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# Language Acquisition and Socialization: Three Developmental Stories and Their Implications

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This selection addresses the relationship between communication and culture from the perspective of the *acquisition of language and socialization through language*. Heretofore the processes of language acquisition and socialization have been considered as two separate domains. Processes of language acquisition are usually seen as relatively unaffected by cultural factors such as social organization and local belief systems. These factors have been largely treated as "context," something that is *separable* from language and its acquisition. A similar attitude has prevailed in anthropological studies of socialization. The language used both by children and to children in social interactions has rarely been a source of information on socialization. As a consequence, we know little about the role that language plays in the acquisition and transmission of sociocultural knowledge. Neither the forms, the functions, nor the message content of language have been documented and examined for the ways in which they *organize* and *are organized* by culture.

Our own backgrounds in cultural anthropology and language development have led us to a more integrated perspective. Having carried out research on language in several societies (Malagasy, Bolivian, white-middle-class American, Kaluli [Papua New Guinea], and Western Samoan), focusing on the language of children and their caregivers in three of them (white middle-class American, Kaluli, Western Samoan), we have seen that the primary concern of caregivers is to ensure that their children are able to display and understand behaviors appropriate to social situations. A major means by which this is accomplished is through language. Therefore, we must examine the language of caregivers primarily for its socializing functions, rather than for only its strict grammatical input function. Further, we must examine the prelinguistic and linguistic behaviors of children to determine the ways they are continually and selectively affected by values and beliefs held by those members of society who interact with them. What a child says, and how he or she says it, will be influenced by local cultural processes in addition to biological and social processes that have universal scope. The perspective we adopt is expressed in the following two claims:

1. The process of acquiring language is deeply affected by the process of becoming a competent member of a society.
2. The process of becoming a competent member of society is realized to a large extent through language, by acquiring knowledge of its functions, social distribution, and interpretations in and across socially defined situations, i.e., through exchanges of language in particular social situations.

In this reading, we will support these claims through a comparison of social development as it relates to the communicative development of children in three societies: Anglo-American white middle class, Kaluli, and Samoan. We will present specific theoretical arguments and methodological procedures for an ethnographic approach to the development of language. Our focus at this point cannot be comprehensive, and therefore we will address developmental research that has its interests and roots in language development rather than anthropological studies of socialization. For current socialization literature, the reader is recommended to see Briggs 1970; Gallimore, Boggs, & Jordon 1974; Geertz 1959; Hamilton 1981; Harkness & Super 1980; Korbin 1978; Leiderman, Tulkin, & Rosenfeld 1977; LeVine 1980; Levy 1973; Mead & MacGregor 1951; Mead & Wolfenstein 1955; Montagu 1978; Munroe & Munroe 1975; Richards 1974; Wagner & Stevenson 1982; Weisner & Gallimore 1977; Whiting 1963; Whiting & Whiting 1975; Williams 1969; and Wills 1977.

## APPROACHES TO COMMUNICATIVE DEVELOPMENT

Whereas interest in language structure and use has been a timeless concern, the child as a language user is a relatively recent focus of scholarly interest. This interest has been located primarily in the fields of linguistics and psychology, with the wedding of the two in the establishment of developmental psycholinguistics as a legitimate academic specialization. The concern here has been the relation of language to thought, both in terms of conceptual categories and in terms of cognitive processes (such as perception, memory, recall). The child has become one source for establishing just what that relation is. More specifically, the language of the child has been examined in terms of the following issues:

1. The relation between the relative complexity of conceptual categories and the linguistic structures produced and understood by young language-learning children at different developmental stages (Bloom 1970, 1973; Bowerman 1977, 1981; Brown 1973; Clark 1974; Clark & Clark 1977; Greenfield & Smith 1976; Karmiloff-Smith 1979; MacNamara 1972; Nelson 1974; Schlessinger 1974; Sinclair 1971; Slobin 1979).
2. Processes and strategies underlying the child's construction of grammar (Bates 1976; Berko 1958; Bloom, Hood, & Lightbown 1974; Bloom, Lightbown, & Hood 1975; Bowerman 1977; Brown & Bellugi 1964; Brown, Cazden, & Bellugi 1969; Dore 1975; Ervin-Tripp 1964; Lieven 1980; MacWhinney 1975; Miller 1982; Scollon 1976; Shatz 1978; Slobin 1973).
3. The extent to which these processes and strategies are language universal or particular (Berman in press; Bowerman 1973; Brown 1973; Clancy in press; Clark in press; Johnston & Slobin 1979; MacWhinney & Bates 1978; Ochs 1982b, in press; Slobin 1981, in press; Asku & Slobin in press).

4. The extent to which these processes and strategies support the existence of a language faculty (Chomsky 1959, 1968, 1977; Fodor, Bever, & Garrett 1974; Goldin-Meadow 1977; McNeill 1970; Newport 1981; Newport, Gleitman, & Gleitman 1977; Piattelli-Palmarini 1980; Shatz 1981; Wanner & Gleitman 1982).
5. The nature of the prerequisites for language development (Bates et al. in press; Bloom 1973; Bruner 1975, 1977; Bullowa 1979; Carter 1978; de Lemos 1981; Gleason & Weintraub 1978; Golinkoff 1983; Greenfield & Smith 1976; Harding & Golinkoff 1979; Lock 1978, 1981; Sachs 1977; Shatz in press; Slobin 1973; Snow 1979; Snow & Ferguson 1977; Vygotsky 1962; Werner & Kaplan 1963).
6. Perceptual and conceptual factors that inhibit or facilitate language development (Andersen, Dunlea, & Kekelis 1982; Bever 1970; Greenfield & Smith 1976; Huttenlocher 1974; Menyuk & Menn 1979; Piaget 1955/1926; Slobin 1981; Sugarman 1984; Wanner & Gleitman 1982).

Underlying all these issues is the question of the *source* of language, in terms of not only what capacities reside within the child but the relative contributions of biology (nature) and the *social* world (nurture) to the development of language. The relation between nature and nurture has been a central theme around which theoretical positions have been oriented. B. F. Skinner's (1957) contention that the child brings relatively little to the task of learning language and that it is through responses to specific adult stimuli that language competence is attained provided a formulation that was subsequently challenged and countered by Chomsky's (1959) alternative position. This position, which has been termed nativist, innatist, rationalist (see Piattelli-Palmarini 1980), postulates that the adult verbal environment is an inadequate source for the child to inductively learn language. Rather, the rules and principles for constructing grammar have as their major source a genetically determined language faculty:

Linguistics, then, may be regarded as that part of human psychology that is concerned with the nature, function, and origin of a particular "mental organ." We may take UG (Universal Grammar) to be a theory of the language faculty, a common human attribute, genetically determined, one component of the human mind. Through interaction with the environment, this faculty of mind becomes articulated and refined, emerging in the mature person as a system of knowledge of language. (Chomsky 1977:164)

It needs to be emphasized that an innatist approach does not eliminate the adult world as a source of linguistic knowledge; rather, it assigns a different role (*vis-à-vis* the behaviorist approach) to that world in the child's attainment of linguistic competence: The adult language presents the relevant information that allows the child to select from the Universal Grammar those grammatical principles specific to the particular language that the child will acquire.

One of the principal objections that could be raised is that although "the linguist's grammar is a theory of this [the child's] attained competence" (Chomsky 1977:163), there is no account of *how* this linguistic competence is attained. The theory does not relate the linguist's grammar(s) to processes of

acquiring grammatical knowledge. Several psycholinguists, who have examined children's developing grammars in terms of their underlying organizing principles, have argued for similarities between these principles and those exhibited by other cognitive achievements (Bates et al. 1979; Bever 1970).

A second objection to the innatist approach has concerned its characterization of adult speech as "degenerate," fragmented, and often ill formed (McNeill 1966; Miller & Chomsky 1963). This characterization, for which there was no empirical basis, provoked a series of observational studies (including tape-recorded documentation) of the ways in which caregivers speak to their young language-acquiring children (Drach 1969; Phillips 1973; Sachs, Brown, & Salerno 1976; Snow 1972). Briefly, these studies indicated not only that adults use well-formed speech with high frequency but that they modify their speech to children in systematic ways as well. These systematic modifications, categorized as a particular speech register called baby-talk register (Ferguson 1977), include the increased (relative to other registers) use of high pitch, exaggerated and slowed intonation, a baby-talk lexicon (Garnica 1977; Sachs 1977; Snow 1972, 1977b) diminutives, reduplicated words, simple sentences (Newport 1976), shorter sentences, interrogatives (Corsaro 1979), vocatives, talk about the "here-and-now," play and politeness routines — peek-a-boo, hi-good-bye, say "thank you" (Andersen 1977; Gleason & Weintraub 1978), cooperative expression of propositions, repetition, and expansion of one's own and the child's utterances. Many of these features are associated with the expression of positive affect, such as high pitch and diminutives. However, the greatest emphasis in the literature has been placed on these features as evidence that caregivers *simplify* their speech in addressing young children (e.g., slowing down, exaggerating intonation, simplifying sentence structure and length of utterance). The scope of the effects on grammatical development has been debated in a number of studies. Several studies have supported Chomsky's position by demonstrating that caregiver speech facilitates the acquisition of only language-specific features but not those features widely (universally) shared across languages (Feldman, Goldin-Meadow, & Gleitman 1978; Newport, Gleitman, & Gleitman 1977). Other studies, which do not restrict the role of caregiver speech to facilitating only language-specific grammatical features (Snow 1977b, 1979), report that caregivers appear to adjust their speech to a child's cognitive and linguistic capacity (Cross 1977). And as children become more competent, caregivers use fewer features of the baby-talk register. Whereas certain researchers have emphasized the direct facilitating role of caregiver speech in the acquisition of language (van der Geest 1977), others have linked the speech behavior of caregivers to the caregiver's desire to communicate with the child (Brown 1977; Snow 1977a, 1977b, 1979). In this perspective, caregivers simplify their own speech in order to make themselves understood when speaking to young children. Similarly, caregivers employ several verbal strategies to understand what the child is trying to communicate. For example, the caregiver attends to what the child

is doing, where the child is looking, and the child's behavior to determine the child's communicative intentions (Foster 1981; Golinkoff 1983; Keenan, Ochs, & Schieffelin 1976). Further, caregivers often request clarification by repeating or paraphrasing the child's utterance with a questioning intonation, as in Example 1 (Bloom 1973:170):

Example 1\*

<i>Mother</i>	<i>Allison (16 mos 3 wks)</i>
(A picks up a jar, trying to open it)	more wídà/ə wídà/ ə wídà/ ə wídà/
(A holding jar out to M)	up/ Mama/ Mama/ Mama ma ə wídà/ Mama Mama ə wídà/
What, darling?	Mama wídà/ Mama/ Mama wídà/ Mama Mama wídà/
What do you want Mommy to do?	-/ ə wídà ə wídà/
(A gives jar to M)	-/here/
(A tries to turn top on jar in M's hand)	Mama/Mama/ə wídàt/
Open it up?	up/
Open it? OK.	
(M opens it)	

\*Examples 1-5 follow transcription conventions in Bloom and Lahey 1978.

In other cases, the caregiver facilitates communication by jointly expressing with the child a proposition. Typically, a caregiver asks a question to which the child supplies the missing information (often already known to the caregiver), as in Example 2 (Bloom 1973:153):

Example 2

<i>Mother</i>	<i>Allison</i>
What's Mommy have (M holding cookies)	
(A reaching for cookie)	cookie/
Cookie! OK. Here's a cookie for you	
(A takes cookie; reaching with other hand toward others in bag)	more/
There's more in here. We'll have it in a little while.	
(A picking up bag of cookies)	bag/

These studies indicate that caregivers make extensive accommodations to the child, assuming the perspective of the child in the course of engaging him or her in conversational dialogue. Concurrent research on interaction between caregivers and prelinguistic infants supports this conclusion (Bruner 1977;

Bullowa 1979; Lock 1978; Newson 1977, 1978; Schaffer 1977; Shotter 1978). Detailed observation of white middle-class mother-infant dyads (English, Scottish, American, Australian, Dutch) indicates that these mothers attempt to engage their very young infants (starting at birth) in "conversational exchanges." These so-called protoconversations (Bullowa 1979) are constructed in several ways. A protoconversation may take place when one party responds to some facial expression, action, and/or vocalization of the other. This response may be nonverbal, as when a gesture of the infant is "echoed" by his or her mother.

As a rule, pre-speech with gesture is watched and replied to by exclamations of pleasure or surprise like "Oh, my my!", "Good heavens!", "Oh, what a big smile!", "Ha! That's a big one!" (meaning a story), questioning replies like, "Are you telling me a story?", "Oh really?", or even agreement by nodding "Yes" or saying "I'm sure you're right". . . . A mother evidently perceives her baby to be a person like herself. Mothers interpret baby behavior as not only intended to be communicative, but as verbal and meaningful. (Trevarthen 1979a:339)

On the other hand, mother and infant may respond to one another through verbal means, as, for example, when a mother expresses agreement, disagreement, or surprise following an infant behavior. Social interactions may be sustained over several exchanges by the mother assuming both speaker roles. She may construct an exchange by responding on behalf of the infant to her own utterance, or she may verbally interpret the infant's interpretation. A combination of several strategies is illustrated in Example 3 (Snow 1977a: 12).

Example 3

<i>Mother</i>	<i>Ann (3 mos)</i>
	(smiles)
Oh what a nice little smile!	
Yes, isn't that nice?	
There.	
There's a nice little smile.	(burps)
What a nice wind as well!	
Yes, that's better, isn't it?	
Yes.	
Yes.	(vocalizes)
Yes!	
There's a nice noise.	

These descriptions capture the behavior of white middle-class caregivers and, in turn, can be read for what caregivers believe to be the capabilities and predispositions of the infant. Caregivers evidently see their infants as sociable and as capable of intentionality, particularly with respect to the intentional expression of emotional and physical states. Some researchers have concluded

that the mother, in interpreting an infant's behaviors, provides meanings for those behaviors that the infant will ultimately adopt (Lock 1981; Ryan 1974; Shotter 1978) and thus emphasize the active role of the mother in socializing the infant to her set of interpretations. Other approaches emphasize the effect of the infant on the caregiver (Lewis & Rosenblum 1974), particularly with respect to the innate mechanisms for organized, purposeful action that the infant brings to interaction (Trevarthen 1979b).

These studies of caregivers' speech to young children have all attended to what the child is learning from these interactions with the mother (or caregiver). There has been a general movement away from the search for *direct* causal links between the ways in which caregivers speak to their children and the emergence of grammar. Instead, caregivers' speech has been examined for its more general communicative functions, that is, how meanings are negotiated, how activities are organized and accomplished, and how routines and games become established. Placed within this broader communicative perspective, language development is viewed as one of several achievements accomplished through verbal exchanges between the caregiver and the child.

## THE ETHNOGRAPHIC APPROACH

### Ethnographic Orientation

To most middle-class Western readers, the descriptions of verbal and nonverbal behaviors of middle-class caregivers with their children seem very familiar, desirable, and even natural. These descriptions capture in rich detail what goes on, to a greater or lesser extent, in many middle-class households. The characteristics of caregiver speech (baby-talk register) and comportment that have been specified are highly valued by members of white middle-class society, including researchers, readers, and subjects of study. They are associated with good mothering and can be spontaneously produced with little effort or reflections. As demonstrated by Shatz and Gelman (1973), Sachs and Devin (1976), and Andersen and Johnson (1973), children as young as 4 years of age often speak and act in these ways when addressing small children.

From our research experience in other societies as well as our acquaintance with some of the cross-cultural studies of language socialization (Blount 1972; Bowerman 1981; Clancy in press; Eisenberg 1982; Fischer 1970; Hamilton 1981; Harkness 1975; Harkness & Super 1977; Heath 1983; Miller 1982; Philips 1983; Schieffelin & Eisenberg in press; Scollon & Scollon 1981; Stross 1972; Ward 1971; Watson-Gegeo & Gegeo 1982; Wills 1977) the general patterns of white middle-class caregiving that have been described in the psychological literature are characteristic neither of all societies nor of all social groups (e.g., all social classes within one society). We would like the reader, therefore, to reconsider the descriptions of caregiving in the psychological literature as ethnographic descriptions.

By ethnographic, we mean descriptions that take into account the perspective of members of a social group, including beliefs and values that underlie and organize their activities and utterances. Ethnographers rely heavily on observations and on formal and informal elicitation of members' reflections and interpretations as a basis for analysis (Geertz 1973). Typically, the ethnographer is not a member of the group under study. Further, in presenting an ethnographic account, the researcher faces the problem of communicating world views or sets of values that may be unfamiliar and strange to the reader. Ideally, such statements provide for the reader a set of organizing principles that give coherence and an analytic focus to the behaviors described.

Psychologists who have carried out research on the verbal and nonverbal behavior of caregivers and their children draw on both methods. However, unlike most ethnographers, the psychological researcher *is* a member of the social group under observation. (In some cases, the researcher's own children are the subjects of study.) Further, unlike the ethnographer, the psychologist addresses a readership familiar with the social scenes portrayed.

That the researcher, reader, and subjects of study tend to have in common a white middle-class literate background has had several consequences. For example, by and large, the psychologist has not been faced with the problem of cultural translation, as has the anthropologist. There has been a tacit assumption that readers can provide the larger cultural framework for making sense out of the behaviors documented, and, consequently, the cultural nature of the behaviors and principles presented have not been explicit. From our perspective, language and culture as bodies of knowledge, structures of understanding, conceptions of the world, and collective representations are extrinsic to any individual and contain more information than any individual could know or learn. Culture encompasses variations in knowledge between individuals, but such variation, although crucial to what an individual may know and to the social dynamic between individuals, does not have its locus within the individual. Our position is that culture is not something that can be considered separately from the accounts of caregiver-child interaction; rather, it is what organizes and gives meaning to that interaction. This is an important point, as it affects the definition and interpretation of the behaviors of caregivers and children. How caregivers and children speak and act toward one another is linked to cultural patterns that extend and have consequences beyond the specific interactions observed. For example, how caregivers speak to their children may be linked to other institutional adaptations to young children. These adaptations, in turn, may be linked to how members of a given society view children more generally (their "nature," their social status and expected comportment) and to how members think children develop.

We are suggesting here that the sharing of assumptions between researcher, reader, and subjects of study is a mixed blessing. In fact, this sharing represents a paradox of familiarity. We are able to apply without effort the cultural framework for interpreting the behavior of caregivers and young children in our own social group; indeed, as members of a white middle-class society, we

are socialized to do this very work, that is, interpret behaviors, attribute motives, and so on. Paradoxically, however, in spite of this ease of effort, we can not easily isolate and make explicit these cultural principles. As Goffman's work on American society has illustrated, the articulation of norms, beliefs, and values is often possible only when faced with violations, that is, with gaffes, breaches, misfirings, and the like (Goffman 1963, 1967; Much & Shweder 1978).

Another way to see the cultural principles at work in our own society is to examine the ways in which *other* societies are organized in terms of social interaction and of the society at large. In carrying out such research, the ethnographer offers a point of contrast and comparison with our own everyday activities. Such comparative material can lead us to reinterpret behaviors as cultural that we have assumed to be natural. From the anthropological perspective, every society will have its own cultural constructs of what is natural and what is not. For example, every society has its own theory of procreation. Certain Australian Aboriginal societies believe that a number of different factors contribute to conception. Von Sturmer (1980) writes that among the Kugu-Nganychara (West Cape York Peninsula, Australia) the spirit of the child may first enter the man through an animal that he has killed and consumed. The spirit passes from the man to the woman through sexual intercourse, but several sexual acts are necessary to build the child (see also Hamilton 1981; Montagu 1937). Even within a single society there may be different beliefs concerning when life begins and ends, as the recent debates in the United States and Europe concerning abortion and mercy killing indicate. The issue of what is nature and what is nurtured (cultural) extends to patterns of caregiving and child development. Every society has (implicitly or explicitly) given notions concerning the capacities and temperament of children at different points in their development (see, e.g., Dentan 1978; Ninio 1979; Snow, de Blauw, & van Roosmalen 1979), and the expectations and responses of caregivers are directly related to these notions.

### Three Developmental Stories

At this point, using an ethnographic perspective, we will recast selected behaviors of white middle-class caregivers and young children as pieces of one "developmental story." The white middle-class developmental story that we are constructing is based on various descriptions available and focuses on those patterns of interaction (both verbal and nonverbal) that have been emphasized in the literature. This story will be compared with two other developmental stories from societies that are strikingly different: Kaluli (Papua New Guinea) and Western Samoan.

A major goal in presenting and comparing these developmental stories is to demonstrate that communicative interactions between caregivers and young children are culturally constructed. In our comparisons, we will focus on three facets of communicative interaction: (1) the social organization of the verbal environment of very young children, (2) the extent to which children are

expected to adapt to situations or that situations are adapted to the child, (3) the negotiation of meaning by caregiver and child. We first present a general sketch of each social group and then discuss in more detail the consequences of the differences and similarities in communicative patterns in these social groups.

These developmental stories are not timeless but rather are linked in complex ways to particular historical contexts. Both the ways in which caregivers behave toward young children and the popular and scientific accounts of these ways may differ at different moments in time. The stories that we present represent ideas currently held in the three social groups.

The three stories show that there is more than one way of becoming social and using language in early childhood. All normal children will become members of their own social group, but the process of becoming social, including becoming a language user, is culturally constructed. In relation to this process of construction, every society has its own developmental stories that are rooted in social organization, beliefs, and values. These stories may be explicitly codified and/or tacitly assumed by members.

#### *An Anglo-American White Middle-Class Developmental Story*

The middle class in Britain and the United States includes a broad range of lower middle-, middle middle-, and upper middle-class white-collar and professional workers and their families.<sup>1</sup> The literature on communicative development has been largely based on middle middle- and upper middle-class households. These households tend to consist of a single nuclear family with one, two, or three children. The primary caregiver almost without exception is the child's natural or adopted mother. Researchers have focused on communicative situations in which one child interacts with his or her mother. The generalizations proposed by these researchers concerning mother-child communication could be an artifact of this methodological focus. However, it could be argued that the attention to two-party encounters between a mother and her child reflects the most frequent type of communicative interaction to which most young middle-class children are exposed. Participation in two-party as opposed to multiparty interactions is a product of many considerations, including the physical setting of households, where interior and exterior walls bound and limit access to social interaction.

Soon after an infant is born, many mothers hold their infants in such a way that they are face-to-face and gaze at them. Mothers have been observed to address their infants, vocalize to them, ask questions, and greet them. In other

<sup>1</sup> This story is based on the numerous accounts of caregiver-child communication and interaction that have appeared in both popular and scientific journals. Our generalizations regarding language use are based on detailed reports in the developmental psycholinguistic literature, which are cited throughout. In addition, we have drawn on our own experiences and intuitions as mothers and members of this social group. We invite those with differing perceptions to comment on our interpretations.

words, from birth on, the infant is treated as a *social being* and as an *addressee* in social interaction. The infant's vocalizations and physical movements and states are often interpreted as meaningful and are responded to verbally by the mother or other caregiver. In this way, protoconversations are established and sustained along a dyadic, turn-taking model. Throughout this period and the subsequent language-acquiring years, caregivers treat very young children as communicative partners. One very important procedure in facilitating these social exchanges is the mother's (or other caregiver's) taking the perspective of the child. This perspective is evidenced in her own speech through the many simplifying and affective features of the baby-talk register that have been described and through the various strategies employed to identify what the young child may be expressing.

Such perspective taking is part of a much wider set of accommodations by adults to young children. These accommodations are manifested in several domains. For example, there are widespread material accommodations to infancy and childhood in the form of cultural artifacts designed for this stage of life, for example, baby clothes, baby food, miniaturization of furniture, and toys. Special behavioral accommodations are coordinated with the infant's perceived needs and capacities, for example, putting the baby in a quiet place to facilitate and ensure proper sleep; "baby-proofing" a house as a child becomes increasingly mobile, yet not aware of, or able to control, the consequences of his or her own behavior. In general, the pattern appears to be one of prevention and intervention, in which situations are adapted or modified to the child rather than the reverse. Further, the child is a focus of attention, in that the child's actions and verbalizations are often the starting point of social interaction with more mature persons.

Although such developmental achievements as crawling, walking, and first words are awaited by caregivers, the accommodations have the effect of keeping the child dependent on, and separate from, the adult community for a considerable period of time. The child, protected from those experiences considered harmful (e.g., playing with knives, climbing stairs), is thus denied knowledge, and his or her competence in such contexts is delayed.

The accommodations of white middle-class caregivers to young children can be examined for other values and tendencies. Particularly among the American middle class, these accommodations reflect a discomfort with the competence differential between adult and child. The competence gap is reduced by two strategies. One is for the adult to simplify her/his speech to match more closely what the adult considers to be the verbal competence of the young child. Let us call this strategy the self-lowering strategy, following Irvine's (1974) analysis of intercaste demeanor. A second strategy is for the caregiver to richly interpret (Brown 1973) what the young child is expressing. Here the adult acts as if the child were more competent than his behavior more strictly would indicate. Let us call this strategy the child-raising (no pun intended!) strategy. Other behaviors conform to this strategy, such as when an adult cooperates in a task with a child but treats that task as an accomplishment of the child.

For example, in eliciting a story from a child, a caregiver often cooperates with the child in the telling of the story. This cooperation typically takes the form of posing questions to the child, such as "Where did you go?" "What did you see?" and so on, to which the adult knows the answer. The child is seen as telling the story even though she or he is simply supplying the information the adult has preselected and organized (Greenfield & Smith 1976; Ochs, Schieffelin & Platt 1979; Schieffelin & Eisenberg 1984). Bruner's (1978) description of scaffolding, in which a caregiver constructs a tower or other play object, allowing the young child to place the last block, is also a good example of this tendency. Here the tower may be seen by the caregiver and others as the child's own work. Similarly, in later life, caregivers playing games with their children let them win, acting as if the child can match or more than match the competence of the adult.

The masking of incompetence applies not only in white middle-class relations with young children but also in relations with mentally, and to some extent to physically, handicapped persons as well. As the work of Edgerton (1967) and the recent film *Best Boy* indicate, mentally retarded persons are often restricted to protected environments (family households, sheltered workshops or special homes) in which trained staff or family members make vast accommodations to their special needs and capacities.

A final aspect of this white middle-class developmental story concerns the willingness of many caregivers to interpret unintelligible or partially intelligible utterances of young children (cf. Ochs 1982c), for example, the caregiver offers a paraphrase (or "expansion"; Brown & Bellugi 1964; Cazden 1965), using a question intonation. This behavior of caregivers has continuity with their earlier attributions of intentionality to the ambiguous utterances of the infant. For both the prelinguistic and language-using child, the caregiver provides an explicitly verbal interpretation. This interpretation or paraphrase is potentially available to the young child to affirm, disconfirm, or modify.

Through exposure to, and participation in, these clarification exchanges, the young child is socialized into several cultural patterns. The first of these recognizes and defines an utterance or vocalization that may not be immediately understood. Second, the child is presented with the procedures for dealing with ambiguity. Through the successive offerings of possible interpretations, the child learns that more than one understanding of a given utterance or vocalization may be possible. The child is also learning who can make these interpretations and the extent to which they may be open to modification. Finally, the child is learning how to settle upon a possible interpretation and how to show disagreement or agreement. This entire process socializes the child into culturally specific modes of organizing knowledge, thought, and language.<sup>3</sup>

<sup>3</sup> We would like to thank Courtney Cazden for bringing the following quotation to our attention: "It seems to us that a mother in expanding speech may be teaching more than grammar; she may be teaching something like a world-view" (Brown & Bellugi 1964).

### A Kaluli Developmental Story

A small (population approximately 1,200), nonliterate egalitarian society (Schieffelin 1976), the Kaluli people live in the tropical rain forest on the Great Papuan Plateau in the southern highlands of Papua New Guinea.<sup>3</sup> Most Kaluli are monolingual, speaking a non-Austronesian verb final ergative language. They maintain large gardens and hunt and fish. Traditionally, the sixty to ninety individuals that comprise a village lived in one large longhouse without internal walls. Currently, although the longhouse is maintained, many families live in smaller dwellings that provide accommodations for two or more extended families. It is not unusual for at least a dozen individuals of different ages to be living together in one house consisting essentially of one semipartitioned room.

Men and women use extensive networks of obligation and reciprocity in the organization of work and sociable interaction. Everyday life is overtly focused around verbal interaction. Kaluli think of, and use, talk as a means of control, manipulation, expression, assertion, and appeal. Talk gets you what you want, need, or feel you are owed. Talk is a primary indicator of social competence and a primary means of socializing. Learning how to talk and become independent is a major goal of socialization.

For the purpose of comparison and for understanding something of the cultural basis for the ways in which Kaluli act and speak to their children, it is important first to describe selected aspects of a Kaluli developmental story that I have constructed from various ethnographic data. Kaluli describe their babies as helpless, "soft" (*taiyo*), and "having no understanding" (*asugo andoma*). They take care of them, they say, because they "feel sorry for them." Mothers, the primary caregivers, are attentive to their infants and physically responsive to them. Whenever an infant cries, it is offered the breast. However, while nursing her infant, a mother may also be involved in other activities, such as food preparation, or she may be engaged in conversation with individuals in the household. Mothers never leave their infants alone and only rarely with other caregivers. When not holding their infants, mothers carry them in netted bags suspended from their heads. When the mother is

<sup>3</sup> This analysis is based on the data collected in the course of ethnographic and linguistic fieldwork among the Kaluli in the Southern Highlands Province between 1975 and 1977. During this time, E. L. Schieffelin, a cultural anthropologist, and S. Feld, an ethnomusicologist, were also conducting ethnographic research. This study of the development of communicative competence among the Kaluli focused on four children who were approximately 24 months old at the start of the study. However, an additional twelve children were included in the study (siblings and cousins in residence), ranging in age from birth to 10 years. The spontaneous conversations of these children and their families were tape-recorded for one year at monthly intervals with each monthly sample lasting from 3 to 4 hours. Detailed contextual notes accompanied the taping, and these annotated transcripts, along with interviews and observations, form the data base. A total of 83 hours of audio-tape were collected and transcribed in the village. Analyses of Kaluli child acquisition data are reported in Schieffelin 1981, in press-a, and in press-b.

gardening, gathering wood, or just sitting with others, the baby sleeps in the netted bag next to the mother's body.

Kaluli mothers, given their belief that infants "have no understanding," never treat their infants as partners (speaker/addressee) in dyadic communicative interactions. Although they greet their infants by name and use expressive vocalizations, they rarely address other utterances to them. Furthermore, a mother and infant do not gaze into each other's eyes, an interactional pattern that is consistent with adult patterns of not gazing when vocalizing in interaction with one another. Rather than facing their babies and speaking to them, Kaluli mothers tend to face their babies outward so that they can see, and be seen by, other members of the social group. Older children greet and address the infant, and the mother responds in a high-pitched nasalized voice "for" the baby while moving the baby up and down. Triadic exchanges such as that in Example 4 are typical (Golinkoff 1983).

#### Example 4

Mother is holding her infant son Bage (3 mos). Abi (35 mos) is holding a stick on his shoulder in a manner similar to that in which one would carry a heavy patrol box (the box would be hung on a pole placed across the shoulders of the two men).

Mother  
(A to baby)

Abi  
Bage/ do you see my box here?/  
Bage/ ni bokisi we badaya?/  
Do you see it?/  
olibadaya?/

(high nasal voice talking as if she is the baby, moving the baby who is facing Abi):  
My brother, I'll take half, my brother.  
nao, hebo ni dieni, nao.  
(holding stick out)

mother give him half/  
no hebo emo dimina/ mother,  
my brother here/here take half/  
nao we/we hebo dima/

(in a high nasal voice as baby):  
My brother, what half do I take?  
nao, hebo dieni heh?  
What about it? my brother, put it on the shoulder!  
Wangaya? nao, keleno wela diefoma!  
(to Abi in her usual voice):  
Put it on the shoulder.  
keleno wela diefondo.  
(Abi rests stick on baby's shoulder)  
There, carefully put it on.  
ko dinafa diefoma. (stick accidently pokes baby)  
Feel sorry, stop.  
Heyo, kadefoma.

When a mother takes the speaking role of an infant she uses language that is well formed and appropriate for an older child. Only the nasalization and high-pitch mark it as "the infant's." When speaking as the infant to older children, mothers speak assertively, that is, they never whine or beg on behalf of the infant. Thus, in taking this role the mother does for the infant what the infant cannot do for itself, that is, appear to act in a controlled and competent manner, using language. These kinds of interactions continue until a baby is between 4 and 6 months of age.

Several points are important here. First, these triadic exchanges are carried out primarily for the benefit of the older child and help create a relationship between the two children. Second, the mother's utterances in these exchanges are not based on, nor do they originate with, anything that the infant has initiated—either vocally or gesturally. Recall the Kaluli claim that infants have no understanding. How could someone with "no understanding" initiate appropriate interactional sequences?

However, there is an even more important and enduring cultural construct that helps make sense out of the mother's behaviors in this situation and in many others as well. Kaluli say that "one cannot know what another thinks or feels." Although Kaluli obviously interpret and assess one another's available behaviors and internal states, these interpretations are not culturally acceptable as topics of talk. Individuals often talk about their own feelings (I'm afraid, I'm happy, etc.). However, there is a cultural dispreference for talking about or making claims about what another might think, what another might feel, or what another is about to do, especially if there is no external evidence. As we shall see, these culturally constructed behaviors have several important consequences for the ways in which Kaluli caregivers verbally interact with their children and are related to other pervasive patterns of language use, which will be discussed later.

As infants become older (6–12 months), they are usually held in the arms or carried on the shoulders of the mother or an older sibling. They are present in all ongoing household activities, as well as subsistence activities that take place outside the village in the bush. During this time period, babies are addressed by adults to a limited extent. They are greeted by a variety of names (proper names, kin terms, affective and relationship terms) and receive a limited set of both negative and positive imperatives. In addition, when they do something they are told not to do, such as reach for something that is not theirs to take, they will often receive such rhetorical questions such as "who are you?!" (meaning "not someone to do that") or "is it yours?!" (meaning "it is not yours") to control their actions by shaming them (*sasidiab*). It should be stressed that the language addressed to the preverbal child consists largely of "one-liners" that call for no verbal response but for either an action or termination of an action. Other than these utterances, very little talk is directed to the young child by the adult caregiver.

This pattern of adults treating infants as noncommunicative partners continues even when babies begin babbling. Although Kaluli recognize

babbling (*dabedan*), they call it noncommunicative and do not relate it to the speech that eventually emerges. Adults and older children occasionally repeat vocalizations back to the young child (age 12–16 months), reshaping them into the names of persons in the household or into kin terms, but they do not say that the baby is saying the name nor do they wait for, or expect, the child to repeat those vocalizations in an altered form. In addition, vocalizations are not generally treated as communicative and given verbal expression except in the following situation. When a toddler shrieks in protest of the assaults of an older child, mothers say "I'm unwilling" (using a quotative particle), referring to the toddler's shriek. These are the only circumstances in which mothers treat vocalizations as communicative and provide verbal expression for them. In no other circumstances did the adults in the four families in the study provide a verbally expressed interpretation of a vocalization of a preverbal child. Thus, throughout the preverbal period very little language is directed to the child, except for imperatives, rhetorical questions, and greetings. A child who by Kaluli terms has not yet begun to speak is not expected to respond either verbally or vocally. As a result, during the first 18 months or so very little sustained dyadic verbal exchange takes place between adult and infant. The infant is only minimally treated as an addressee and is not treated as a communicative partner in dyadic exchanges. Thus, the conversational model that has been described for many white middle-class caregivers and their preverbal children has no application in this case. Furthermore, if one defines language input as language directed to the child then it is reasonable to say that for Kaluli children who have not yet begun to speak there is very little. However, this does not mean that Kaluli children grow up in an impoverished verbal environment and do not learn how to speak. Quite the opposite is true. The verbal environment of the infant is rich and varied, and from the very beginning the infant is surrounded by adults and older children who spend a great deal of time talking to one another. Furthermore, as the infant develops and begins to crawl and engage in play activities and other independent actions, these actions are frequently referred to, described, and commented upon by members of the household, especially older children, to each other. Thus the ongoing activities of the preverbal child are an important topic of talk among members of the household, and this talk about the here-and-now of the infant is available to the infant, though it is not talk addressed to the infant. For example, in referring to the infant's actions, siblings and adults use the infant's name or kin term. They say, "Look at Seligiwo! He's walking." Thus the child may learn from these contexts to attend the verbal environment in which he or she lives.

Every society has its own ideology about language, including when it begins and how children acquire it. The Kaluli are no exception. Kaluli claim that language begins at the time when the child uses two critical words, "mother" (*no*) and "breast" (*bo*). The child may be using other single words, but until these two words are used, the beginning of language is not recognized. Once a child has used these words, a whole set of interrelated behaviors is set into



All these patterns of early language use, such as the lack of expansions and the verbal attribution of an internal state to an individual, are consistent with important cultural conventions of adult language usage. The Kaluli avoid gossip and often indicate the source of information they report. They make extensive use of direct quoted speech in a language that does not allow indirect quotation. They use a range of evidential markers in their speech to indicate the source of speakers' information, for example, whether something was said, seen, heard or gathered from other kinds of evidence. These patterns are also found in a child's early speech and, as such, affect the organization and acquisition of conversational exchanges in this face-to-face egalitarian society.

#### *A Samoan Developmental Story*

In American and Western Samoa, an archipelago in the southwest Pacific, Samoan, a verb-initial Polynesian language, is spoken.<sup>4</sup> The following developmental story draws primarily on direct observations of life in a large, traditional village on the island of Upolu in Western Samoa; however, it incorporates as well analyses by Mead (1927), Kernan (1969), and Shore (1982) of social life, language use, and childhood on other islands (the Manu'a islands and Savai'i).

As has been described by numerous scholars, Samoan society is highly stratified. Individuals are ranked in terms of whether or not they have a title, and if so, whether it is an orator or a chiefly title—bestowed on persons by an extended family unit (*aiga potopoto*)—and within each status, particular titles are reckoned with respect to one another.

Social stratification characterizes relationships between untitled persons as well, with the assessment of relative rank in terms of generation and age. Most relevant to the Samoan developmental story to be told here is that caregiving is also socially stratified. The young child is cared for by a range of untitled persons, typically the child's older siblings, the mother, and unmarried siblings

<sup>4</sup> The data on which this analysis is based were collected from July 1978 to July 1979 in a traditional village in Western Samoa. The village, Falefa, is located on the island of Upolu, approximately 18 miles from the capital, Apia. The fieldwork was conducted by Alessandro Duranti, Martha Platt, and Elinor Ochs. Our data collection consisted of two major projects. The first, carried out by Ochs and Platt, was a longitudinal documentation, through audio and videotape, of young children's acquisition of Samoan. This was accomplished by focusing on six children from six different households, from 19 to 35 months of age at the onset of the study. These children were observed and taped every five weeks, approximately three hours each period. Samoan children live in compounds composed of several households. Typically, numerous siblings and peers are present and interact with a young child. We were able to record the speech of seventeen other children under the age of 6, who were part of the children's early social environment. A total of 128 hours of audio and 20 hours of video recording were collected. The audio material is supplemented by handwritten notes detailing contextual features of the interactions recorded. All the audio material has been transcribed in the village by a family member or family acquaintance and checked by a researcher. Approximately 18,000 pages of transcript form the child language data base. Analyses of Samoan child language are reported in Ochs 1982a, 1982b, and in press.

of the child's mother. Where more than one of these are present, the older is considered to be the higher ranking caregiver and the younger the lower ranking caregiver (Ochs 1982c). As will be discussed in the course of this story, ranking affects how caregiving tasks are carried out and how verbal interactions are organized.

From birth until the age of 5 or 6 months, an infant is referred to as *pepemeamea* (baby thing thing). During this period, the infant stays close to his or her mother, who is assisted by other women and children in child-care tasks. During this period, the infant spends the periods of rest and sleep near, but somewhat separated from, others, on a large pillow enclosed by a mosquito net suspended from a beam or rope. Waking moments are spent in the arms of the mother, occasionally the father, but most often on the hips or laps of other children, who deliver the infant to his or her mother for feeding and in general are responsible for satisfying and comforting the child.

In these early months, the infant is talked *about* by others, particularly in regard to his or her physiological states and needs. Language addressed *to* the young infant tends to be in the form of songs or rhythmic vocalizations in a soft, high pitch. Infants at this stage are not treated as conversational partners. Their gestures and vocalizations are interpreted for what they indicate about the physiological state of the child. If verbally expressed, however, these interpretations are directed in general not to the infant but to some other more mature member of the household (older child), typically in the form of a directive.

As an infant becomes more mature and mobile, he or she is referred to as simply *pepe* (baby). When the infant begins to crawl, his or her immediate social and verbal environment changes. Although the infant continues to be carried by an older sibling, he or she is also expected to come to the mother or other mature family members on his or her own. Spontaneous language is directed to the infant to a much greater extent. The child, for example, is told to "come" to the caregiver.

To understand the verbal environment of the infant at this stage, it is necessary to consider Samoan concepts of childhood and children. Once a child is able to locomote himself or herself and even somewhat before, he or she is frequently described as cheeky, mischievous, and willful. Very frequently, the infant is negatively sanctioned for his or her actions. An infant who sucks eagerly, vigorously, or frequently at the breast may be teasingly shamed by other family members. Approaching a guest or touching objects of value provokes negative directives first and mock threats second. The tone of voice shifts dramatically from that used with younger infants. The pitch drops to the level used in casual interactions with adult addressees and voice quality becomes loud and sharp. It is to be noted here that caregiver speech is largely talk directed *at* the infant, and typically caregivers do not engage in "conversations" *with* infants over several exchanges. Further, the language used by caregivers is not lexically or syntactically simplified.

The image of the small child as highly assertive continues for several years

and is reflected in what is reported to be the first word of Samoan children: *tae* (shit), a curse word used to reject, retaliate, or show displeasure at the action of another. The child's earliest use of language, then, is seen as explicitly defiant and angry. Although caregivers admonish the verbal and nonverbal expression of these qualities, the qualities are in fact deeply valued and considered necessary and desirable in particular social circumstances.

As noted earlier, Samoan children are exposed to, and participate in, a highly stratified society. Children usually grow up in a family compound composed of several households and headed by one or more titled persons. Titled persons conduct themselves in a particular manner in public, namely, to move slowly or be stationary, and they tend to disassociate themselves from the activities of lower status persons in their immediate environment. In a less dramatic fashion, this demeanor characterizes high ranking caregivers in a household as well, who tend to leave the more active tasks, such as bathing, changing, and carrying an infant to younger persons (Ochs 1982c).

The social stratification of caregiving has its reflexes in the verbal environment of the young child. Throughout the day, higher ranking caregivers (e.g., the mother) direct lower ranking persons to carry, put to sleep, soothe, feed, bathe, and clothe a child. Typically, a lower ranking caregiver waits for such a directive rather than initiate such activities spontaneously. When a small child begins to speak, he or she learns to make his or her needs known to the higher ranking caregiver. The child learns not to necessarily expect a direct response. Rather, the child's appeal usually generates a conversational sequence such as the following:

Child appeals to high-ranking caregiver	(A→B)
High ranking caregiver directs lower ranking caregiver	(B→C)
Lower ranking caregiver responds to child	(C→A)

These verbal interactions differ from the ABAB dyadic interactions described for white middle-class caregivers and children. Whereas a white middle-class child is often alone with a caregiver, a Samoan child is not. Traditional Samoan houses have no internal or external walls, and typically conversations involve several persons inside and outside the house. For the Samoan child, then, multiparty conversations are the norm, and participation is organized along hierarchical lines.

The importance of status and rank is expressed in other uses of language as well. Very small children are encouraged to produce certain speech acts that they will be expected to produce later as younger (i.e., low ranking) members of the household. One of these speech acts is reporting of news to older family members. The reporting of news by lower status persons complements the detachment associated with relatively high status. High status persons ideally (or officially) receive information through reports rather than through their own direct involvement in the affairs of others. Of course, this ideal is not always realized. Nonetheless, children from the one-word stage

on will be explicitly instructed to notice others and to provide information to others as Example 6 illustrates.

#### Example 6

Pesio, her peer group including Maselino (3 yrs 4 mos) and Maselino's mother, Iuliana, are in the house. They see Alesana (member of research project) in front of the trade store across the street. Iuliana directs the children to notice Alesana.

Pesio (2 yrs 3 mos)	<i>Others</i>
	Iuliana: Va'ai Alesana. Look (at) Alesana!
ā?/ Huh?	Iuliana: Alesana Maselino: Alesaga/
ai Alesaga/ Look (at) Alesana	Iuliana: Vala'au Alesana Call (to) Alesana.
((very high, loud)) SAGA?/ Alesana!	((high, soft)) Iuliana: Máló. (Greeting)
((loud)) ALŌ! (Greeting)	Iuliana: (Fai) o Elegoa lea. (Say) prt. Elenoa here. (say "Elenoa [is] here.")
Sego lea/ Elenoa here (Elenoa [is] here.)	

The character of these instructions is similar to that of the triadic exchanges described in the Kaluli developmental story. A young child is to repeat an utterance offered by a caregiver to a third party. As in the Kaluli triadic exchanges, the utterance is designed primarily for the third party. For example, the high, soft voice quality used by Iuliana expresses deference in greeting Alesana, the third party. Caregivers use such exchanges to teach children a wide range of skills and knowledge. In fact, the task of repeating what the caregiver has said is *itself* an object of knowledge, preparing the child for his or her eventual role as messenger. Children at the age of 3 are expected to deliver *verbatim* messages on behalf of more mature members of the family.

The cumulative orientation is one in which even very young children are oriented toward others. In contrast to the white middle-class tendencies to accommodate situations to the child, the Samoans encourage the child to meet the needs of the situation, that is, to notice others, listen to them, and adapt one's own speech to their particular status and needs.

The pervasiveness of social stratification is felt in another, quite fundamental aspect of language, that of ascertaining the meaning of an utterance. Procedures for clarification are sensitive to the relative rank of conversational participants in the following manner. If a high status person produces a partially or wholly unintelligible utterance, the burden of clarification tends to rest with the hearer. It is not inappropriate for high status persons to produce such utterances from time to time. In the case of orators in particular, there is an expectation that certain terms and expressions will be obscure to certain members of their audiences. On the other hand, if a low status person's speech is unclear, the burden of clarification tends to be placed more on the speaker.

The latter situation applies to most situations in which young children produce ambiguous or unclear utterances. Both adult and child caregivers tend not to try to determine the message content of such utterances by, for example, repeating or expanding such an utterance with a query intonation. In fact, unintelligible utterances of young children will sometimes be considered as not Samoan but another language, usually Chinese, or not language at all but the sounds of an animal. A caregiver may choose to initiate clarification by asking "What?" or "Huh?" but it is up to the child to make his or her speech intelligible to the addressee.

Whereas the Samoans place the burden of clarification on the child, white middle-class caregivers assist the child in clarifying and expressing ideas. As noted in the white middle-class developmental story, such assistance is associated with good mothering. The good mother is one who responds to her child's incompetence by making greater efforts than normal to clarify his or her intentions. To this end, a mother tries to put herself in the child's place (take the perspective of the child). In Samoa good mothering or good caregiving is almost the reverse: A young child is encouraged to develop an ability to take the perspective of higher ranking persons in order to assist them and facilitate their well-being. The ability to do so is part of showing *fa'aaloalo* (respect), a most necessary demeanor in social life.

We cannot leave our Samoan story without touching on another dimension of intelligibility and understanding in caregiver-child interactions. In particular, we need to turn our attention to Samoan attitudes toward motivation and intentionality (cf. Ochs 1982c). In philosophy, social science, and literary criticism, a great deal of ink has been spilled over the relation between act and intention behind an act. The pursuit and ascertaining of intentions is highly valued in many societies, where acts are objects of interpretation and motives are treated as explanations. In traditional Samoan society, with exceptions such as teasing and bluffing, actions are not treated as open to interpretation. They are treated for the most part as having one assignable meaning. An individual may not always know what that meaning is, as in the case of an oratorical passage; in these cases, one accepts that there is one meaning that he or she may or may not eventually come to know. For the most part as well, there is not a concern with levels of intentions and motives underlying the performance of some particular act.

Responses of Samoan caregivers to unintelligible utterances and acts of young children need to be understood in this light. Caregivers tend not to guess, hypothesize, or otherwise interpret such utterances and acts, in part because these procedures are not generally engaged in, at least explicitly, in daily social interactions within a village. As in encounters with others, a caregiver generally treats a small child's utterances as either clear or not clear, and in the latter case prefers to wait until the meaning becomes known to the caregiver rather than initiate an interpretation.

When young Samoan children participate in such interactions, they come to know how "meaning" is treated in their society. They learn what to consider as meaningful (e.g., clear utterances and actions) procedures for assigning meaning to utterances and actions, and procedures for handling unintelligible and partially intelligible utterances and actions. In this way, through language use, Samoan children are socialized into culturally preferred ways of processing information. Such contexts of experience reveal the interface of language, culture, and thought.

#### Implications of Developmental Stories: Three Proposals *Interactional Design Reexamined*

We propose that infants and caregivers do not interact with one another according to one particular "biologically designed choreography" (Stern 1977). There are many choreographies within and across societies, and cultural as well as biological systems contribute to their design, frequency, and significance. The biological predispositions constraining and shaping the social behavior of infants and caregivers must be broader than thus far conceived in that the use of eye gaze, vocalization, and body alignment are orchestrated differently in the social groups we have observed. As noted earlier, for example, Kaluli mothers do not engage in sustained gazing at, or elicit and maintain direct eye contact with, their infants as such behavior is dispreferred and associated with witchcraft.

Another argument in support of a broader notion of a biological predisposition to be social concerns the variation observed in the participant structure of social interactions. The literature on white middle-class child development has been oriented, quite legitimately, toward the two-party relationship between infant and caregiver, typically infant and mother. The legitimacy of this focus rests on the fact that this relationship is primary for infants within this social group. Further, most communicative interactions are dyadic in the adult community. Although the mother is an important figure in both Kaluli and Samoan developmental stories, the interactions in which infants are participants are typically triadic or multiparty. As noted, Kaluli mothers organize triadic interactions in which infants and young children are oriented away from their mothers and toward a third party. For Samoans, the absence of internal and external walls, coupled with the expectation that others will attend to, and

eventually participate in, conversation, makes multiparty interaction far more common. Infants are socialized to participate in such interactions in ways appropriate to the status and rank of the participants.

This is not to say that Kaluli and Samoan caregivers and children do not engage in dyadic exchanges. Rather, the point is that such exchanges are not accorded the same significance as in white middle-class society. In white middle-class households that have been studied, the process of becoming social takes place predominantly through dyadic interactions, and social competence itself is measured in terms of the young child's capacity to participate in such interactions. In Kaluli and Samoan households, the process of becoming social takes place through participation in dyadic, triadic, and multiparty social interactions, with the latter two more common than the dyad.

From an early age, Samoan and Kaluli children must learn how to participate in interactions involving a number of individuals. To do this minimally requires attending to more than one individual's words and actions and knowing the norms for when and how to enter interactions, taking into account the social identities of at least three participants. Further, the sequencing of turns in triadic and multiparty interactions has a far wider range of possibilities vis-à-vis dyadic exchanges and thus requires considerable knowledge and skill. Whereas dyadic exchanges can only be ABABA . . . , triadic or multiparty exchanges can be sequenced in a variety of ways, subject to such social constraints as speech content and the status of speaker (as discussed in the Samoan developmental story). For both the Kaluli and the Samoan child, triadic and multiparty interactions constitute their earliest social experiences and reflect the ways in which members of these societies routinely communicate with one another.

#### *Caregiver Register Reexamined*

A second major proposal based on these three developmental stories is that the simplifying features of white middle-class speech are not necessary input for the acquisition of language by young children. The word "input" itself implies a directionality toward the child as information processor. The data base for the child's construction of language is assumed to be language directed to the child. It is tied to a model of communication that is dyadic, with participation limited to the roles of speaker and addressee. If we were to apply this strict notion of input (language addressed to the child) to the Kaluli and Samoan experiences, we would be left with a highly restricted corpus from which the child is expected to construct language. As we have emphasized in these developmental stories, the very young child is less often spoken to than spoken about. Nonetheless, both Kaluli and Samoan children become fluent speakers within the range of normal developmental variation.

Given that the features of caregivers' speech cannot be accounted for primarily in terms of their language-facilitating function, that is, as input, we might ask what can account for the special ways in which caregivers speak

to their children. We suggest that the particular features of the caregiver register are best understood as an expression of a basic sociological phenomenon. Every social relationship is associated with a set of behaviors, verbal and nonverbal, that set off that relationship from other relationships. Additionally, these behaviors indicate to others that a particular social relationship is being actualized. From this point of view, the "special" features of caregiver speech are not special at all, in the sense that verbal modifications do occur wherever social relationships are called into play. This phenomenon has been overlooked in part because, in describing the language of caregivers to children, it is usually contrasted with a generalized notion of the ways in which adults talk to everyone else. The most extreme example of this is found in interviews with adults in which they are asked to describe special ways of talking to babies (Ferguson 1977). A less extreme example is found in the procedure of comparing caregiver speech to children with caregiver speech to the researcher/outsider (Newport, Gleitman, & Gleitman 1977). In the latter case, only one adult-adult relationship is used as a basis of comparison, and this relationship is typically formal and socially distant.

The social nature of caregiver speech has been discussed with respect to its status as a type of speech register. Nonetheless, the language-simplifying features have been emphasized more than any other aspect of the register. The dimension of simplification is significant with respect to the white middle-class caregiver registers documented; however, the notion of simplification has been taken as synonymous with the caregiver register itself. More to the point of this discussion is the apparent tendency to see simplification as a universal, if not natural, process. Ferguson's insightful parallel between caregiver speech and foreigner talk (1977) has been taken to mean that more competent speakers everywhere spontaneously accommodate their speech to less competent interactional partners, directly influencing language change in contact situations (pidgins in particular) as well as in acquisition of a foreign language. Ferguson's own discussion of "simplified registers" does not carry with it this conclusion, however. Further, the stories told here of Kaluli and Samoan caregiver speech and comportment indicate that simplification is culturally organized in terms of when, how, and extent. In both stories, caregivers do not speak in a dramatically more simplified manner to very young children. They do not do so for different cultural reasons: The Kaluli do not simplify because such speech is felt to inhibit the development of competent speech, the Samoans because such accommodations are dispreferred when the addressee is of lower rank than the speaker.

The cultural nature of simplification is evidenced very clearly when we compare Samoan speech to young children with Samoan speech to foreigners (*palagi*). As discussed by Duranti (1981), "foreigner talk" is simplified in many ways, in contrast to "baby talk." To understand this, we need only return to the social principle of relative rank. Foreigners typically (and historically) are persons to whom respect is appropriate—strangers or guests of relatively high status. The appropriate comportment toward such persons is one of

accommodation to their needs, communicative needs being basic. The Samoan example is an important one, because we can use it to understand social groups for whom speaking to foreigners is like speaking to children. That is, we can at least know where to *start* the process of understanding this speech phenomenon; to see the phenomenon as expressive of cultural beliefs and values. Just as there are cultural explanations for why and how Samoans speak differently to young children and foreigners, so there are cultural explanations for why and how white middle-class adults modify their speech in similar ways to these two types of addressees. These explanations go far beyond the attitudes discussed in the white middle-class story. Our task here is not to provide an adequate cultural account but rather to encourage more detailed research along these lines. An understanding of caregiver or baby-talk register in a particular society will never be achieved without a more serious consideration of the sociological nature of register.

### *What Caregivers Do with Words*

In this section we build on the prior two proposals and suggest that:

1. A functional account of the speech of both caregiver and child must incorporate information concerning cultural knowledge and expectations;
2. Generalizations concerning the relations between the behavior and the goals of caregivers and young children should not presuppose the presence or equivalent significance of particular goals across social groups.

In each of these developmental stories we saw that caregivers and children interacted with one another in culturally patterned ways. Our overriding theme has been that caregiver speech behavior must be seen as part of caregiving and socialization more generally. What caregivers say and how they interact with young children are motivated in part by concerns and beliefs held by many members of the local community. As noted earlier, these concerns and beliefs may not be conscious in all cases. Certain beliefs, such as the Kaluli notions of the child as "soft" and socialization as "hardening" the child, are explicit. Others, such as the white middle-class notions of the infant and small child as social and capable of acting intentionally (expressing intentions), are not explicitly formulated.

To understand what any particular verbal behavior is accomplishing, we need to adopt ethnographic procedures, namely, to relate particular behaviors to those performed in other situations. What a caregiver is doing in speaking to a child is obviously related to what she or he does and/or others do in other recurrent situations. We have suggested, for example, that the accommodations that middle-class (particularly American) caregivers make in speaking to young children are linked, patterned ways of responding to incompetence in general (e.g., handicapped persons, retardates). Members of this social group appear to adapt situations to meet the special demands of less competent persons to a far greater extent than in other societies, for example, Samoan society. We

have also suggested that the heavy use of expansions by middle-class caregivers to query or confirm what a child is expressing is linked to culturally preferred procedures for achieving understanding, for example, the recognition of ambiguity, the formulation and verification of hypotheses (interpretations, guesses). In participating in interactions in which expansions are used in this way, the child learns the concepts of ambiguity, interpretation, and verification, and the procedures associated with them.

A common method in child language research has been to infer function or goal from behavior. The pitfalls of this procedure are numerous, and social scientists are acutely aware of how difficult it is to establish structure-function relations. One aspect of this dilemma is that one cannot infer function on the basis of a structure in isolation. Structures get their functional meaning through their relation to contexts in which they appear. The "same" structure may have different functions in different circumstances. This is true within a society, but our reason for mentioning it here is that it is true also across societies and languages. Although caregivers in two different societies may expand their children's utterances, it would not necessarily follow that the caregivers shared the same beliefs and values. It is possible that their behavior is motivated by quite different cultural processes. Similarly, the absence of a particular behavior, such as the absence of expansions among caregivers, may be motivated quite differently across societies. Both the Kaluli and the Samoan caregivers do not appear to rely on expansions, but the reasons expansions are dispreferred differ. The Samoans do not do so in part because of their dispreference for guessing and in part because of their expectation that the burden of intelligibility rests with the child (as lower status party) rather than with more mature members of the society. Kaluli do not use expansions to resay or guess what a child may be expressing because they say that "one cannot know what someone else thinks," regardless of age or social status.

Our final point concerning the structure-function relation is that the syntax of our claims about language acquisition must be altered to recognize variation across societies. The bulk of research on communicative development has presupposed or asserted the universality of one or another function, for example, the input function, the communicative function, and the illustrated verbal and nonverbal behaviors that follow from, or reflect, that function. Our three stories suggest that generalizations must be context-restricted. Thus, for example, rather than assuming or asserting that caregivers desire to communicate with an infant, the generalization should be expressed: "Where caregivers desire communication with an infant, then . . ." or "If it is the case that caregivers desire communication with an infant then . . ."

### A TYPOLOGY OF SOCIALIZATION AND CAREGIVER SPEECH PATTERNS

At this point, with the discussion nearing its conclusion, we have decided to stick our necks out a bit further and suggest that the two orientations to

children discussed in the developmental stories—adapting situations to the child and adapting the child to situations—distinguish more than the three societies discussed in this reading. We believe that these two orientations of mature members toward children can be used to create a typology of socialization patterns. For example, societies in which children are expected to adapt to situations may include not only Kaluli and Samoan but also white and black working-class Anglo-Americans (Heath 1983; Miller 1982; Ward 1971).

The typology of course requires a more refined application of these orienting features. We would expect these orientations to shift as children develop; for example, a society may adapt situations to meet the needs of a very small infant, but as the infant matures, the expectation may shift to one in which the child should adapt to situations. Indeed, we could predict such a pattern for most, if not all, societies. The distinction between societies would be in terms of *when* this shift takes place and in terms of the *intensity* of the orientation at any point in developmental time.

Having stuck our necks out this far, we will go a little further and propose that these two orientations will have systematic reflexes in the organization of communication between caregivers and young children across societies: We predict, for example, that a society that adapts or fits situations to the needs (perceived needs) of young children will use a register to children that includes a number of simplifying features, for example, shorter utterances, with a restricted lexicon, that refer to here-and-now. Such an orientation is also compatible with a tendency for caregivers to assist the child's expression of intentions through expansions, clarification requests, cooperative proposition building and the like. These often involve the caregiver's taking the perspective of a small child and correlate highly with allowing a small child to initiate new topics (evidencing child-centered orientation).

On the other hand, societies in which children are expected to meet the needs of the situation at hand will communicate differently with infants and small children. In these societies, children usually participate in multiparty situations. Caregivers will socialize children through language to notice others and perform appropriate (not necessarily polite) speech acts toward others. This socialization will often take the form of modeling, where the caregiver says what the child should say and directs the child to repeat. Typically, the child is directed to say something to someone other than the caregiver who has modeled the original utterance. From the Kaluli and Samoan cases, we would predict that the utterances to be repeated would cover a wide range of speech acts (teasing, insulting, greeting, information requesting, begging, reporting of news, shaming, accusations, and the like). In these interactions, as in other communicative contexts with children, the caregivers do not simplify their speech but rather shape their speech to meet situational contingencies (Table 1).

TABLE 1  
Two orientations toward children and their corresponding caregiver speech patterns

<i>Adapt situation to child</i>	<i>Adapt child to situation</i>
Simplified register features baby-talk lexicon	Modeling of (unsimplified) utterances for child to repeat to third party (wide range of speech act, not simplified)
Negotiation of meaning via expansion and paraphrase	
Cooperative proposition building between caregiver and child	Child directed to notice others
Utterances that respond to child-initiated verbal or nonverbal act	Topics arise from range of situational circumstances to which caregiver wishes child to respond
Typical communicative situation: two-party	Typical communicative situation: multiparty

## A MODEL OF LANGUAGE ACQUISITION THROUGH SOCIALIZATION (THE ETHNOGRAPHIC APPROACH)

### Cultural Organization of Intentionality

Like many scholars of child language, we believe that the acquisition of language is keyed to accomplishing particular goals (Bates et al. 1979; Greenfield & Smith 1976; Halliday 1975; Lock 1978; Shotter 1978; Vygotsky 1962). As Bates and her colleagues (1979) as well as Carter (1978) and Lock (1981) have pointed out, small children perform communicative acts such as drawing attention to an object and requesting and offering before conventional morphemes are produced. They have acquired knowledge of particular social acts before they have acquired language in even the most rudimentary form. When language emerges, it is put to use in these and other social contexts. As Bates and her colleagues suggest, the use of language here is analogous to other behaviors of the child at this point of development; the child is using a new means to achieve old goals.

Although not taking a stand as to whether or not language is like other behaviors, we support the notion that language is acquired in a social world and that many aspects of the social world have been absorbed by the child by the time language emerges. This is not to say that functional considerations determine grammatical structure but rather that ends motivate means and provide an orienting principle for producing and understanding language over developmental time. Norman (1975), as well as Hood, McDermott, and Cole (1978), suggests that purpose/function is a mnemonic device for learning generally.

Much of the literature on early development has carefully documented the child's capacity to react and act intentionally (Harding & Golinkoff 1979). The nature and organization of communicative interaction is seen as integrally bound to this capacity. Our contribution to this literature is to spell out the social and cultural systems in which intentions participate. The capacity to express intentions is human; but which intentions can be expressed by whom, when, and how is subject to local expectations concerning the social behavior of members. With respect to the acquisition of competence in language use, this means that societies may very well differ in their expectations of what children can and should communicate (Hymes 1967). They may also differ in their expectations concerning the capacity of young children to understand intentions (or particular intentions). With respect to the particular relationship between a child and his or her caregivers, these generalizations can be represented as follows:

#### Social expectations and language acquisition

Expectations	<i>Influence</i>	Participation in social situations	How & which intentions are expressed by child	Structure of child language
			<i>Influences</i>	<i>Influences</i>
			How & which intentions are expressed by caregiver	Structure of caregiver language

Let us consider examples that illustrate these statements. As noted in the Samoan development story, Samoans have a commonly shared expectation that a child's first word will be *tae* (shit) and that its communicative intention will be to curse and confront (corresponding to the adult for 'ai *tae* (eat shit). Whereas a range of early consonant-vowel combinations of the child are treated as expressing *tae* and communicative, other phonetic strings are not treated as language. The Kaluli consider that the child has begun to use language when he or she says "mother" and "breast." Like the Samoans, the Kaluli do not treat other words produced before these two words appear as part of "language," that is, as having a purpose.

Another example of how social expectations influence language acquisition comes from the recent work by Platt (1980) on Samoan children's acquisition of the deictic verbs "come," "go," "give," "take." The use of these verbs over developmental time is constrained by social norms concerning the movement of persons and objects. As noted in the Samoan story, higher ranking persons are expected to be relatively inactive in the company of lower ranking (e.g., younger) persons. As a consequence, younger children who are directed to "come" and who evidence comprehension of this act tend not to perform the same act themselves. Children are socially constrained not to direct the more mature persons around them to move in their direction. On the other hand, small children are encouraged to demand and give out goods (particularly food).

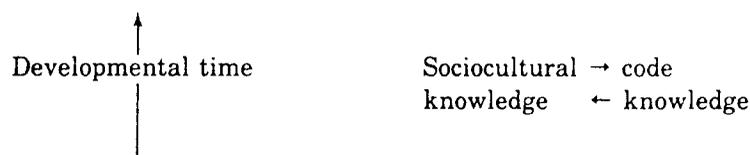
At the same developmental point at which the children are *not* using "come," they *are* using "give" quite frequently. This case is interesting because it indicates that a semantically more complex form ("give"—movement of object and person toward deictic center) may appear in the speech of a child earlier than a less complex form ("come"—movement of person toward deictic center) because of the social norms surrounding its use (Platt 1980).

Although these examples have focused on children's speech, we also consider caregiver speech to be constrained by local expectations and the values and beliefs that underlie them. The reader is invited to draw on the body of this reading for examples of these relationships, for example, the relation between caregivers who adapt to young children and use of a simplified register. Indeed, the major focus of our developmental stories has been to indicate precisely the role of sociocultural processes in constructing communication between caregiver and child.

#### Sociocultural Knowledge and Code Knowledge

In this section we will build on our argument that children's language is constructed in socially appropriate and culturally meaningful ways. Our point will be that the process of acquiring language must be understood as the process of integrating code knowledge with sociocultural knowledge.

Sociocultural knowledge is generative in much the same way that knowledge about grammar is generative. Just as children are able to produce and understand utterances that they have never heard before, so they are able to participate in social situations that don't exactly match their previous experiences. In the case of social situations in which language is used, children are able to apply both grammatical and sociocultural principles in producing and comprehending novel behavior. Both sets of principles can be acquired out of conscious awareness.



In the case of infants and young children acquiring their first language(s), sociocultural knowledge is acquired hand-in-hand with the knowledge of code properties of a language. Acquisition of a foreign or second language by older children and adults may not necessarily follow this model. In classroom foreign-language learning, for example, a knowledge of code properties typically precedes knowledge of the cultural norms of code use. Even where the second language is acquired in the context of living in a foreign culture, the cultural knowledge necessary for appropriate social interaction may lag behind or never develop, as illustrated by Gumperz (1977) for Indian speakers in Great Britain.

Another point to be mentioned at this time is that the sociocultural principles

being acquired are not necessarily shared by all native speakers of a language. As noted in the introduction, there are variations in knowledge between individuals and between groups of individuals. In certain cases, for example, children who are members of a nondominant group, growing up may necessitate acquiring different cultural frameworks for participating in situations. American Indian and Australian Aboriginal children find themselves participating in interactions in which the language is familiar but the interactional procedures and participant structures differ from earlier experiences (Philips 1983). These cases of growing up monolingually but biculturally are similar to the circumstances of second-language learners who enter a cultural milieu that differs from that of first socialization experiences.

### On the Unevenness of Language Development

The picture we have built up suggests that there is quite a complex system of norms and expectations that the young language acquirer must attend to, and does attend to, in the process of growing up to be a competent speaker-hearer. We have talked about this system as affecting structure and content of children's utterances at different points in developmental time. One product of all this is that children come to use and hear particular structures in certain contexts but not in others. In other words, children acquire forms in a subset of contexts that has been given "priority" by members.

Priority contexts are those in which children are encouraged to participate. For example, Kaluli and Samoan children use affect pronouns, for example, "poor-me," initially in begging, an activity they are encouraged to engage in. The use of affect pronouns in other speech acts is a later development. Similarly, many white middle-class children use their first nominal forms in the act of labeling, an activity much encouraged by caregivers in this social group. Labeling is not an activity in which Kaluli and Samoan caregivers and children engage. Each social group will have its preferences, and these, in turn, will guide the child's acquisition of language.

### On Lack of Match between Child and Caregiver Speech

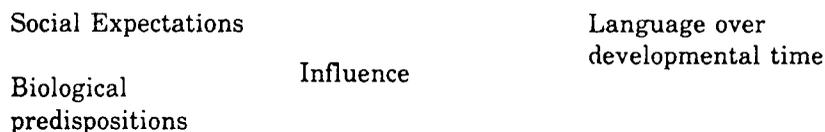
Those who pursue the argument concerning how children acquire language often turn to correlational comparisons between children's and caregivers' speech strategies. Lack of match is taken as support for some input-independent strategy of the child and as evidence that some natural process is at work. We suggest that this line of reasoning has flaws.

If the reader has accepted the argument that societies have ideas about how children can and should participate in social situations and that these ideas differ in many respects from those concerning how more mature persons can and should behave, then the reader might further accept the conclusion that children may speak and act differently from others because they have learned to do so. Why should we equate input exclusively with imitation, that is, with

a match in behavior? Of course there are commonalities between child and adult behavior, but that does not imply that difference is not learned. In examining the speech of young children, we should not necessarily expect their speech and the functions to which it is put to match exactly those of caregivers. Children are neither expected nor encouraged to do many of the things that older persons do, and, conversely, older persons are neither expected nor encouraged to do many of the things that small children do. Indeed, unless they are framed as "play," attempts to cross these social boundaries meet with laughter, ridicule, or other forms of negative sanctioning.

### A Note on the Role of Biology

Lest the reader think we advocate a model in which language and cognition are the exclusive product of culture, we note here that sociocultural systems are to be considered as *one* force influencing language acquisition. Biological predispositions, of course, have a hand in this process as well. The model we have presented should be considered as a subset of a more general acquisition model that includes both influences.



### CONCLUSIONS

This is a reading with a number of points but one message: That the process of acquiring language and the process of acquiring sociocultural knowledge are intimately tied. In pursuing this generalization, we have formulated the following proposals:

1. The specific features of caregiver speech behavior that have been described as simplified register are neither universal nor necessary for language to be acquired. White middle-class children, Kaluli children, and Samoan children all become speakers of their languages within the normal range of development and yet their caregivers use language quite differently in their presence.
2. Caregivers' speech behavior expresses and reflects values and beliefs held by members of a social group. In this sense, caregivers' speech is part of a larger set of behaviors that are culturally organized.
3. The use of simplified registers by caregivers in certain societies may be part of a more general orientation in which situations are adapted to young children's perceived needs. In other societies, the orientation may be the reverse, that is, children at a very early age are expected to adapt to requirements of situations. In such societies, caregivers direct children to notice and respond to others'

actions. They tend not to simplify their speech and frequently model appropriate utterances for the child to repeat to a third party in a situation.

4. Not only caregivers' but children's language as well is influenced by social expectations. Children's strategies for encoding and decoding information, for negotiating meaning, and for handling errors are socially organized in terms of who does the work, when, and how. Further, every society orchestrates the ways in which children participate in particular situations, and this, in turn, affects the form, the function, and the content of children's utterances. Certain features of the grammar may be acquired quite early, in part because their use is encouraged and given high priority. In this sense, the process of language acquisition is part of the larger process of socialization, that is, acquiring social competence.

Although biological factors play a role in language acquisition, sociocultural factors have a hand in this process as well. It is not a trivial fact that small children develop in the context of organized societies. Cultural conditions for communication organize even the earliest interactions between infants and others. Through participation as audience, addressee, and/or "speaker," the infant develops a range of skills, intuitions, and knowledge enabling him or her to communicate in culturally preferred ways. The development of these faculties is an integral part of becoming a competent speaker.

## Coda

This reading should be in no way interpreted as proposing a view in which socialization determines a fixed pattern of behavior. We advocate a view that considers human beings to be flexible and able to adapt to change, both social and linguistic, for example, through contact and social mobility. The ways in which individuals change is a product of complex interactions between established cultural procedures and intuitions and those the individual is currently acquiring. From our perspective, socialization is a continuous and open-ended process that spans the entire life of an individual.

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