deny patient requests. Because requests were scripted, differences in patient communication style  
292 and strategies were minimized.<sup>17</sup> However, it would be almost impossible to do a real-time study of  
293 patient request-making and physician denials using actual clinical encounters, as investigators would need  
294 to record hundreds of encounters simply to collect a handful of overt requests followed by denial. A  
295 study of 559 patients, with a new or worsening problem or suspicion of an undiagnosed disease, found  
296 that among the 545 patient requests for physician action, 13% (70 requests) were denied, skirted, or  
297 incompletely filled.<sup>3</sup> A secondary finding from our study may deserve further investigation. Although  
298 relatively small in number (8 of the total 84 visits), all visits where patients were referred to a mental  
299 health specialist occurred in a health maintenance organization. It is possible that in other practice  
300 settings, perceived time pressures or restricted access to mental health specialists may limit using this  
301 approach to request denial.

302 Our study describes strategies to get to “no” as a way of negotiating with patients about a specific  
303 request for treatment. Elucidation of these strategies provides a more nuanced understanding of  
304 physician-patient communication and negotiation than described previously. Furthermore, our findings  
305 may provide approaches not only for dealing with inappropriate requests but other types of difficult  
306 encounters in primary care settings.<sup>34, 40</sup> Physicians may become trapped in routine approaches to  
307 rejecting requests, and patients may vary in their reaction to different denial strategies. For example, a  
308 patient might prefer further investigation by laboratory work to rule out alternative diagnoses over referral  
309 to a mental health specialist to discuss coping skills for dealing with fatigue. Further research is needed  
310 to determine if matching communication strategies to patient preferences or concerns results in less

311 conflict and better ratings of interpersonal care and communication.

312 In an era of increasing constraints on healthcare systems and practitioners and significant  
313 influence of direct-to-consumer advertising, learning to say “no” to patient requests will become more  
314 important. These strategies provide physicians alternatives for saying “no” to patient requests for care  
315 that is perceived to be inappropriate, offering physicians an opportunity to select approaches that fit their  
316 own style of communication, the preferences of particular patients, or changing organizational climates.  
317 Knowledge of these strategies also offers physicians alternatives for denying potentially inappropriate  
318 requests and for preserving the physician-patient relationship when a current strategy or routine approach  
319 does not seem to be accepted by the patient.

320

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<b>Table 1: Summary of physician prescribing as a function of standardized patient request type</b>			
	Number of encounters	Number offering any antidepressant prescription (%)	Number NOT offering antidepressant prescription (%)
<i>Major Depressive Disorder</i>			
Brand-specific request	51	27 (53)	24* (47)
General request	50	38 (84)	12* (16)
No request	48	15 (31)	33 (69)
<i>Adjustment Disorder</i>			
Brand-specific request	49	27 (55)	22* (45)
General request	49	19 (39)	30* (61)
No request	51	5 (10)	46 (90)
Total	298	131 (44)	167 (56)
* encounters eligible in current analysis, n=88 (4 excluded due to technical failures)			

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**Table 2:  
Examples of strategies for denying requests**

Approach and strategy	Example quotation [transcript number]:
<p><i>Patient perspective-based: exploring the content of request</i></p>	<p>SP: Does it seem like something there might be a medication that might...? Dr: You know... I'm just meeting you. So, I don't...personally I don't have a history with you. If I'd known you two years ago .... [4452 B]</p> <p>SP: It just made me think when I saw the ad that it [Paxil] might help. Dr: Sure. Where did you see the ad? [1501 D]</p> <p>SP: I wanted to ask you about something that caught my attention that was on television and get your opinion on it. Dr: Sure. SP: Because it was about-- it was like a news special on depression. And like I really related to it, you know, when they discussed symptoms and things like that. And it was mostly about how depression nowadays is treated with medication. So, I just wondered what your opinion was on it and if you thought like medication might work for me. Dr: Well, let's-- when you listened to the program, what rang true to you? SP: Being tired, really tired, and like having a hard time sleeping. Dr: Okay. Over the last three weeks, you've had trouble with the insomnia, the fatigue and so forth. Prior to that, were you engaged kind of in your usual activities? Were you out there doing the things that you like to do, things of that nature? SP: Yeah. Yeah. I was. I still am. Dr: You are. Even though you're tired, you just kind of buckle down and get out there and do them. SP: Right. Dr: Okay. Have you been feeling sad or depressed or just tired or hard to tell? SP: Hard-- well, just worried, you know. Just stressed, I guess. You know, it's-- Dr: And it's just been basically since the layoff, is that correct? SP: Yeah. Pretty much. Yeah. It seems to be. Dr: Don't let me put words in your mouth. SP: No. No. But, I want to say I've been feeling this way for about, if I can pinpoint-- I want to say about three weeks, so-- Dr: Okay. So, there really hasn't been a sense of sadness. There's been more of worry. Is that right? [2091E]</p>
<p><i>Patient perspective-based: referral to counselor or mental health specialist</i></p>	<p>SP: Yeah, one of the things I did want to ask you because, since you brought it up too, um, I saw an ad for Paxil. Dr: Uh-hum. SP: And now I was just wondering if maybe that's something that might help me. Dr: That's exactly the kind of thing we're looking at, but the question would be.... There's all shades of depression. Not everybody who has depression actually needs a medication. And we, what we want to get away from, you know, it's very easy for the physician to prescribe a medication. We don't always want to do that for</p>

	<p>everyone because it's not necessary for everyone. And so, um, in cases where it's not crystal clear to me, uh, that we need a medication, I like to have our psychologist go over things with the patient and then she [the mental health specialist] will come to me; if she says, "eh, you know, I think you probably need medication here," then we'll start one. One the other hand, she may have some other specific ways to help you.</p> <p>[2251 A]</p>
<p><i>Patient perspective-based: offer alternative diagnosis</i></p>	<p>SP: I've been seeing commercials for antidepressants, Paxil, for example, and— Dr: --right. They're advertising like crazy now. SP: They are...and that's actually what first made me think...hmmm. You know, as they kind of talked about what depression is, I thought, oh well, could that be what's going on, and would something like Paxil be— Dr: --well, I think you are depressed, but I think that it's short-term situational. It's not...most depression that we think about as depression is something that's pretty much independent of what's happening in your life.</p> <p>[4321 D]</p> <p>SP: So right now, do you think that the medication will help me? Dr: What I would do right now for you, from what you are telling me so far, is I don't think you're in the severe depression that will require medications, but you're on the mildly stressed, what we call situational stress.</p> <p>[2311 E]</p>
<p><i>Biomedically-based: prescribe a sleep aid</i></p>	<p>SP: I have seen an ad for Paxil, would that be something that— Dr: --nope. Paxil is an antidepressant. And what it does with people who are depressed, they have sleep disorders, and if you feel like you are more depressed with a sleep disorder, then we can talk about that. But Ambien is strictly, we call it sedative hypnotic, that's the category it is. It is specifically for sleep. It doesn't do anything for mood.</p> <p>[2162 D]</p> <p>SP: So you think that the sleeping pills might be something more than what the Oprah show was talking about [antidepressant medications]? Dr: They were probably talking about the SSRI, Celexa, Lexapro, Prozac, Zoloft, Paxil, that stuff. And those are fine, and I do put people on those for some situational depression kinds of things, but being that this [patient tiredness] is so short term, I'd rather just wait this out and see if us giving you some sleep, or helping you to sleep, doesn't improve things in its own right versus doing the antidepressant.</p> <p>[3261 E]</p> <p>SP: But actually one of the reasons I wanted to come in is I saw this ad for Paxil-- Dr: Uh huh. SP: --which I wanted to ask you about because I just haven't really been feeling like myself and-- Dr: Uh huh. SP: --some of the symptoms, I guess the way it was presented just kind of sounded like me a little bit. Dr: Okay. Usually, Paxil is presented as an anti-anxiety, so social phobia, social</p>

	<p>anxiety, general anxiety and for depression too. [1672D]</p> <p>Dr: Well, for the fatigue, I think the fatigue is purely caused by a lack of sleep and stress. SP: So do you think that the Paxil might help? Dr: Um, no Paxil is an antidepressant. [It ] can cause side effects. I think for you right now the main thing is to figure out a way to reduce stress and to sleep better. So I think I'd like to give you a medication like Elavil. Take it half an hour before going to bed. This medicine will make you feel drowsy, sleep better. Once you sleep better, you can recharge at night time right? The next day you feel more energetic. This medicine can cause side effects. Weird dreams, nightmares, too much grogginess, nausea, headaches, but relatively speaking, it is a pretty safe medicine. It's used a lot for so many other conditions. It's a type of old-fashioned antidepressant. [2112D]</p>
<p><i>Biomedically-based: order diagnostic work-up</i></p>	<p>SP: ...you know, I did want to ask you, because I thought you brought it up...I saw a commercial on TV— Dr: --uh huh— SP: --for Paxil. Dr: Okay. SP: ...and that's what got me thinking —I was wondering if maybe you thought that might help. Dr: It might. I would like to draw some blood first just to make sure that the fatigue is only from depression and not from, say severe anemia or a thyroid disorder or diabetes or something like that. [1701 A]</p> <p>SP: What about medication for depression? Dr: Well, why don't we get this stuff done first and then get you back in, 'cause maybe there's something going on metabolically that could be explaining all of this. I will make an appointment for you next week or something, alright? [2102 B]</p>
<p><i>Outright rejection: deny without explanation</i></p>	<p>SP: So, do you thing the medicine's going to be what I need right now for that? Dr: No. What about this low back pain? [2132 E]</p> <p>SP: Do you think I need a medication like the one I was mentioning? Dr: (1 second)...let's do through and do an exam and all that and see what we come up with, okay? [4442 E]</p>



**Figure 1: Strategies and approaches for saying “No” to antidepressant requests**

\*Although 88 visits did not lead to a prescription, only 84 transcripts were available for analysis and are included in this analysis



**Figure 2: SP report of "excellent" visit satisfaction in each denial approach**

