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# Evaluation of a new model of aeolian transport in the presence of vegetation

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26	Abstract

Aeolian transport is an important characteristic of many arid and semiarid regions worldwide that is related to dust emission and ecosystem processes. The purpose of this paper is to evaluate a recent model of aeolian transport in the presence of vegetation [*Okin*, 2008]. This approach differs from previous models by modeling how vegetation affects the distribution of shear velocity on the surface rather than merely calculating the average effect of vegetation on surface shear velocity or simply using empirical relationships. Vegetation, soil, and meteorological data at 65 field sites with measurements of horizontal flux were collected from the Western US.

34 Measured fluxes were tested against modeled values to evaluate model performance, to obtain a 35 set of optimum model parameters, and to estimate the uncertainty in these parameters. The same field data were used to model horizontal flux using three other schemes. Our results show that 36 37 the Okin [2008] model can predict horizontal flux with approximate relative error of 2.1 and that 38 further empirical corrections can reduce approximate relative error to 1.0. The level of error is 39 within what would be expected given uncertainties in threshold shear velocity and windspeed at 40 our sites. The model outperforms the alternative schemes both in terms of approximate relative 41 error as well as the number of sites at which threshold shear velocity was exceeded. These results 42 lend support to an understanding of the physics of aeolian transport in which vegetation's impact 43 on transport is 1) dependent upon the distribution of vegetation rather than merely its average 44 lateral cover, and 2) in which vegetation impacts surface shear stress locally by depressing it in 45 the immediate lee of plants rather than by changing the bulk surface's threshold shear velocity. Our results also highlight the lack of understanding of how threshold shear velocity changes with 46 47 space and time in real landscapes by suggesting that threshold is exceeded more than might be 48 estimated by single measurements of threshold shear stress and roughness lengths commonly 49 associated with vegetated surfaces.

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# Notation

- A Equation (1) fitting constant,  $m^{-2}$ .
- A Constant present in equations for  $Q_{x/h}^{u_*}$ .
- $A_B$  Average area of a single vegetation element (plant) projected onto the ground (i.e. basal area), m<sup>2</sup>.
- $A_P$  Average area of a single vegetation element (plant) projected onto a plane perpendicular to the ground (i.e. profile area), m<sup>2</sup>.
- b Equation (1) fitting constant,  $m^{-1}$ .
- $\beta$  Ratio of drag coefficient for vegetation to drag coefficient for ground [ $\beta$  = 202, *Shao*, 2008 p. 307]
- c Equation (1) fitting constant,  $g m^{-2} d^{-1}$ .
- *C e*-folding distance for the recovery of  $u_{*s}$  in the lee of a plant as it approaches  $u_{*}$ .
- $\delta$  Constant.  $\delta = 0$  when  $u_* < u_{*_t}$  and  $\delta = 1$  when  $u_* > u_{*_t}$ .
- $\overline{D}$  Average plant diameter, m.
- $D_P$  Particle size diameter, used in MAR model, m.
- *EF* Erodible fraction used in RWEQ model.
- $\varepsilon_r$  Approximate relative error of model estimates.
- $f_{eff}$  Drag partition coefficient [*Marticorena et al.*, 1997b].
- $f_{eff,z_1}$  Drag partition coefficient induced by soil surface roughness [Marticorena et al., 1997b].
- $f_{eff,z_2}$  Drag partition coefficient induced by soil vegetation [Marticorena et al., 1997b].
  - $F_g$  Fraction of the ground that is covered by plants.
  - g Acceleration due to gravity, m s<sup>-2</sup>.
  - h Plant height, measured as Frisbee<sup>TM</sup> drop height, m.
  - *K* Von Karman's constant, 0.4.
  - *K'* Soil roughness factor used in RWEQ model.
  - $\overline{L}$  Average size of unvegetated gaps between plants, m.
  - $\lambda$  Lateral cover
  - *m* Empirical parameter [*Raupach et al.*, 1993].
  - *n* Number of field sites.
- $P_d(x/h)$  Probability that a point on the landscape is distance from the nearest upwind plant measured as x/h.
  - $P_U$  Probability distribution of windspeeds, U, during measurement period.
- $Q_{t, act}$  Field-estimated horizontal flux, g m<sup>-1</sup> d<sup>-1</sup>.
- $Q_{t, corr}$  Empirically-corrected model-estimated horizontal flux, g m<sup>-1</sup> d<sup>-1</sup>.
- $Q_{t, pred}$  Model-estimated horizontal flux, g m<sup>-1</sup> d<sup>-1</sup>.
- $Q_t^{u_*}$  Model-estimated horizontal flux at shear velocity,  $u_*$ , g m<sup>-1</sup> d<sup>-1</sup>.
- $Q_{x/h}^{u_*}$  Horizontal flux at shear velocity  $u_*$  and distance from nearest upwind plant measured as x/h, g m<sup>-1</sup> d<sup>-1</sup>.
- q(z) Time-averaged horizontal flux density at height z above the surface,

measured with a BSNE,  $g m^{-2} d^{-1}$ . Density of air,  $g m^{-3}$ . ρ RMSEL Root mean squared error of the logs of horizontal flux. Ratio of roughness-element basal area to frontal area.  $A_{\rm R}/A_{\rm P}$ .  $\sigma$ Soil crust factor used in RWEQ model. SCF Soil snow cover correction in the RWEO model. SD  $SLR_C$ Soil loss ratio correcting for the growing plant canopy cover in the RWEQ model.  $SLR_F$ Soil loss ratio correcting for flat residue in the RWEQ model. Soil loss ratio correcting for the plant silhouette in the RWEO model. SLRs SW Soil wetness correction in the RWEQ model. Threshold wind speed at 2 m in the RWEQ model, m  $s^{-1}$ .  $U_t$ Horizontal windspeed at height z, m  $s^{-1}$ .  $U_z$ Shear velocity of the wind, m  $s^{-1}$ . <u>U</u>\* Shear velocity in the lee of a plant (as a function of x/h), m s<sup>-1</sup>.  $\mathcal{U} *_S$  $u*_{s}$ Ratio of shear velocity in the immediate lee of a plant (x = 0) to shear velocity as estimated with the Law of the Wall. u\* ) x=0Threshold shear velocity of the soil, m  $s^{-1}$ .  $\mathcal{U} *_t$ Threshold shear velocity of the surface in the presence of vegetation  $u_{*tv}$ [Marticorena et al., 1997b] and [Shao,2008], m s<sup>-1</sup>.  $\overline{W}$ Average plant width along a transect, equal to  $\pi/4 \overline{D}$  for circular plants, m. Weather factor in RWEQ model,  $g m^{-1} d^{-1}$ . WF Distance to nearest upwind plant, m. х Distance to nearest upwind plant measured as distance, x, scaled by plant x/h height, h. Reciprocal of distance between soil roughness elements [Marticorena et al.,  $X_1$ 1997b], set to 0.1, m. One-third of the distance between plants [Marticorena et al., 1997b], m.  $X_2$ Regression-derived value of  $Q_t$  in Equation (10), g m<sup>-1</sup> d<sup>-1</sup>. Y Height above ground surface, m. Ζ. Aerodynamic roughness length, m.  $Z_O$ 

- $z_{o,1}$  Aerodynamic roughness length induced by soil surface [*Marticorena et al.*, 1997b], m.
- $z_{o,2}$  Aerodynamic roughness length induced by [*Marticorena et al.*, 1997b], m.
- $z_{os}$  Aerodynamic roughness length for a smooth surface, 10<sup>-5</sup> m, [*Marticorena et al.*, 1997b], m.

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# 56 **1. Introduction**

- 57 Aeolian transport is a fundamental process in world's drylands, and it has direct impacts
- 58 on climate, ecosystem dynamics, soil biogeochemical cycling, snow accumulation and melt,
- 59 precipitation runoff, and public safety/health [Sokolik and Toon, 1996; Li et al., 2007, Li et al.,

60 2008, Reynolds et al., 2001; Painter et al., 2007, Painter et al., 2010; Griffin et al., 2001]. Most 61 aeolian transport occurs in arid and semiarid lands that cover nearly 40% of the land surface in 62 the United States [Reynolds and Stafford Smith, 2002]. A report by Seager et al. [2007] predicts 63 reduced soil moisture and increasingly arid conditions in the next decades over large areas of the 64 arid Southwest Unites States and other studies have predicted aridification elsewhere [e.g., 65 Thomas et al., 2005]. The ability to estimate aeolian activity from process-based models is important for predicting future changes in aeolian activity given expected changes in climate, 66 67 vegetation, and land use in the world's drylands. This is particularly true given the difficulty of 68 measuring aeolian transport at the large scales that characterize the world's rangelands 69 (rangeland is the most common form of land use in drylands).

70 Aeolian transport is strongly affected by non-erodible roughness elements such as 71 immobile clasts and vegetation [Lancaster and Baas, 1998; Tegen et al., 2002; Gillies et al., 72 2006] that absorb a portion of the shear stress exerted by the wind. The amount of roughness 73 encountered by the wind has been most widely quantified by an index of "lateral cover",  $\lambda$ , 74 which is defined as the average frontal area of plants projected onto a plane perpendicular to both 75 the ground surface and direction of the wind multiplied by their number density. Since Marshall 76 [1971], lateral cover has been the primary parameter representing the amount of vegetation in 77 shear stress partitioning models [e.g., Marticorena et al., 1997; Raupach, 1992] and subsequent 78 models for wind erosion and dust emission on vegetated surfaces [e.g. Marticorena and 79 Bergametti, 1995; Mahowald et al., 2002; Zender et al., 2003]. Application of the Raupach 80 [1992] shear stress partitioning model does lead to shear stress ratios (i.e. the ratio of shear stress 81 on the soil to the total shear stress) that are consistent with experimental results [King et al., 82 2005]. However, this model estimates threshold shear velocity in the presence of vegetation

*[Raupach et al.*, 1993] that are too high to produce horizontal flux given normal erosive winds
when the lateral cover is greater than about 0.1 [*Okin*, 2008]. Field experiments, including those
of *Lancaster and Baas* [1998] in Owens Valley, *Li et al.* [2007] in the Chihuahuan Desert
(Figure 1), and *Belnap et al.* [2009] on the Colorado Plateau, in contrast, show that significant
flux occurs even at relatively high lateral cover values.

88 Okin [2008] pointed out that the discrepancy between aeolian transport using lateral 89 cover [e.g., Marticorena et al., 1997; Raupach, 1992] and fluxes potentially results from the 90 requirement that threshold shear velocity be the same everywhere. Conceptually, this is due to 91 the fact that lateral cover only provides information on the density of vegetation but says nothing 92 about how that vegetation is distributed and therefore the model can provide only estimates of 93 the surface stress averaged over the exposed soil area. This issue was identified originally by 94 *Raupach et al.* [1993], who introduced an empirical parameter (the *m* parameter) that was 95 intended to adjust the lateral cover so that surface stress could be given by the maximum surface 96 stress on the exposed soil area rather than the surface stress averaged over the exposed soil area.

97 Field observations have shown that horizontal sediment flux can be strongly affected by 98 the spatial distribution of vegetation [Okin and Gillette, 2001; Gillette et al., 2006]. Recently, 99 Okin [2008] developed a new aeolian transport model using the distribution of erodible gaps 100 between plants to characterize shear stress partitioning and distribution of shear stress at the soil 101 surface. This new model provides very good estimates of shear stress ratios compared to 102 laboratory and field experiments. In addition, it predicts horizontal flux in vegetation with 103 relatively high densities ( $\lambda > 0.1$ ), consistent with field observations (e.g. Figure 1). It does so by 104 not requiring the flux occur at all points in the landscape at the same time; some areas protected 105 by vegetation can be below threshold while more exposed area can be above threshold.

106 The purpose of this paper is to evaluate the Okin [2008] model of aeolian transport in the 107 presence of vegetation (hereafter referred to as OK) and to estimate the best values for its 108 parameters. Our strategy was to collect vegetation, soil, and meteorological data for as many as 109 possible wind erodible sites where aeolian transport was actively monitored at the time of the 110 research. Measured aeolian fluxes were then tested against modeled values to evaluate the model 111 performance, to obtain a set of optimum model parameters, and to estimate the uncertainty in 112 these parameters. The same field data were used to model horizontal flux using other schemes, 113 including those of the Revised Wind Erosion Equation (RWEQ) [Fryrear et al., 1998], 114 Marticorena et al. [1997], and Shao [2008 p. 307], slightly modified so that their treatment of 115 vegetation can be directly compared to that of the OK model using the same dataset. One 116 purpose of this research is simply to prove a model that can be used to predict horizontal aeolian 117 transport in real, structurally complex vegetation. A further, more critical goal is to determine 118 whether treatment of vegetated landscapes in a way in which aeolian transport can occur in some 119 exposed areas and not in other more protected areas (i.e., rather than requiring the entire 120 landscape to have a single threshold), which is a more realistic picture of the physics of aeolian 121 transport in vegetated landscapes, provides significantly better numerical predictions of aeolian 122 transport when compared to other approaches.

## 123 **2. Methods and Data**

#### 124 **2.1. Description of the Sites**

Our field sites were located in Utah, New Mexico, and California (Table 1). These sites represent all of the known actively monitored wind erosion sites in the western United States at the time this project was conducted. None of these sites were established for the purpose of conducting model evaluation. Because sites were established for other reasons, some

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measurements that would have been helpful for this study, especially meteorological observations near flux measurements, were not available and alternative, nearby observations had to be used instead. Evaluation of the impact of the uncertainty in meteorological observations is discussed below.

133 At each site, horizontal aeolian transport was monitored by a set of samplers ("stems") 134 utilizing Big Spring Number Eight (BSNE) aeolian sediment traps [Fryrear, 1986]. A total of 65 135 BSNE stems were found that met the following criteria: 1) each BSNE stem was equipped with 136 at least 3 traps; and 2) the mass of windblown sediment collected in the BSNE traps 137 monotonically decreased with the increase of trap height. The latter criterion suggests that the 138 sediment in traps is not dominated by non-local sources [Bergametti and Gillette, 2010]. The 139 heights of the arithmetic center of the openings of the BSNE traps were recorded. The lowest 140 traps were located  $\sim 0.1$ -0.15 m above ground surface and the top traps were mounted at about 1 141 m high. The deployment periods for the BSNE stems varied at different sites (Table 1), and 142 windblown sediments were collected at the end of the experimental period.

143 For the Fivemile Mountain sites in Utah, shrubs were either removed or thinned by 144 different mechanical treatments that varied in their effects on soil stability, vegetation structure, 145 and the amount and distribution of residual woody debris. BSNE stems on the Clear Spot Flat 146 sites were mostly located on lands burned by a severe wildfire in July 2007 and subsequently 147 seeded using mechanical techniques that impacted soil erodibility. At the Jornada Experimental 148 Range (JER), BSNE stems were located in a grassland with various levels of vegetation removal 149 [Li et al., 2007]. The reduced vegetation cover at the JER sites has been maintained since their 150 establishment in summer, 2004.

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#### 151 **2.2 Additional** *in situ* **Data Collection and Processing**

152 At each BSNE stem site, fractional (foliar) vegetation cover and distribution of gaps were 153 measured using a modified version of Standard NRCS National Resources Inventory Methods 154 [Herrick et al., 2005] (Table 2). At non-JER sites, all measurements were conducted along three 155 50-m transects oriented at 100, 220, and 340 degrees from due north and set up beginning 5 m 156 from the BSNE stem. At the JER sites, measurements were conducted along three 50-m transects 157 oriented in the direction of the prevailing wind. For inter-canopy gap measurements, only 158 perennials and persistent woody debris from dead trees/shrubs were counted as gap stoppers and 159 a minimum gap size was set as 20 cm. For each span of canopy between two gaps, canopy 160 heights were determined by measuring the height of the center of a Frisbee<sup>TM</sup> (186 g, with a hole 161 in the center) dropped along a meter stick from a height of 10 cm above the maximum canopy 162 height. This empirical approach was used to approximate the effect of wind shear stress bending 163 the top of the plants and to eliminate the effect of small/thin leaves or stems that may protrude 164 significantly from the main canopy, but which probably have little impact on airflow. A 165 distribution of scaled gap sizes was calculated as the ratio between a gap (cm) and the adjacent 166 plant canopy height (Frisbee dropped height, cm) for all gaps and canopies along each of the 167 transects. Subsequently, a histogram of the gap size, scaled by adjacent plant height, was 168 constructed (Figure 2).

Threshold shear velocity  $(u_{*_t})$  for unvegetated soils (i.e., for the soil itself rather than the vegetated surface as a whole) was estimated using a method newly developed by *Li et al.* [2010]. In this method,  $u_{*_t}$  was quantitatively related with the resistance of the soil surface to disturbances created by a penetrometer and projectile shot by an air gun at the soil. Briefly, at each BSNE stem, a total of 15 repeated air gun and penetrometer measurements were conducted along each transect starting from 5 m with an interval of 10 m. Both air gun and penetrometer were applied at 45 degrees to the soil surface, and the readings from the penetrometer and sizes of the surface soil disturbance (length × width) created by the air gun were recorded. Average values were used to evaluate a regression equation to estimate  $u_{*_{t}}$ .

Horizontal wind speed (U) data were obtained from on-site meteorological towers or wind towers located nearby and operated by other organizations (Table 1). The interval of the wind speed records varied from 5 min to up to 1 hr. Wind data used in modeling were compiled for each horizontal flux estimate for the same period of sample collection.

Total horizontal mass flux from the BSNEs ( $Q_{t,act}$ ) was calculated based on the weight of sediments collected in each BSNE trap and their deployment time by using the method described in *Li et al.* [2007]. The mass of sediments collected in the BSNE traps was divided by the inlet area of the trap ( $1 \times 10^{-3} \text{ m}^2$ ) and the time of the collection to obtain the time-averaged horizontal mass flux density q(z) in g m<sup>-2</sup> d<sup>-1</sup>, where *z* is the height of the arithmetic center of the inlet above the ground (m). Values of q(z) were fitted to an empirical formula [*Shao and Raupach*, 1992]:

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$$q(z) = c \operatorname{Exp}\left(ah^2 + bh\right), \tag{1}$$

189 where *a*, *b*, and *c* are fitting constants. The values for total horizontal flux  $Q_{t, act}$  were calculated 190 by:

$$Q_{t,act} = \int_{0}^{1} \int_{m}^{m} q(z) dz$$
(2)

The maximum height of integration was set to 1 m because only a small percentage of the flux
(generally less than 10%) occurs at heights >1 m [*Li et al.*, 2007].

#### 194 **2.3 Description of the Model**

The details of the wind erosion model have been described by *Okin* [2008]. In brief, prediction of the horizontal flux  $Q_t^{u_*}$  (expressed in units of mass per unit distance perpendicular to both the wind and the ground per unit time) for a specific wind shear velocity,  $u_*$  (m s<sup>-1</sup>), is achieved by modeling the distribution of gaps downwind of plant canopies as:

199 
$$Q_t^{u_*} = (1 - F_g) \int_0^\infty Q_{x/h}^{u_*} P_d(x/h) d(x/h), \qquad (3)$$

where  $F_g$  is the ground fraction that is covered by vegetation, x is a distance from the nearest upwind plant (m), h is the height of that plant (m),  $Q_{x/h}^{u_*}$  is the horizontal flux (g m<sup>-1</sup> d<sup>-1</sup>) for a point x/h away from the nearest upwind plant at the shear velocity,  $u_*$ , and  $P_d(x/h)$  is the probability that any point in the landscape is a certain distance from the nearest upwind plant expressed in units of height of that plant. The overall horizontal flux ( $Q_{t,pred}$ ) for all wind speeds is calculated by:

206 
$$Q_{t,pred} = \int_{0}^{\infty} P_{u_*} Q_t^{u_*} du_* .$$
 (4)

In the OK model as originally published, horizontal flux at a certain point,  $Q_{x/h}^{u_*}$ , is calculated using the formulation of *Owen* [1964] and re-defined by *Shao et al.* [1993] and *Gillette and Chen* [2001]:

210 
$$Q_{x/h}^{u_*} = A \frac{\rho}{g} u_* (u_*^2 - u_{*t}^2) \delta, \qquad (5)$$

where *A* is a unitless constant that may vary between 0 and 1,  $\rho$  is the density of air (g m<sup>-3</sup>), *g* is the acceleration due to gravity (m s<sup>-2</sup>), and  $u_{*_t}$  is the threshold shear velocity of the unvegetated soil (m/s),  $\delta$  is a constant with  $\delta$ =0 when  $u_* < u_{*_t}$  and  $\delta$ =1 when  $u_* > u_{*_t}$ . The OK model assumes each plant is associated with a reduced shear stress wake zone and this zone of reduced shear stress is described by an exponential curve:

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$$u_{*s} = u_{*} \left( \left( \frac{u_{*s}}{u_{*}} \right)_{x=0} + \left[ 1 - \left( \frac{u_{*s}}{u_{*}} \right)_{x=0} \right] \left[ 1 - e^{-C(x/h)} \right] \right), \tag{6}$$

217 where  $u_{*s}$  is the shear velocity downwind of plant,  $\left(\frac{u_{*s}}{u_{*}}\right)_{x=0}$  is the value of  $u_{*s}/u_{*}$  in the

immediate lee of a plant, and *C* is the *e*-folding distance for recovery of the shear velocity in the lee of plants (that is, *C* is the exponential constant that describes the rate, in units of plant height, *h*, at which the shear velocity,  $u_{*s}$ , recovers to the value it would have in the absence of vegetation,  $u_*$ ). The physical meaning of these parameters is summarized in Table 3.

In the model,  $u_*$  is related to the mean wind speed, U, at height z (cm) by a rearranged form of Law of the Wall:

$$u_* = \frac{UK}{\ln\left(\frac{z}{z_0}\right)},\tag{7}$$

224

where *K* is von Karman's constant (K = 0.4), and  $z_o$  is aerodynamic roughness length (m).

At the scale of many wind erosion models, the parameter roughness length ( $z_o$ ) varies over heterogeneous landscapes as it is related to both plant lateral cover and canopy height [e.g., *Marticorena et al.*, 1997a]. In the OK model,  $z_o$  is set as a constant for all sites. This allowed us to treat  $z_o$  as a fitting parameter in our model validation and meant that  $z_o$  would not have to be estimated at each field site. Other model input parameters, including *A*, *C*, and  $\left(\frac{u_{*s}}{u_{*}}\right)_{x=0}$ , were

also treated as constant for the purpose of the model validation. In the OK model, the impact of

the shrub structure is accounted for mostly in the  $\left(\frac{u_{s}}{u_{*}}\right)_{r=0}$  parameter. In reality, to some extent 232 the vegetation structure will impact shear stress partitioning and therefore  $\left(\frac{u_{*s}}{u_{*}}\right)_{s}$ , but there is 233 234 in fact a remarkable degree of overlap in shear stress portioning ratio (SSR) amongst solid and 235 porous objects [King et al., 2005]. When examining all available SSR in light of the OK model, there was no clear value of  $\left(\frac{u_{*s}}{u_{*}}\right)_{u=0}$  that separated solid from porous objects, although there 236 was a slight bias toward higher values of  $\left(\frac{u_s}{u_s}\right)_{x=0}$  for porous objects. In light of these 237 observations, it is unclear how much  $\left(\frac{u_{s}}{u_{s}}\right)_{r=0}$  would vary amongst porous objects. In short, 238 there is no compelling reason based on existing data to treat  $\left(\frac{u_{*s}}{u_{*}}\right)_{x=0}$  as anything but a bulk 239 240 constant. C, too, may vary with shrub structure or porosity, but in the absence of experimental or 241 theoretical guidance on this and for the purpose of parsimony, it has been treated as a constant. 242 In recognition of the fact that  $z_o$  does change with vegetation density, a modified version 243 of the Okin [2008] model (hereafter called the "modified Okin [2008] model", or MOK) was also 244 implemented. In this modified model, aerodynamic roughness length was allowed to vary as a 245 function of lateral cover, using the approach of Marticorena et al. [1997] as presented by Shao 246 [2008 p. 318], with additional modifications. First, *z<sub>o</sub>* was calculated using:

247 
$$z_o = \begin{cases} (0.48\,\lambda + 0.001\,)h & \lambda < 0.11\\ 0.0538\,h & \lambda \ge 0.11 \end{cases}$$
(8)

248 *Okin* [2008] showed that lateral cover,  $\lambda$ , was related to average gap size by:

249 
$$\lambda = \frac{A_P \overline{W}}{A_B (\overline{L} + \overline{W})},\tag{9}$$

where  $A_P$  is the profile area of a plant,  $A_B$  is the basal area of plant,  $\overline{L}$  is the average size of unvegetated gaps between plants, and  $\overline{W}$  is the average width of a plant along a transect (equal to  $\pi/4$  of the plant diameter,  $\overline{D}$ , for circular plants). The fractional cover of plant,  $F_g$ , is given, in these terms, by:

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$$F_g = \frac{\overline{W}}{\overline{L} + \overline{W}},$$
 (10)

Assuming cylindrical geometry (i.e.  $A_B = \pi/4 \overline{D}^2$  and  $A_P = \overline{D}h$ ) it can be shown that

256 
$$\lambda = \left(1 - F_g\right)\frac{h}{L}.$$
 (11)

Initial tests using Equation (8) for aerodynamic roughness length alone showed that for field sites without vegetation cover the model produced no flux because  $z_o$  was too low and resulting  $u_*$  never exceeded  $u_{*t}$ . This is in direct contradiction with our field measurements; bare sites did produce significant horizontal flux. So, a modified aerodynamic roughness length,  $z'_o$ , was used in MOK instead of  $z_o$  calculated from Equation (8). Specifically, a linear relationship was applied that adjusted all roughness values:

263 
$$z'_{o} = z_{o,\min} + z_{o} \left( \frac{z_{o,tie} - z_{o,\min}}{z_{o,tie}} \right),$$
 (12)

where  $z_{o,min}$  is the minimum value for  $z'_o$  and was set to 0.01 m, and  $z_{o,tie}$  was set to 0.1 m (Figure 3).

In this study, several different horizontal flux equations were tried in place of Equation (5) in both the OK and MOK models. Over the past few decades, many experimental and numerical studies have investigated the variation of horizontal mass flux with shear velocity. These studies have led to different equations but predominantly with the form that  $Q_{t, pred}$  scales with approximately the third power of the shear velocity. We tested the model performance in combination with the horizontal mass flux equations in Table 4. For the OK model, the best

equation was identified, together with the set of model parameters:  $z_o$ , A, C, and  $\left(\frac{u_{*s}}{u_*}\right)_{x=0}$ . For

the MOK model, the best equation was identified, together with the set of model parameters: A,

274 C, and 
$$\left(\frac{u_{*s}}{u_{*}}\right)_{x=0}$$

#### 275 **2.4 Parameter Estimation and Cross Validation**

An algorithm aimed at finding the global minimum error was employed. Random values of log[*A*], *C*,  $\left(\frac{u_{s}}{u_{s}}\right)_{x=0}$ , and log[*z<sub>o</sub>*] (for OK) were chosen from uniform distributions bounded by

278 physically reasonable values of each of the parameters (Table 5). Predicted values of  $Q_{t,pred}$  were 279 calculated for all BSNE stems used in the minimization, and the root mean squared error of the 280 logs (*RMSEL*) was calculated as:

281 
$$RMSEL = \left(\frac{1}{N}\sum_{N} \left(Log(Q_{t,pred}) - Log(Q_{t,act})\right)\right)^{1/2}, \qquad (13)$$

where  $Q_{t,pred}$  is the predicted value of horizontal flux using the randomly selected parameter values,  $Q_{t,act}$  is the value of horizontal flux estimated from the BSNE stems, and *N* is the number of BSNEs used in the minimization. A small constant (<< minimum( $Q_{t,act}$ )) was added to both  $Q_{t,pred}$  and  $Q_{t,act}$  to prevent values of negative infinity if either equals zero. This was done 1,000 times and the set of parameters that yielded the lowest *RMSEL* was chosen as the best-fit set of parameters. This iterative process was conducted 65 times. Each time, 64 sites were used in the error minimization, while one was left out (i.e., each site was left out once). The final *RMSEL* was calculated using Equation (13), but substituting the predicted values for the omitted site as  $Q_{t,pred}$ and the actual horizontal flux values of the omitted site as  $Q_{t,act}$ . This parametric leave-one-out (LOO) cross-validation analysis was conducted to provide mean estimates of key model parameters and was done for every flux equation in Table 4.

294 Error estimation and model comparison is further discussed below.

#### 295 **2.5. Empirical Model Improvement by Stepwise Regression of Residuals**

Although the main goal of this study was to validate the process-based OK, additional steps were taken after the best-fit flux equation and model parameters were determined. From this best model, a stepwise regression was conducted on residuals in log space:

299 
$$residual = Log(Q_{t,act}) - Log(Q_{t,pred}).$$
(14)

300 In this process, the field-derived parameter that had the highest absolute correlation (i.e., |r|) with 301  $Q_{t,act}$  was determined and residuals were regressed against this parameter. A correction was then 302 calculated based on this regression:

303

$$Log(Q_{t,corr}) = Log(Q_{t,pred}) + Y,$$
(15)

where  $Log(Q_{t,corr})$  is the regression-corrected value of  $Log(Q_{t,pred})$  and *Y* is the value given by the regression equation. Next, the field-derived parameter with the highest |r| with the remaining residual, calculated with  $Log(Q_{t,corr})$  replacing  $Log(Q_{t,pred})$  in equation (14), was identified and a regression of the remaining residual against both field-derived parameters was conducted. New corrected values of  $Log(Q_{t,corr})$  were calculated using this multiple regression. This process was repeated until little reduction of RMSEL was obtained with the addition of a new parameter. In addition, the single regression corrections using each of the two field-derived parameters with 311 the highest |r| were investigated (that is, rather than simply the sequential approach described 312 above).

## 313 **2.6 Sensitivity of errors to uncertainty in site parameters**

314 To determine the effect that uncertainty in the plot-level parameter values ( $u_{*t}$ , mean 315 wind speed, mean scaled gap size, and vegetation cover) might have in overall model 316 performance, a series of simulations were conducted. For all 65 sites used in this study, model 317 predictions of horizontal flux were made, using the *Gillette and Passi* [1988] flux equation and 318 final fitting parameters, and plot-level parameters for that site. The choice of flux equation here 319 should not impact the interpretation of these results. Wind speed distribution was estimated as a 320 Weibull distribution [Gillette and Passi, 1988] with a shape parameter equal to two and mean 321 equal to that measured at the tower closest to the site. These model predictions were set as 322 reference values ( $Q_{t,act}$ ).

Next, 100 new predictions were made ( $Q_{t,pred}$ ), drawing the values of threshold shear velocity ( $u_{*t}$ ), and mean wind speed for each iteration from normal distributions with means equal to the measured value at each site and a given coefficient of variation (CV). Error (see section 2.8) was calculated for the set of predictions. Values of the CV for both variables were 0.05, 0.10, 0.15, 0.20, and 0.25. All combinations of CV for both variables were used resulting in 25 (5<sup>2</sup>) estimates of error. CV for fractional cover and mean scaled gap size was set to be 5% because they were found to not contribute significantly to the total error.

## 330 2.7 Other Models

Field data were used to parameterize three additional models of the impact of vegetation upon horizontal sediment flux: the *Marticorena et al.* [1997] (hereafter MAR) model, the *Shao* [2008] model (hereafter SHAO), and the Revised Wind Erosion Equation [RWEQ, *Fryrear et al.*, 334 1998]. Because the OK model is fundamentally a model of how vegetation impacts horizontal 335 flux, only those portions of MAR, SHAO, and RWEQ that pertain to the effect of vegetation 336 upon horizontal flux were implemented. That is to say, to provide the most reasonable basis of 337 comparison, threshold shear velocity for the soil (i.e. without the effect of vegetation) in all 338 model calculations was set to that measured in the field. In the RWEQ and SHAO model, where 339 there are multiple factors related to soil that were not measured, these were set to constant values 340 to allow a consistent basis of comparison.

## 341 2.7.1 Marticorena et al. [1997] model, MAR

342 The basic flux equation for the MAR model is:

343 
$$Q_{tot,pred} = (1 - F_g) A \frac{\rho}{g} \sum_{u_*} u_*^3 \int_{D_p} \left( 1 + \frac{u_{*tv}}{u_*} \right) \left( 1 - \left( \frac{u_{*tv}}{u_*} \right)^2 \right) dD_P,$$
(16)

344 where  $D_p$  is the particle diameter and  $u_{*tv}$  is given by:

345 
$$u_{*_{tv}} = \frac{u_{*_t}}{f_{eff}}.$$
 (17)

346 In the model as originally published,  $u_{*t}$  is evaluated for each particle size. Here,  $u_{*t}$  is set to that 347 measured in the field and therefore (16) simplifies to:

348 
$$Q_{t,pred} = (1 - F_g) A \frac{\rho}{g} \sum_{u_*} u_*^3 \left( 1 + \frac{u_{*tv}}{u_*} \right) \left( 1 - \left( \frac{u_{*tv}}{u_*} \right)^2 \right).$$
(18)

349 For a surface with vegetation:

$$f_{eff} = f_{eff,z_1} f_{eff,z_2},\tag{19}$$

where  $f_{eff,z_1}$  accounts for the roughness of the rough soil surface and  $f_{eff,z_2}$  accounts for the roughness provided by the vegetation. In the absence of vegetation  $f_{eff}$  is calculated as  $f_{eff,z_1}$ only. 354  $f_{eff,z_1}$  is given by:

355 
$$f_{eff,z_1} = 1 - \left( \ln \left( \frac{z_{o,1}}{z_{os}} \right) \right) \left( \ln \left( 0.35 \left( \frac{X_1}{z_{os}} \right)^{0.8} \right) \right)^{-1},$$
(20)

where  $z_{os}$  is the roughness length of the smooth surface [set to 10-5 m, *Marticorena et al.*, 1997], X<sub>1</sub> is the distance between soil roughness elements [set to 0.1 m, *Marticorena et al.*, 1997], and z<sub>o,1</sub> is the roughness length imparted by the soil roughness.  $z_{o,1}$  was set to  $5.38 \times 10^{-4}$  m, which is consistent with lateral cover of soil roughness elements  $\geq 0.11$  and soil roughness elements one cm in height (see Equation (8)).

361 
$$f_{eff,z_2}$$
 is given by:

362 
$$f_{eff,z_2} = 1 - \left( \ln \left( \frac{z_{o,2}}{z_{o,1}} \right) \right) \left( \ln \left( 0.35 \left( \frac{X_2}{z_{o,1}} \right)^{0.8} \right) \right)^{-1},$$
(21)

where  $z_{o,2}$  is the roughness length imparted by the vegetation and  $X_2$  is one-third the distance between plants and can be calculated from our field data calculated by  $(\overline{L}+0.5 \overline{W})/3$ .  $z_{o,2}$  was calculated using Equation (8).

366 For the implementation of the MAR model here,  $u_*$  was determined using Equation (7) 367 and  $z_{o,2}$ , or in the absence of vegetation,  $z_{o,1}$ .

## 368 2.7.2 Shao [2008] model, SHAO

369 The basic flux equation for the SHAO model is:

370 
$$Q_{tot,pred} = (1 - F_g) A \frac{\rho}{g} \sum_{u_*} u_*^3 \int_{D_p} \left( 1 - \left( \frac{u_{*tv}}{u_*} \right)^2 \right) dD_P, \qquad (22)$$

371  $u_{*tv}$  is given by:

372 
$$u_{tv} = \frac{u_{t}}{\sqrt{(1 - m\sigma\beta)(1 + m\beta\lambda)}},$$
 (23)

373 where  $u_{*t}$  is evaluated for each particle size, *m* is an empirical constant [m = 0.16, *Shao*, 2008 p.

374 307], and  $\beta$  is the ratio of element to surface drag coefficients [ $\beta = 202$ , *Shao*, 2008 p. 307].  $\sigma =$ 

375  $A_B/A_P$ , and is given by *Shao* [2008] as a constant, but can be calculated from our field data 376 assuming cylindrical plant geometry (i.e.  $A_B = \pi/4 \overline{D}^2$  and  $A_P = \overline{D}h$ ). In the original SHAO model, 377  $u_{*tv}$  also had corrections for soil moisture, salt concentration and surface crust. Since we had 378 direct measurements of  $u_{*t}$ , these were not used (i.e., were set to one), nor was the dependence 379 upon grain size used. Therefore, Equation (22) simplifies to:

380 
$$Q_{t,pred} = (1 - F_g) A \frac{\rho}{g} \sum_{u_*} u_*^3 \left( 1 - \left( \frac{u_{*tv}}{u_*} \right)^2 \right), \tag{24}$$

381  $u_*$  was determined using Equation (7) with  $z_o$  calculated from Equation (8) using lateral cover 382 calculated from Equation (9).

## 383 **2.7.3 Revised Wind Erosion Equation (RWEQ)**

The RWEQ model calculates horizontal transport as it increases across an agricultural field toward maximum value. This maximum value was used here as the main point of comparison:

387 
$$Q_{t,pred} = 0.1098 \cdot WF \cdot EF \cdot SCF \cdot K' \cdot SLR_F \cdot SLR_S \cdot SLR_C, \qquad (25)$$

where *WF* is the weather factor (g m<sup>-1</sup> d<sup>-1</sup>), *EF* is the erodible fraction (unitless), *SCF* is the soil crust factor (unitless), K' is the soil roughness factor (unitless), *SLR<sub>F</sub>* is the soil loss ratio for flat cover (unitless), *SLR<sub>S</sub>* is the soil loss ratio for plant silhouette (unitless), *SLR<sub>C</sub>* is the soil loss ratio for growing plant canopy (unitless). *WF* is given by:

392 
$$WF = 4.8x10^{-2} \frac{\rho}{g} \sum_{U_2} U_2 (U_2 - U_t)^2 \cdot SW \cdot SD, \qquad (26)$$

where  $U_2$  is the windspeed (m s<sup>-1</sup>) at 2 meters and  $U_t$  is the threshold windspeed (m s<sup>-1</sup>) at 2 meters. *SW* is a factor that corrects for soil wetness and *SD* is a factor that corrects for snow cover; both were set to one. The coefficient  $4.8 \times 10^{-2}$  includes both an empirical factor (1/500) and corrections to yield units of g m<sup>-1</sup> d<sup>-1</sup> for consistency with the other models in this application. Windspeed at 2 m was calculated using measured windspeed at height *z*,  $U_z$ :

398 
$$U_2 = U_z \frac{\ln(2/z_o)}{\ln(z/z_o)},$$
 (27)

399 where  $z_o$  was calculated using Equation (8). Threshold windspeed at 2 m,  $U_t$ , was calculated as:

400 
$$U_t = \frac{u_{*t}}{K} \ln \left(\frac{2}{z_o}\right). \tag{28}$$

$$402 \qquad EF = \frac{1}{100} (29.09 + 0.31\% sand + 0.17\% silt + 0.33(\% sand /\% clay) - 0.259\% organic matter - 0.95\% CaCO_3). (29)$$

403 Texture data was not available, so the maximum possible value of EF (0.630) was calculated
404 using the soil parameters given in *Fryrear et al.* [1998]: 0.18% organic matter, 93.6% sand, 0.5%
405 silt, 5.9% clay, 0% CaCO<sub>3</sub>. Using these same soil parameters, *SCF*, given by:

406 
$$SCF = \left(1 + 0.0066(\% clay)^2 + 0.021(\% organicmatter)^2\right)^{-1},$$
 (30)

407 was calculated as 0.813, which is close to the highest value reported by *Fryrear et al.* [1998], 408 0.823.  $\vec{K}$  is a correction for soil random roughness, which was not measured, so it was set to its 409 maximum value, 1.0, which corresponds to a rough soil. *SLR<sub>F</sub>* corrects for the amount of flat 410 plant residue on the surface, which we assumed to be zero because our field sites weren't 411 agricultural fields with residue, and was therefore set to 1.0. *SLR<sub>s</sub>* is given by:

412 
$$SLR_S = Exp(-0.344\lambda^{0.6413}).$$
 (31)

413 SLR<sub>C</sub> corrects for the amount of soil covered by plants, i.e.  $(1-F_g)$ , and is given by:

414 
$$SLR_S = Exp(-0.5614F_g^{0.7366}).$$
 (32)

For the RWEQ model, maximum values of soil parameters (SD, SW, *EF*, *SCF*, and *K'*) were set as constants to obtain a consistent set of predictions for which soil conditions (except  $U_t$ ) are common to all sites. Because the purpose of the using additional models' horizontal flux predictions in this report is to compare how they treat vegetation with respect to how the OK model treats vegetation, the use of constant values for soil parameters is justified. This is particularly true with the RWEQ model because of the linear way in which these parameters are included in the flux equation (i.e., Equation (25)).

## 422 **2.8 Error Metrics and Model Comparison**

423 The RMSEL was utilized instead of the root mean squared error (RMSE, the error 424 calculated without first taking the log) because the horizontal flux estimates spanned two orders 425 of magnitude. The use of *RMSEL* instead of *RMSE* is justified by the purpose of the OK model, 426 which is to estimate horizontal over a wide range of field conditions including those with low 427 flux. The use of *RMSE* would emphasize errors of prediction for larger fluxes considerably more 428 than errors of prediction for smaller fluxes because the same relative error in the both cases 429 yields a larger error in the case of the larger flux. It is our contention that locations with higher 430 horizontal transport are not necessarily more meaningful in terms of the total amount of transport 431 in or dust produced from natural landscapes. This is particularly true when the potential for 432 horizontal aeolian transport to produce atmospheric dust is considered. The amount of dust produced from landscapes (i.e., the vertical flux in units of M  $A^{-1} T^{-1}$ ) can be approximated as a 433 434 linear function of the horizontal flux with the constant of proportionality, the dust production 435 efficiency, depending on soil characteristics [e.g., Gillette, 1977]. Therefore the amount of dust 436 produced from a landscape is the product of the horizontal flux, the area over which the

horizontal flux occurs, and the dust production efficiency. That is to say, large areas with relatively low flux may produce as much dust as small areas with higher flux. With this in mind, it would seem necessary to have a model that can estimate both the small fluxes and the large fluxes equally well. Thus, we chose to use as our error metric RMSEL, which emphasizes error for small fluxes and large fluxes equally, over RMSE, which emphasizes error for large fluxes over small fluxes.

443 It can be shown that  $e^{RMSEL}$  is the geometric mean of the ratio of  $Q_{t,pred}$  to  $Q_{t,act}$ . Because

444 
$$Q_{t,pred} - Q_{t,act} = \varepsilon, \tag{33}$$

445 where  $\varepsilon$  is the absolute error, the ratio of  $Q_{t,pred}$  to  $Q_{t,act}$  can be expressed as

446 
$$\frac{Q_{t,pred}}{Q_{t,act}} = 1 + \frac{\varepsilon}{Q_{t,act}},$$
(34)

447 where  $\varepsilon/Q_{t,act}$  is the relative error.  $e^{RMSEL}$  is the geometric mean of Equation (34) and thus, we 448 propose as a metric of error,  $\varepsilon_r$ :

449 
$$\varepsilon_r = e^{RMSEL} - 1 = \left\langle 1 + \frac{\varepsilon}{Q_{t,act}} \right\rangle - 1. \tag{35}$$

450 Although  $\varepsilon_r$  is not strictly equal to the relative error, it is an approximation of it with the property 451 that it is equal to zero when there is no prediction error.  $\varepsilon_r$  values for the MAR, SHAO, and 452 RWEQ models were calculated after correcting  $Q_{t, act}$  from these models by the slope and 453 intercept of their regression against  $Q_{t, act}$ .

## 454 **3. Results**

## 455 **3.1 Characteristics of the Model Input Data and Horizontal Flux Estimates**

456 Characteristics of model input data, including vegetation, threshold shear velocity, and 457 wind are given in the Supplemental Materials Table S1. Bare sites were found in both Moab and Clear Spot Flat, Utah, and the latter study site also had the largest average gap of 11 m. Average scaled gap (gap size/canopy height) ranged from 18 in the shrubland of Fivemile Mountain, UT to 282 in the burned Clear Spot Flat, Utah sites. Threshold shear velocity for unvegetated soil fell in the range of 0.19 to 1.04 m s<sup>-1</sup>. During the experimental period, a large proportion of the windspeeds were lower than 5 m s<sup>-1</sup> for all study sites, and peak windspeeds varied from 12 to over 26 m s<sup>-1</sup>, observed in the Owens Valley, California site (Table 5, Figure 4).

464 The fit of q(z) to Equation (1) generally gave very good fits (Table S1 in Supplementary Material). Coefficients of determination for these fits,  $r^2$ , are not particularly useful because 465 466 many of the sites had only three BSNE traps on a stem and Equation (1) has three parameters, thus resulting in  $r^2 = 1$ . However, for sites with more than three BSNEs on a stem (i.e., all sites 467 excluding the Utah sites) the fits are generally very good, with only two being fit with  $r^2 > 0.9$ . 468 BSNE-estimated  $Q_{t,act}$  spanned two orders of magnitude, with the greatest flux of 98 g m<sup>-1</sup> d<sup>-1</sup> 469 470 found in a site in the Jornada Experimental Range, New Mexico, where grass cover had been removed (Figure 5).  $Q_{t,act}$  was generally the lowest in the shrubby grassland of Owens Valley 471 472 sites, despite the high windspeeds at these sites.

## 473 **3.2. Model Evaluation**

474 As expected, different mass flux equations (Table 4) yielded different best-fit values of 475 key model parameters for the OK model (Table 6). The mean optimum values for the roughness

476 length (*z<sub>o</sub>*), *e*-folding distance for recovery of shear stress (*C*), and  $\left(\frac{u_{*s}}{u_{*}}\right)_{x=0}$  ranged from 0.77-

0.83 m, 5.6-6.2, and 0.28-0.32, respectively. The *A* constants have a variety of magnitudes due to
the different forms of the mass flux equations. Uncertanty of the fits from the LOO crossvalidation are small relative to parameter values, indicating confidence that the fitting procedure
was stable and that these are the best predicted values of these parameters.

481 The performance of the OK model in combination with different mass flux equations was 482 evaluated by regression of  $Q_{t,pred}$  against  $Q_{t,act}$  (Table 7). The regression equations generally had 483 a slope close to 1 and a fairly small, positive intercept (0.019 to 0.069) except in the case of the 484 modified *Shao et al.* [1993] flux equation. r ranged from 0.64 to 0.67 and  $\varepsilon_r$  ranged from 2.1-2.4, 485 except for the modified Shao et al. [1993] flux equation for which  $\varepsilon_r$  was 6.0. The Gillette and 486 Passi [1988] and Sorensen [1991] flux equations had the best and essentially the same values of 487 r and  $\varepsilon_r$  for the OK model. Correction for those field-measured parameters with the highest correlation with residuals (median plant height,  $F_g$ ,  $u_{*t}$ , and median windspeed) lowered  $\varepsilon_r$  to 1.0 488 489 for both flux equations (Table 8).

490 The MOK model, in which  $z_o$  was allowed to vary with lateral cover and plant height, did 491 not perform as well as the original OK model, in which  $z_o$  was set to a constant for all data points. Values of C, and  $\left(\frac{u_{*s}}{u_{*}}\right)_{u=0}$  were close to those found with the OK model, and A varied across 492 493 several orders of magnitude in much the same pattern as the OK model (Table 9). r for  $Q_{t,pred}$ from the MOK model with  $Q_{t,act}$  were in the range 0.56-0.58 and values of  $\varepsilon_r$  were 3.0 – 3.6 for 494 all flux equations except the modified *Shao et al.* [1993] equation, which had a very high  $\varepsilon_r$  of 33. 495 496 In all, the *Gillette and Passi* [1988] flux equation provided the best estimates (lowest  $\varepsilon_r$ ) for the 497 MOK model (Table 10). Empirical corrections to the MOK model using this flux equation were 498 able to reduce  $\varepsilon_r$  by about half, to 1.6 (Table 11).

499 Results from the uncertainty analysis show that minimum expected  $\varepsilon_r$  when both  $u_{*t}$  and 500 median windspeed are known within 5% (i.e., when CV=0.05) is around 0.4 (Figure 6). 501 Uncertainty in  $u_{*t}$  and mean wind speed of 25% leads to an expected  $\varepsilon_r \sim 7$ . **3.3. Other Models** 

503 Of the other models evaluated here, only RWEQ predicted flux for all 65 sites. The MAR 504 model predicted flux for only three sites (i.e., no flux was predicted for 62 sites) and the SHAO 505 model predicted flux for 38 sites (i.e. no flux was predicted for 27 sites). In comparison to these 506 models, the OK model showed the highest value of r and the lowest value of  $\varepsilon_r$ , except for the 507 MAR model.

508 **4. Discussion** 

509 The present work uses a large number of sites (n = 65) over a wide geographic area with a 510 variety of soil and vegetation types, and with temporal periods from four to five months (Tables 511 1, 5). The sites that were chosen for this study were all those that we could identify at the time of 512 the research, and were not established for the purposes of this project. Aeolian activity was 513 observed at all sites, only two of which were unvegetated (Table 1). In the present study, the OK-514 modeled values of  $Q_{t,pred}$  were significantly correlated with  $Q_{t,act}$  at the 99% level ( $r_{crit} < 0.325$ 515 [Rohlf and Sokal, 1981]) with approximate relative errors ( $\varepsilon_r$ ) around 2-3, depending on flux 516 equation, without empirical correction. With empirical correction,  $\varepsilon_r$  can be as low as 1.0 when 517 all four field measures are incorporated (h,  $F_g$ ,  $u_{*t}$ , and median windspeed), but the addition of just h and  $F_g$  can bring  $\varepsilon_r$  to 1.2-1.3. Although inclusion of median windspeed does improve 518 519 model performance in terms of  $\varepsilon_r$ , it provides at best a small improvement. For the empirical correction, h,  $F_g$ , and  $u_{*t}$  can easily be estimated in the field, and therefore their use for empirical 520 521 correction of model estimates should be straightforward in most cases. The fact that these 522 parameters are significantly correlated to model error suggests that future improvements to the 523 model should involve modifications related to these parameters.

524 The OK model, as originally conceived, treated  $z_o$  as a constant. It was thought that the  $z_o$ 525 in the model was the roughness length due to the roughness of the soil alone. However, our 526 estimation of model parameters shows in all cases that the best results are obtained when  $z_0$  is 527 0.07 - 0.08 m, which is the roughness length expected for vegetated surfaces rather than due to 528 the soil roughness only. There are reliable published relationships between vegetation cover and 529  $z_o$  [e.g., Marticorena et al., 1997], and a modification of the OK model was evaluated to 530 determine whether taking into account vegetation roughness in the model might improve it. 531 Although  $Q_{t,pred}$  from this MOK model is still significantly correlated with  $Q_{t,act}$ , the correlations 532 are lower (and the relative errors,  $\varepsilon_r$ , are higher) than the original OK model. In order to obtain 533 even these results, the relationship for  $z_o$  had to be adjusted to increase roughness (Equation 12).

534 From a modeling perspective, the fact that the surface must be treated as if were rougher 535 than the bare soil and also rougher than predicted from the published relationships between 536 vegetation and  $z_o$  suggests one of two things under the long-term field measurement scenarios; 537 either the surface really behaves as if it is rougher than expected or,  $u_{*t}$  behaves as if it is lower 538 than expected. Because airflow over rough surfaces is better understood from theoretical 539 considerations and laboratory experiments and is also more predictable than soil surface 540 characteristics over extensive temporal and spatial scales, the latter explanation is more likely. 541 This conclusion is independent of the OK or MOK treatment of vegetation. Consider, for 542 example, two of our sites that were unvegetated. These sites experienced flux and had values of 543  $Q_{tact}$  in the middle of our measured range. Use of typical values for  $z_0$  for bare soil (<0.01 m) did 544 not yield any times at which  $u_*$  exceeded  $u_{*t}$  even though the estimated  $u_{*t}$  values were not particularly high ( $u_{*t} = 0.48$  and 0.71 m s<sup>-1</sup>). 545

546 Our understanding of the physics of aeolian transport requires that, on certain temporal 547 and spatial scales, transport can only occur when  $u_{*t}$  exceeds  $u_{*}$ . We do not refute this. 548 Nevertheless, over spatially extensive real landscapes in which transport is measured over a 549 period of several months, our results suggest that  $u_{*t}$  behaves as if it is lower than what is 550 measured at a single time period. The values of  $u_{*t}$  used here were the minimum values measured 551 at 10-m intervals extending 50-m outward from the BSNE stems. They should, therefore, provide 552 a reasonable estimate of the minimum threshold in the area over which saltation flux may be 553 expected to contribute to measured BSNE fluxes. But, these measurements were only taken at 554 one time. Further research is required to understand how  $u_{*t}$  varies through time in natural 555 landscapes experiencing aeolian transport. Until this discrepancy can be reconciled, our results 556 suggest that adequate modeling results can be obtained by treating the surface as if it is rougher 557 (i.e. greater  $z_o$ ) than expected. Using a constant  $z_o$ , as in the OK model, provides a better fit to the 558 observational data than a  $z_o$  that varies with vegetation density and height, as in the MOK model. 559 For the sake of better predictions as well as model parsimony, the OK model should be preferred 560 over the MOK model for the time being.

561 Our evaluation of the OK model shows that it compares quite favorably to other studies 562 that have evaluated models of horizontal aeolian flux quantitatively in the field. Van Pelt et al. 563 [2004] compared estimates of aeolian soil loss from bare fields around Big Springs, Texas, USA, 564 for 41 events and modeled the flux using the RWEQ. Using the same method of error evaluation 565 used here, we calculated an  $\varepsilon_r$  of 2.9. The RWEQ users guide published by *Fryrear et al.* [1998] 566 provided data on measured and modeled flux at 51 agricultural fields for periods of several 567 months that were used to calibrate the model. For the sites for which transport was predicted (n =49), we calculate  $\varepsilon_r = 4.6$ . Buschiazzo and Zobeck [2008] measured 26 individual events on a 568

569 bare field in the Argentine Pampas and compared these measurements with model estimates 570 using the RWEQ and the stand-alone erosion submodel of the Wind Erosion Prediction System 571 (WEPS). Both models underestimated flux by 45% and 40%, respectively. Because they did not 572 report actual measured values in a table or easily-extractable figure format, it is impossible to 573 conduct the same type of error evaluation used here, but taking the reciprocal of the 574 underestimations gives 2.2 and 2.5, respectively, meaning that the models were within a factor of 575 about 2.2 - 2.5 from field estimates, albeit systematically. For fields with cover under 576 conventional and no-till agriculture fields in this same study, these two models failed to predict 577 any sediment movement for all but one event despite observations of transport for over half of 578 the events. For the events where flux was measured, flux was nonetheless high, averaging 6500 and 5000 g m<sup>-1</sup> d<sup>-1</sup> for conventional and no-till fields, respectively. *Feng and Sharratt* [2007] 579 580 measured aeolian flux from fields (average cover = 50%) on a single soil type on the Columbia 581 Plateau for six one-to-two week periods and compared these with estimates from WEPS. The 582 model failed to predict any soil loss for half of the periods and significantly over predicted soil 583 loss for the other three periods. In their study, the overall r between predicted and modeled soil loss values was 0.71, which is not statistically significant ( $\alpha = 0.95$ ,  $r_{crit} = 0.811$ ,  $\tau_{crit} = 0.867$ 584 585 [Rohlf and Sokal, 1981]). The fact that both the Buschiazzo and Zobeck [2008] and Feng and 586 Sharratt [2009] studies had a considerable number of cases in which no flux was predicted 587 despite being measured, particularly in the presence of vegetation, highlights the difficulty of 588 simulating aeolian activity in the presence of vegetation. It is critical in these comparisons to 589 note that all of the studies referenced above were from agricultural fields, many of them bare, 590 and on which soil parameters could be measured in detail. All of the studies cited above except 591 Fryrear et al. [1998] were also for individual storms. Bare soil or homogenous crop plantings

and single events with on-site meteorological measurements are arguably much simpler systems for modeling aeolian transport than the structurally and spatially heterogeneous rangelands used in this study. In addition, the fact that there were several cases in which aeolian activity was not modeled, even though it was observed, constitutes a significant failure of these models. There are no such cases in the present study for the OK model and we believe that these comparisons show that the OK model performs well above benchmarks set by previous studies.

598 Using the extensive dataset collected for this study, both the OK and MOK models 599 outperformed the MAR, SHAO, and RWEQ models. The RWEQ model, though based on 600 physical processes that impact aeolian transport, is a largely empirical model, with the forms of 601 equations and their constants unconstrained by the physics of aeolian transport. The form of 602 Equations (30) and (31), for instance, do not seem to be determined by any physical process, even though  $\lambda$  and  $F_g$  certainly are related to the processes in question. Nonetheless, the RWEQ 603 604 model has a significant advantage over the MAR and SHAO models, at least as far as the dataset 605 used here is concerned; the RWEQ models predicted transport for all of our sites (Table 12). 606 Unfortunately, the values it predicted showed little relation to those that were measured ( $\varepsilon_r$  = 607 240). In contrast, the MAR and SHAO models failed to predict transport for many of our sites 608 (Table 12). Modifications to the parameterization of  $z_o$  by increasing the roughness 100-fold, to 609 bring  $z_o$  into the same order of magnitude as for the OK and MOK models, results in predicted 610 transport for 34 of the sites in the MAR model and 63 of the sites in the SHAO model, but r and 611  $\varepsilon_r$  (for the sites for which flux is predicted) for these scenarios are quite bad (MAR: r=0.02)  $\varepsilon_r$ =5600; SHAO: r=0.02  $\varepsilon_r$ =2400). The failure to predict flux at many sites, particularly since the 612 613 sites where transport was not modeled were not simply those locations with the lowest transport, 614 suggests difficulties in their representation of the surface.

615 The OK (and MOK) models treat the surface fundamentally differently from the MAR and 616 SHAO models. In the OK models, horizontal flux is possible in some locations of the landscape 617 that are exposed while other, more protected areas do not experience transport. In the MAR and 618 SHAO models, the entire landscape is characterized by a single threshold and transport must 619 occur everywhere at the same time, or not at all. In other words, according to the OK models, 620 vegetation alters the *distribution* of shear stress on the surface, whereas in the MAR and SHAO 621 models, vegetation changes the threshold shear stress for the entire surface. Field observations 622 [e.g., *Gillette et al.*, 2006] show that flux does not have to occur on the landscape at all places 623 during a transport event. In this sense, the OK models represent the physics of transport better 624 than the MAR and SHAO models. The fact that the OK models show better correspondence with our field data provides further support for this view of vegetation's impact on aeolian transport. 625

626 Aeolian transport is a threshold-controlled process and flux is nonlinear when shear velocity exceeds the threshold. Therefore, the difference between measured and modeled values 627 628 of horizontal flux is highly dependent upon errors in wind speed and threshold shear velocity. To 629 examine the impact of uncertainty in these site-level parameters, we examined the sensitivity of 630 our error estimates on uncertainty in mean windspeed and  $u_{*t}$ . Other parameters also carry 631 uncertainty, but these two have the largest impact on model error. Uncertainty in  $u_{*t}$  and mean 632 wind speed of 5% using our simulation approach gave a minimum  $\varepsilon_r$  of 0.4, whereas uncertainty at the level of 25% for both of these site-level parameters gave a 1  $\varepsilon_r$  of ~ 7 (Figure 6A). Our 633 634 validated models using both Gillette and Passi [1988] and Sorensen [1991] gave  $\varepsilon_r$  of 2.1 635 (uncorrected). These uncorrected  $\varepsilon_r$  values are consistent with a total uncertainty of mean wind speed and  $u_{*t}$  of ~25% - 35% (Figure 6B). The method used in this study for estimation of  $u_{*t}$  is 636 637 associated with an error of about 10% [Li et al., 2010] and in this study, we were not able to

638 measure  $u_{*t}$  during the period of flux measurement, meaning that the error in  $u_{*t}$  is likely greater 639 than 10%. Furthermore, we were constrained to use a relatively small number of meteorological 640 observation stations that were, in some cases, quite distant from the site where flux was 641 estimated. Thus, wind speed measurements were not exactly collocated with flux estimates, 642 likely resulting in considerable mismatch between the winds experienced by the site and the 643 wind speed records used in the model calibration/validation. Given the uncertainty of these site-644 level parameters, it is highly unlikely that the model might have estimated flux with  $\varepsilon_r$  of less 645 than 2 or 3. We therefore consider that uncertainty in wind speed and  $u_{*t}$  is contributing 646 significantly to our model error and that  $\varepsilon_r \sim 2.1$  constitutes a very good agreement between 647 measured and modeled values.

## 648 **5.** Conclusions

649 In this study, we parameterized and validated the Okin [2008] wind erosion model on a 650 variety of field sites ranging from shrubby grassland in southern New Mexico to grassland and 651 shrubland in Utah and California, including both relatively degraded and undegraded plant 652 communities. The model predicted the occurrence of wind erosion at each of the sites during the 653 experimental period, which is in agreement with the field observations, with approximate relative errors of 2.1, which we consider satisfactory, particularly given constraints in knowledge of wind 654 655 speed and  $u_{*t}$ . Empirical corrections were able to further improve approximate relative error, 656 bringing it to 1.0. The OK model also predicted flux better than a revised version and three other 657 published models. This comparison is made both on the basis of the statistics for those sites where transport was modeled (i.e., r and  $\varepsilon_r$ ) and the number of sites on which it was modeled. 658

In the OK model, the distribution of shear stress on the surface is modified by the presenceand distribution of vegetation. In the MAR and SHAO models, vegetation alters shear stress on

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the surface, but this effect is only incorporated into the model as the average change in shear stress. This difference allows the OK model to predict flux at higher vegetation covers than the MAR and SHAO models. The effect of changing the distribution of shear stress on the surface rather than merely changing the average shear stress experienced by the surface is seen in the inability of the MAR and SHAO models to predict transport for many of our sites.

666 No modeling study can, by itself, show that one physical model is better than another, 667 especially in systems as complex as those investigated here. Nonetheless, our results suggest that 668 the understanding of vegetation's impact of shear stress in the OK model is a more realistic 669 representation of the physics involved in aeolian transport. Of course, some aspects of our 670 understanding of transport in real environments remain elusive. Our results indicate clearly that 671 the  $u_{*t}$  that leads to sediments captured in aeolian traps is in effect lower than that estimated 672 directly, even over a relatively large area in the vicinity of the trap. This result suggests that 673 temporal and spatial variability of  $u_{*t}$  in vegetated landscapes is likely a fruitful avenue of 674 research for the future. In addition, the positive correlations of plant height, vegetation cover, and 675  $u_{*t}$  with model error suggest directions for the modification of the model. In particular, we 676 believe that modification of the model to incorporate capture of saltating material by vegetation 677 would improve it. There has been some theoretical work on this [e.g., Raupach et al., 2001] and 678 some work in well-controlled outdoor systems [Gillies et al.2006], but so far as we know, no 679 field research in natural landscapes.

A further advantage of the OK model over the alternate models is the ease with which vegetation parameters can be measured. Despite its long history,  $\lambda$  is extremely difficult to measure in the field. Gap size distribution, in contrast may be obtained by a standard transectbased vegetation survey technique [e.g., *Herrick et al.*, 2005]. Recent research by *Vest et al.* 

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[2012] supports this view. Alternatively, vegetation characteristics could be obtained by an image-based technique [*Karl et al.*, 2011 ; *McGlynn and Okin*, 2006], supplemented by knowledge of plant height. Additionally, the recent development of high resolution terrestrial laser scanner [e.g., *Jupp et al.*, 2008] or airborne lidar might make it possible to capture the distribution of unvegetated gaps and canopy height at a much higher spatial resolution, minimizing the possibility of missing wind erosion "hot spots" while using the line-intercept method.

Development of the OK model was motivated by observations of aeolian processes in semiarid shrubby grasslands of the southwestern United States and it was subsequently developed for estimating wind erosion in rangeland ecosystems. However, because the model is based on shear velocity partitioning and physical principles, its use may not be limited to rangelands. Further investigation is required to implement the OK model in other ecosystems, particularly agricultural lands, where wind erosion models have existed for decades.

697 This study shows that the OK model provides superior flux estimates in vegetated 698 systems. With the calibration and error analysis that was conducted here, it is now suitable for 699 use in modeling transport in the world's drylands. In the US, the Natural Resource Conservation 700 Service's (NRCS's Natural Resource Inventory (NRI) program [Toevs et al., 2011], which has 701 data on over 10,000 points in non-federal lands in the Western US, uses vegetation monitoring 702 protocols that provide information on gap size and vegetation height and are, therefore, fully 703 consistent with the OK model. NRI methods have also been recently adopted by the Bureau of 704 Land Management for application to most federally owned rangelands in the United States. An 705 electronic field data collection system is now available which automatically provides the gap and 706 height information required [Courtright and Van Zee, 2011]. Similar data are now being

707 collected as part of Mongolia's national monitoring system and used in a number of countries 708 including China and Mexico. Compatible data can be collected by pastoralists using even simpler 709 methods [Riginos et al., 2011]. As consistent gapsize datasets are developed for other lands, the 710 OK model could provide improved modeling of aeolian transport elsewhere. Moving beyond 711 local or regional studies, incorporating the OK model into global models of aeolian transport 712 may improve estimates in vegetated regions, thus improving underestimations in these regions 713 and contributing to better modeling of changing dust emission in response to global 714 environmental change. This step will require reliable ways to translate modeled or remotely-715 sensed estimates of vegetation cover into gap size.

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- 872

871

## 873 Figure captions

- Figure 1. Horizontal flux vs. Lateral cover for field experiments (a, b) and model prediction (c).
- 875 (a) data from individual storms from Owens Dry Lake [Lancaster and Baas, 1998], (b) data from
- two seasons in the Chihuahuan Desert [Li et al., 2007], and (c) estimates of total horizontal flux
- using the shear-stress partitioning model of *Raupach et al.* [1993] and the flux equation of *Shao*
- 878 and Raupach [1992] using two values of m, 0.5 and 1.0. Light lines are horizontal flux estimated

at constant shear velocity (1.0 m s<sup>-1</sup>) and heavy lines are flux estimates for actual wind speed
records of the Jornada Experimental Range in New Mexico from 1997 to 2001. Figure redrawn
from *Okin* [2008].

Figure 2. An example of a histogram of the scaled gap size, constructed based on the size of a gap and the height of an adjacent plant canopy for all gaps and canopies along three 50-m transects at each site.

**Figure 3**. Relationship between  $z'_o$  and  $z_o$  given by Equation (12) and used for the determination of roughness length in the MOK model.

Figure 4. Frequency distribution of windspeeds used in the mass flux modeling for major study
sites. More details related to the characteristics of the study sites may be found in Tables 1 and
S1.

Figure 5. Horizontal mass flux ( $Q_t$ , act, g m-1 d-1) measured by BSNEs located in the major study sites. More details of the study sites are listed in Table 1.

Figure 6. Expected error, plotted as  $\varepsilon_r$ , when  $u_{*t}$  and mean wind speed are uncertain. The degree of uncertainty is estimated using the coefficient of variation (CV). A) the surface plots  $\varepsilon_r$  against CV of mean wind speed and  $u_{*t}$ . The surface has been interpolated. B)  $\varepsilon_r$  plotted against the sum of the CVs of mean wind speed and  $u_{*t}$ .

### Tables **Table 1.** Locations and environmental characteristics of the study sites

	Moab, UT	Fivemile Mountain, UT	Clear Spot Flat, UT	Jornada Experimental Range, NM	Owens Valley, CA
Plant community	Shrubby grassland	Shrubland	Shrubland	Shrubby grassland	Shrubby grassland
Dominant species	Sarcobatus vermiculatus, Atriplex canescens, Stipa comata	Artemisia tridentata	Atriplex confertifolia, Halogeton glomeratus, Salsola tragus	Prosopis glandulosa, Larrea tridentate, Bouteloua eriopoda	Sarcobatus vermiculatus, Atriplex torreyi, Distichlis spicata
Treatment	-	Mechanically treated	Burned and Mechanically treated	Shrub removal <sup>b</sup>	-
Annual rainfall (mm)	230	340	227	247	128
Elevation (m)	1227	1515	1524	1250	1264
Soil texture	Sandy loam	Sandy loam-silt loam	Sandy loam, silt loam, loam	Sand	Loamy sand, sandy loam
Roughness elements	Vegetation, soil crust	Woody debris, vegetation	Vegetation, woody debris	Vegetation	Vegetation, rocks
Wind data	CLIM-MET <sup>a</sup>	On-site	On-site	On-site	On-site, WRCC <sup>c</sup>
Number of BSNEs	25	10	2	15	13
Duration of BSNE deployment	Mar-Jul 2009	Mar-Jul 2009	Mar-Jun 2009	Mar-Jun 2009	May-Sept 2009

<sup>a</sup> CLIM-MET-Southwest Climate Impact Meteorological Stations, operated by the U.S. Geological Survey Geology and Environmental Change Science Center. <sup>b</sup> Shrub removal was conducted in part of the Jornada sites, see details in *Li et al.* [2007]. <sup>c</sup>WRCC-Western Regional Climate Center, operated by the Desert Research Institute. 

Table 2. The characteristics of vegetation, wind, and estimated threshold shear velocity for unvegetated soils for the primary experimental sites

Parameters	Moab, UT	Fivemile Mountain, UT	Clear Spot Flat, UT	Jornada Experimental Range, NM	Owens Valley, CA
Fractional plant cover (%)	~0-58	9-33	~0-36	11-27	29-78
Max gap (m)	>50	8.0	47	21	34
Average gap (m)	3.07	0.82	11	2.52	1.62
Max gap/canopy height	2212	446	2292	994	752
Average gap/canopy height	66	18	282	48	20
Max wind speed $(m s^{-1})$	12.0 <sup>a</sup>	13.9 <sup>a</sup>	15.2 <sup>a</sup>	18.3 <sup>b</sup>	26.4 <sup>b</sup>
Threshold shear velocity $(u_{*t}, \text{m s}^{-1})$	0.26-0.97	0.31-0.54	0.26-1.04	0.19-0.54	0.36-0.91

<sup>a</sup> at the height of 3 m, <sup>b</sup> at the height of 10 m 

Parameters	Physical meaning	Range/value in literature	Relevant literature
$Z_O$	Roughness length, m	$10^{-7}$ - $10^{-1}$ m	<i>Marticorena et al.</i> [1997a] <i>Gillette et al.</i> [2006]
A	Dimensionless constant	0 - 1	Gillette et al. [2001]
С	<i>e</i> -folding distance for recovery of the shear stress in the lee of	4.8 - 10	Minvielle et al. [2003], Okin [2008]
$\left(\frac{u_{s}}{u^{*}}\right)_{x=0}$	plants, dimensionless Shear velocity ratio in the immediate lee of a plant, dimensionless	0.0-0.32	Okin [2008], Bradley and Mulhearn [1983]

**Table 3.** Description of the important input parameters used in the model

911	Table 4. Representative ma	ss flux equations use	d in the total horizontal	mass flux calculation

	Expression	Citation
$Q_{t, pred} \propto {u_*}^4$	$A\frac{\rho}{g}u_*^4\left(1-\frac{u_{*t}}{u_*}\right)$	Gillette and Passi [1988]
	$A \frac{\rho}{g} u_*^3 \left( 1 - \frac{u_{*_t}^2}{u_*^2} \right)$	<i>Owen</i> [1964], <i>Shao et al.</i> [1993], and <i>Gillette et al.</i> [2001]
$Q_{t, pred} \propto {u_*}^3$	$A\frac{\rho}{g}u_{*}^{3}\left(1-\frac{u_{*_{t}}^{2}}{u_{*}^{2}}\right)\left(1+\frac{u_{*_{t}}}{u_{*}}\right)$	Kawamura [1951]
$\mathcal{Q}_{t, pred} \propto u_*$	$A\frac{\rho}{g}u_{*}^{3}\left(1-\frac{u_{*t}}{u_{*}}\right)\left(1+17.75\frac{u_{*t}}{u_{*}}\right)$	Sorensen [1991]
	$A\frac{\rho}{g}u_*^3\left(1-\frac{u_{*t}}{u_*}\right)$	Lettau and Lettau [1978]
$Q_{t, pred} \propto {u_*}^2$	$A\frac{\rho}{g}u_{*}^{2}\left(1-\frac{u_{*t}^{2}}{u_{*}^{2}}\right)$	Modified Shao et al. [1993]*

913 914 915 916 917 Note that the constants at the beginning of each of the original equations were replaced by a variable A that may be determined by model runs.

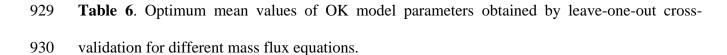
\*The Shao *et al.* [1993] equation was revised to provide a relationship such that q scales with the second power of  $u_*$ .

922	Table 5. Bounding values of uniform distributions used for random selection of values for error	
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## minimization

Parameter	Minimum Value	Maximum Value
Log[A]	-6	-3
C	4.8	9.0
$\left(\underline{u_{*_{S}}}\right)$	0.0	0.4
$(u_*)_{x=0}$		
$Log[z_o]$	-1.0	0.5

*U*\*<u>s</u> Mass flux equation  $A (\times 10^{-3})$  $Z_O$ С  $(g m^{-1} d^{-1})$ (units) (m) u\* , x=0 $0.32 \pm 0.072$ Gillette and Passi [1988]  $0.077\pm0.015$  $0.54\pm0.23$  $5.6\pm0.63$ *Shao et al.* [1993]  $0.079\pm0.015$  $26 \pm 10$  $5.6\pm0.89$  $0.29\pm0.078$ Kawamura [1951]  $0.077\pm0.015$  $16 \pm 5.9$  $5.7\pm0.75$  $0.31\pm0.072$  $0.078\pm0.015$  $7.3\pm1.2$  $5.8\pm0.86$ Sorensen [1991]  $0.31\pm0.086$ *Lettau and Lettau* [1978]  $0.081\pm0.015$  $39 \pm 17$  $5.8\pm0.93$  $0.30\pm0.080$ Modified Shao et al. [1993]  $0.083\pm0.012$  $780\pm150$  $6.2 \pm 1.0$  $0.28\pm0.11$ 931



- **Table 7**. Regression analysis of OK model performance in predicting total horizontal mass flux
- 934 based on different mass flux equations

Mass flux equation	Slope <sup>a</sup>	Intercept <sup>a,b</sup>	r	$\mathcal{E}_r$
Gillette and Passi [1988]	1.06	0.069	0.67	2.1
<i>Shao et al.</i> [1993]	1.03	0.019	0.64	2.3
Kawamura [1951]	1.07	0.061	0.66	2.3
Sorensen [1991]	1.05	0.058	0.67	2.1
Lettau and Lettau [1978]	1.07	0.032	0.65	2.4
Modified Shao et al. [1993]	1.06	-0.57	0.64	6.0

<sup>a</sup> For regression of Log(predicted) vs. Log(actual), <sup>b</sup> units of Log[g m<sup>-1</sup> d<sup>-1</sup>]

939 **Table 8**. Stepwise regression analysis and the corrected errors by adding different factors for 940 horizontal mass flux prediction. Calculations were based on the OK and the *Gillette and Passi* 941 [1988] and *Sorensen* [1991] mass flux equations. Original r and  $\varepsilon_r$  refer to the cross-validation 942 values in Table 7

Mass flux equation	Intercept	Plant height (m)	Fractional Cover, $F_g$	$(m s^{-1})$	Median windspeed (m s <sup>-1</sup> )	r	$\mathcal{E}_r$
Gillette	Original					0.67	2.1
and Passi	-0.41	0.024				0.73	1.4
[1988]	-0.49		1.61			0.60	1.6
	-0.59	0.020	0.88			0.70	1.2
	-1.21	0.022	0.68	0.014		0.72	1.1
	-1.97	0.020	1.15	0.015	0.0022	0.75	1.0
Sorensen	Original					0.67	2.1
[1991]	-0.42	0.025				0.57	2.1
	-0.48		1.60			0.59	1.7
	-0.59	0.021	0.82			0.70	1.3
	-1.24	0.023	0.62	0.14		0.72	1.0
	-1.78	0.021	0.95	0.15	0.0015	0.74	1.0

943 The empirically corrected horizontal mass flux values are given by original flux estimate + Intercept + Sum

944 (Coefficient\*Factor) for all of the factors.

**Table 9**. Optimum mean values of MOK model parameters obtained by leave-one-out cross-

Mass flux equation	$A (\times 10^{-3})$ (g m <sup>-1</sup> d <sup>-1</sup> )	С	$\left( u_{*_{S}}/u_{*} ight) _{x=0}$
Gillette and Passi [1988]	$4.3\pm0.72$	$5.1 \pm 0.28$	$0.34\pm0.045$
Shao et al. [1993]	$180 \pm 27$	$5.1 \pm 0.24$	$0.33 \pm 0.063$
Kawamura [1951]	$110 \pm 16$	$5.1 \pm 0.24$	$0.33\pm0.073$
Sorensen [1991]	$23 \pm 3.3$	$5.1\pm0.28$	$0.33\pm0.059$
Lettau and Lettau [1978]	$310\pm51$	$5.1\pm0.23$	$0.33\pm0.073$
Modified Shao et al. [1993]	$880\pm72$	$5.3\pm0.52$	$0.33\pm0.071$

947 validation for different mass flux equations

950 Table 10. Regression analysis of MOK model performance in predicting total horizontal mass951 flux based on different mass flux equations.

Mass flux equation	Slope <sup>a</sup>	Intercept <sup>a,b</sup>	r	$\mathcal{E}_r$
Gillette and Passi [1988]	0.97	-0.052	0.56	3.0
Shao et al. [1993]	1.04	0.026	0.57	3.3
Kawamura [1951]	1.05	0.043	0.58	3.2
Sorensen [1991]	1.05	0.041	0.58	3.2
Lettau and Lettau [1978]	1.05	0.050	0.56	3.6
Modified Shao et al. [1993]	1.10	-1.29	0.56	33

<sup>a</sup> For regression of Log(predicted) vs. Log(actual), <sup>b</sup> units of Log[g m<sup>-1</sup> d<sup>-1</sup>]

**Table 11**. Stepwise regression analysis and the corrected errors by adding different factors for

956 horizontal mass flux prediction. Calculations were based on the MOK and the *Gillette and Passi* 

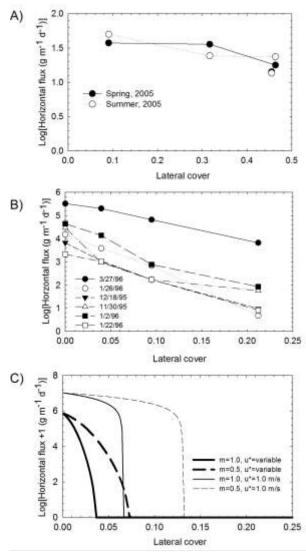
- 957 [1988] mass flux equation. Original r and  $\varepsilon_r$  refer to the cross-validation values in Table 10.

Mass flux equation	Intercept	Plant height (m)	Fractional Cover, $F_g$	$\frac{u_{*t}}{(m s^{-1})}$	Median windspeed (m s <sup>-1</sup> )	r	$\mathcal{E}_r$
Gillette	Original					0.56	3.0
and Passi	-0.28	0.018				0.57	2.6
[1988]	-1.32		0.028			0.54	2.1
	-1.73	0.020	0.030			0.57	1.6
	-1.72	0.021	0.030	-0.086		0.58	1.6
	-1.71	0.021	0.030	-0.090	$-1.9 \times 10^{-5}$	0.58	1.6

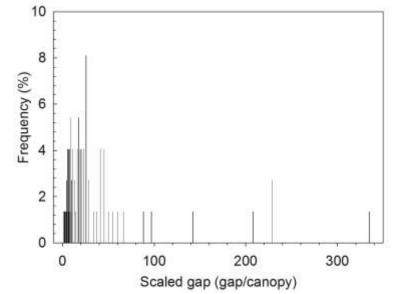
959 The empirically corrected horizontal mass flux values are given by original flux estimate + Intercept + Sum (Coefficient\*Factor) for all of the factors.

**Table 12.** Comparison of number of sites for which flux is predicted (*n*), Pearson correlation coefficient between  $Q_{t,pred}$  and  $Q_{t,act}$  (*r*), and relative prediction error ( $\varepsilon_r$ ) models considered in this paper. The OK and MOK models used the *Gillette and Passi* [1988] flux equation and the values of the best-fit parameter values from Tables 6 and 9, respectively. Values for *r* and  $\varepsilon_r$ differ slightly from Tables 7 and 8 (for the OK model) and Tables 10 and 11 (for the MOK) model because they are not derived from cross-validation.

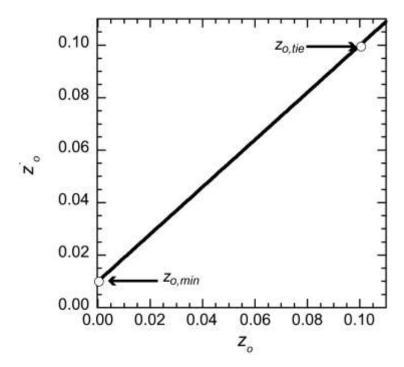
Model	п	r	Er
ОК	65	0.68	2.0
MOK	65	0.59	2.8
MAR	3	0.52	0.6
SHAO	38	0.28	41
RWEQ	65	0.17	240



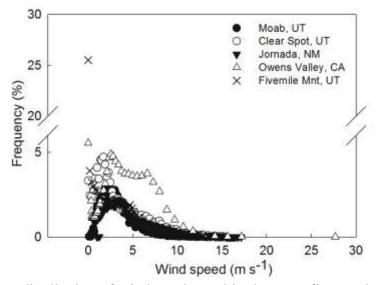
971 972 Figure 1. Horizontal flux vs. Lateral cover for field experiments (a, b) and model prediction (c). 973 (a) data from individual storms from Owens Dry Lake [Lancaster and Baas, 1998], (b) data from 974 two seasons in the Chihuahuan Desert [Li et al., 2007], and (c) estimates of total horizontal flux 975 using the shear-stress partitioning model of Raupach et al. [1993] and the flux equation of Shao 976 and Raupach [1992] using two values of m, 0.5 and 1.0. Light lines are horizontal flux estimated at constant shear velocity (1.0 m s<sup>-1</sup>) and heavy lines are flux estimates for actual wind speed 977 978 records of the Jornada Experimental Range in New Mexico from 1997 to 2001. Figure redrawn 979 from Okin [2008].



981 Scaled gap (gap/canopy)
982 Figure 2. An example of a histogram of the scaled gap size, constructed based on the size of a
983 gap and the height of an adjacent plant canopy for all gaps and canopies along three 50-m
984 transects at each site.



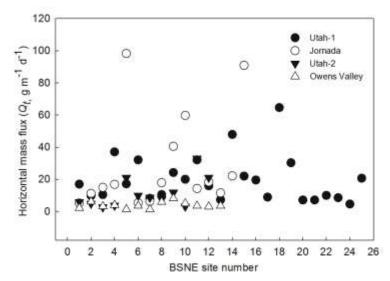
987 **Figure 3**. Relationship between  $z'_o$  and  $z_o$  given by Equation (12) and used for the determination of roughness length in the MOK model.



991 Figure 4. Frequency distribution of windspeeds used in the mass flux modeling for major study

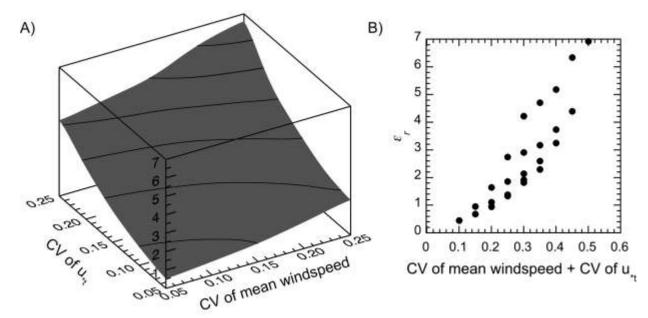
sites. More details related to the characteristics of the study sites may be found in Tables 1 and

S1.



996 Figure 5. Horizontal mass flux ( $Q_t$ , act, g m-1 d-1) measured by BSNEs located in the major

study sites. More details of the study sites are listed in Table 1.



999

**Figure 6**. Expected error, plotted as  $\varepsilon_r$ , when  $u_{*t}$  and mean wind speed are uncertain. The degree of uncertainty is estimated using the coefficient of variation (CV). A) the surface plots  $\varepsilon_r$  against CV of mean wind speed and  $u_{*t}$ . The surface has been interpolated. B)  $\varepsilon_r$  plotted against the sum of the CVs of mean wind speed and  $u_{*t}$ .

